

# The New Radio Access Network (RAN) Paradigm: Multihop Mesh Networks and Cooperative Communications

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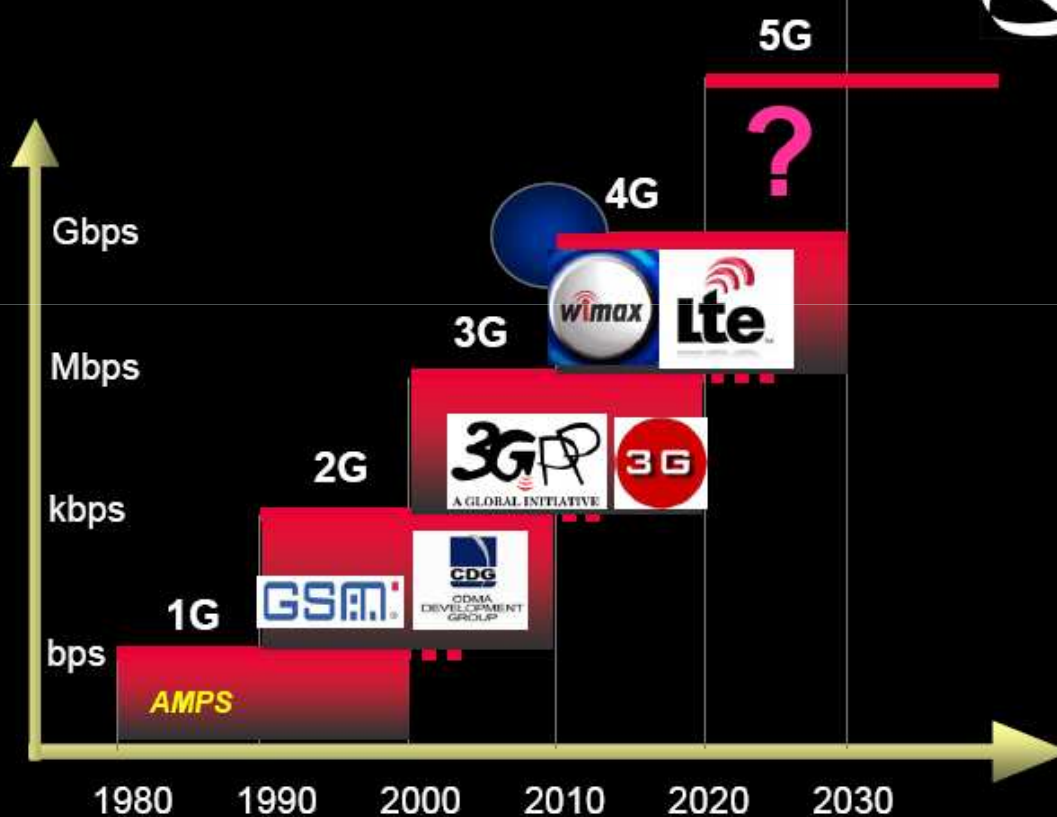
Ottawa, Canada

## Outline

- ◆ **Part I: Radio Access Network (RAN)**
  - The fundamental capacity limit
  - Evolution of RAN and radio resource management (RRM)
  - Relaying: a cost-effective RAN architecture for ubiquitous high data rate coverage
  
- ◆ **Part II: Relaying – A Closer Look**
  - What can relaying offer
  - When to relay
  - Who relays
  - How to relay (types of relaying and protocols; cooperation, diversity)
  - What to do at destination
  
- ◆ **Part III: Case Studies – Selected Research Results**
  - Diversity-multiplexing tradeoff
  - Intelligent routing and scheduling
  - BS-relay coordination
  - Constellation rearrangement

# Wide Area Wireless Access

Cellular Wireless Law of Speed vs. Decade



4

Nortel Confidential

Prepared by Dr. Wen Tong, WTL Director, Nortel

## Expectations from 4G/5G Wireless Networks

- ◆ IMT-Advanced (4G)
  - mobile: up to 100 Mbps
  - stationary/nomadic: up to 1Gbps !!!
- ◆ 4G: LTE, LTE-Advanced, 802.16m
  - moving from research phase to development phase
- ◆ Beyond 4G (5G): Even higher rates **But how?**
- ◆ More bandwidth needed (World Radio Conference, 2011)
- ◆ More bandwidth → more rates: it does not scale necessarily!
- ◆ High bandwidth & high carrier frequency
  - Tremendous stress on link budget
  - advanced antenna technologies (MIMO, smart)
  - advanced signal processing (modulation, coding, equalization)
  - advanced radio resource management techniques

necessary but  
not sufficient

✓ A fundamental upgrade in the network architecture is needed

## Expectations from 4G/5G Wireless Networks

**Goal:** Design a wireless network which will provide (**virtually**) ubiquitous very high data rate coverage in a cost-efficient manner

**Problem:**  $E_b/N_0 = (P_{rec}/R)/N_0$

For a target  $E_b/N_0$ ,  $P_{rec} \nearrow$  as  $R \nearrow$

If  $P_{tx}$ : fixed  $\rightarrow$  much less path-loss can be tolerated

Ex:  $R \nearrow 1000x \rightarrow 30$  dB loss in link budget

**Observation:** Advances in PHY alone will not be enough to reach the goal

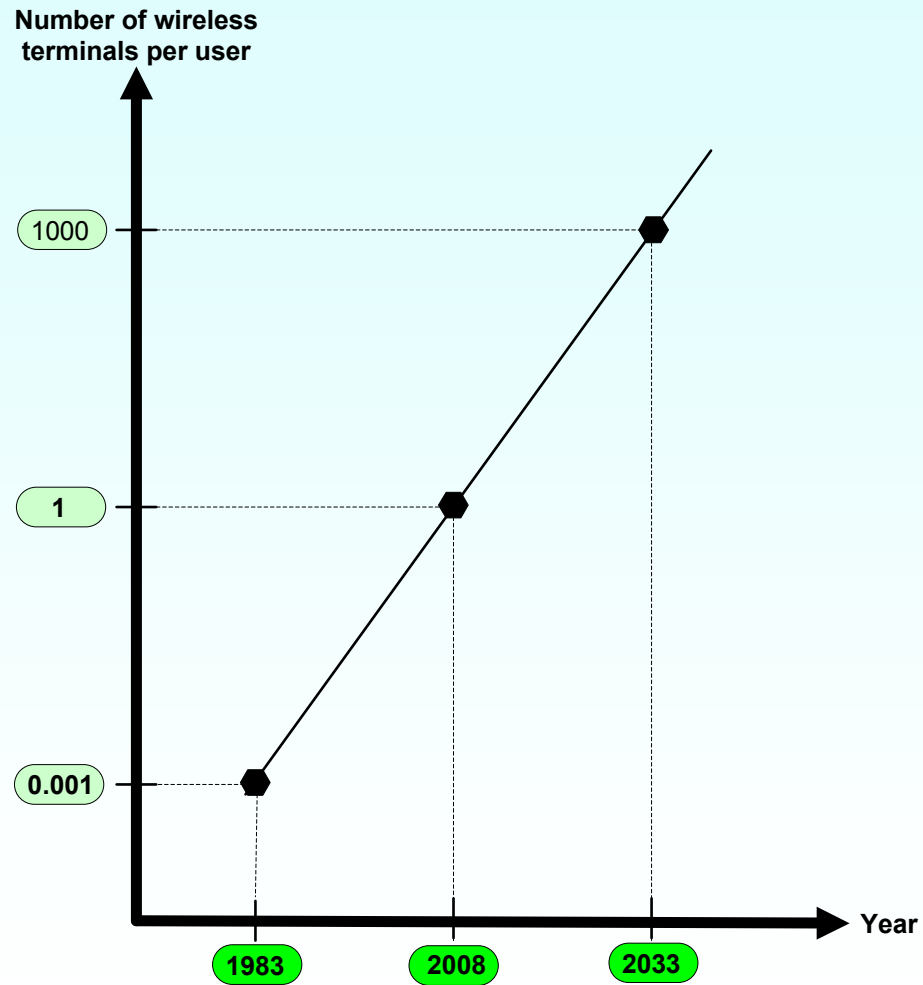
**Solution:** Advanced radio access network (RAN) architectures

Advanced radio resource management (RRM) techniques

Cross-layer and across network design

Other enabling concepts

# Projections



## Terabits/second Wireless (?)

Fundamental dynamics: 3 + 1 rule

- Bandwidth ( $W$ )
  - Received power ( $P_s$ )
  - No of antennas ( $n$ )
- }
- $$R = n W \log(1 + \text{SNR}) = n W \log(1 + [P_s/R]/N_0)$$
- +
- Reuse (SNR  $\rightarrow$  SINR  $\rightarrow$  SIR)

Remark: Reuse scales without a bound!

## Primary Challenge: Very High Data Rate Coverage

(Potential) Capacity per BS:

$$R = n W \log(1 + \text{SNR}) = n W \log(1 + P_s / [RN_0])$$

High rates  $\rightarrow$  high bandwidth and high spectral efficiency  $\rightarrow$  high  $P_s$

High  $P_s \rightarrow$  low path-loss  $\rightarrow$  shorter distances

Deploying more BSs for high data rate coverage: expensive & impractical

Ubiquitous high data rate coverage

$\rightarrow$  must use **advanced RAN** architectures to distribute the capacity throughout the cell area

Advanced RAN, high reuse, high interference

$\rightarrow$  must use **advanced RRM** techniques



## Network Capacity

- ◆ Potential cell capacity =  $f(\text{channel})$   
→ Actual cell capacity  $\ll$  Potential cell capacity
- ◆ Actual network capacity  $\ll \sum$  Actual cell capacity  
(due to dynamic non-uniform loading)
- ◆ Brute-force solution: deploy a high number of BSs for providing coverage and coping with dynamic load  
→ bad design, gross over-engineering!
- ◆ Question: Actual network capacity  $\sim \sum$  Potential cell capacity
- ◆ Answer: YES, through RAN and RRM! (virtually ubiquitous coverage)

## Wireless Overview

### I. Wired Communications

point-to-point, isolated, AWGN channel

**link problem** (modulation, coding, BER analysis, ...) [PHY]

communications → communications theory & information theory

### II. Wireless Communications

(perceived as natural extension of wired communications)

point-to-point, isolated, fading channel

**link problem** (modulation, coding, BER analysis, ...) [PHY]

wireless communications → comm & info theory for wireless channels

## Wireless Overview

### III. Wireless Communications → Wireless Networks

wireless is more than just PHY!

**L2**: distinguishing layer

multiple access (very different from multiplexing)

+ broadcast + reuse (interference) → tangled links

**RRM** (including multiple access) makes it work!

**network** view

L1 + L2 + L3: **cross-layer design**

## Wireless Overview

### IV. Wireless Multihop

cooperative communications → cooperative diversity  
once again, started as a link problem [PHY]

### V. Wireless Cooperative Multihop Mesh Networks

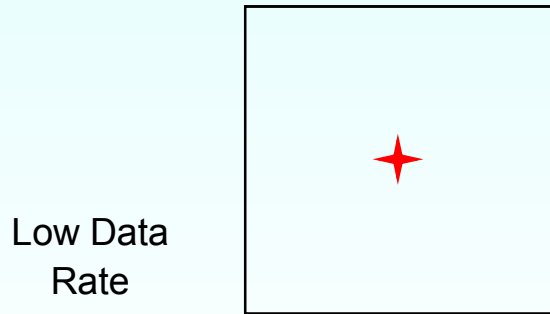
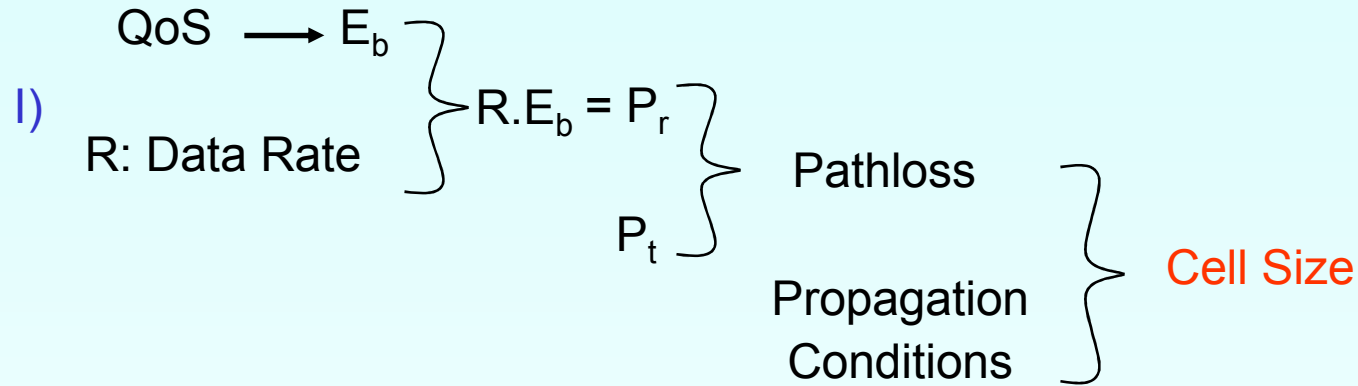
(more than the “current hot topic”)

advanced RAN architecture

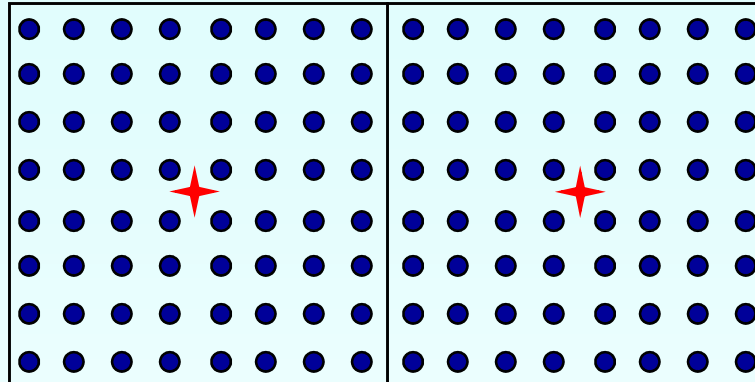
+ advanced RRM techniques

+ cooperation at all layers (L1, L2, L3, cross-layer)

## Cellular Design Fundamentals



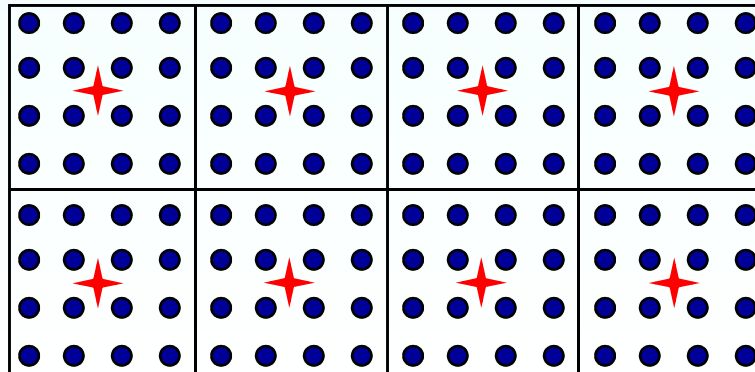
## Capacity-Limited Networks



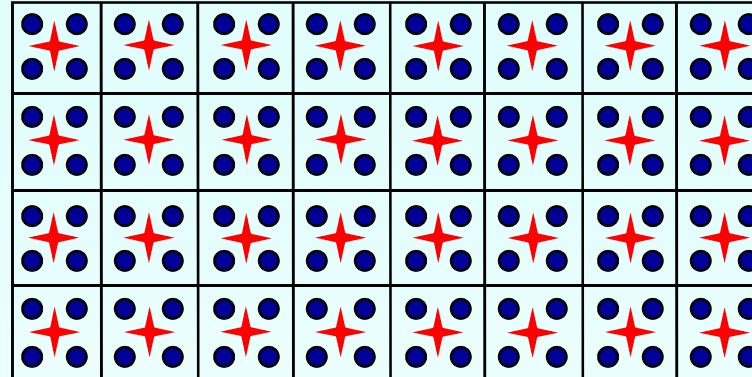
available capacity / cell < capacity demand

→ capacity limited

Solution: cell splitting



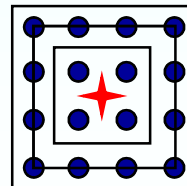
## Coverage-Limited Networks



available capacity / cell > capacity demand

→ coverage limited

Solution: range extension



## Capacity-Limited vs Coverage-Limited Networks

1G } Capacity limited → network grows as needed  
2G } → great success

3G

4G } Ubiquitous high data rate coverage limited  
5G } → very high deployment cost from the beginning  
→ great challenge

WLAN: low deployment cost → great success



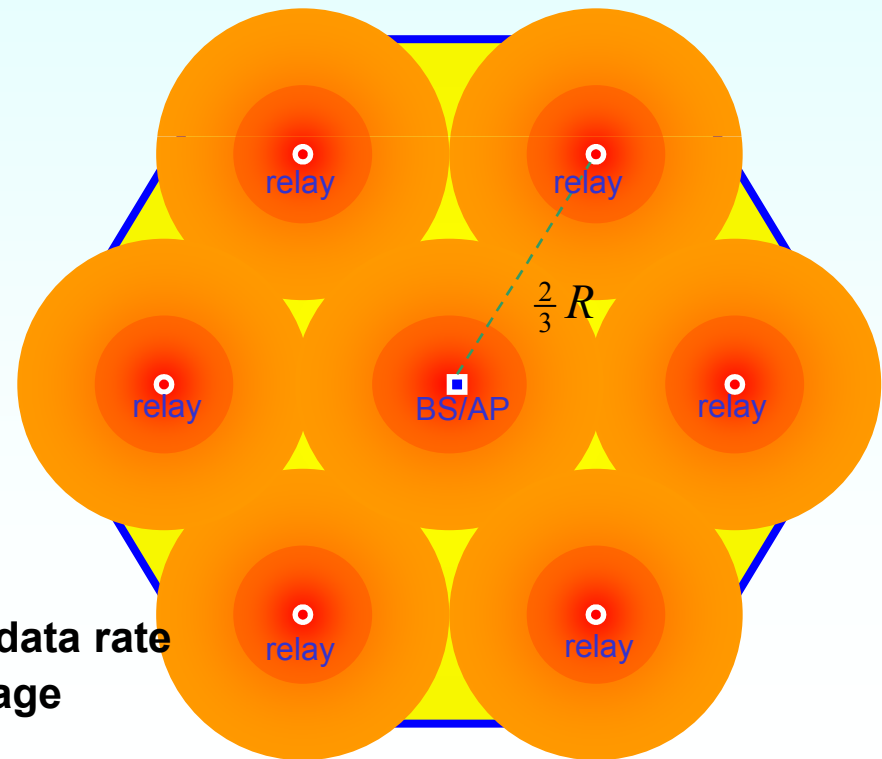
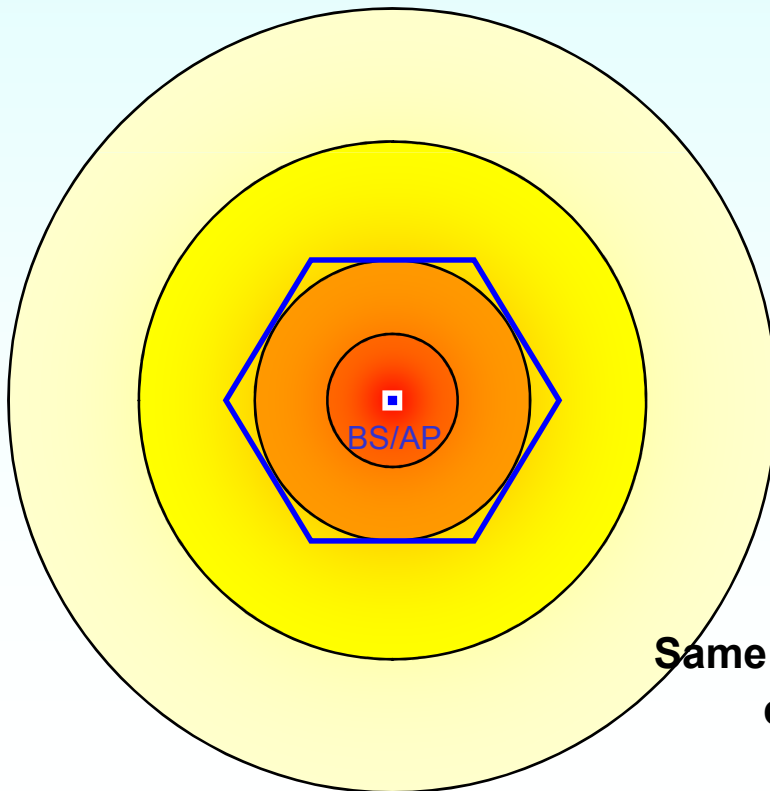
## Coverage Extension (**Cell-Edge Coverage**) through Digital Fixed Relays

- Low cost digital fixed relays located at strategic locations

No wired internet connection at relays

What is a relay?

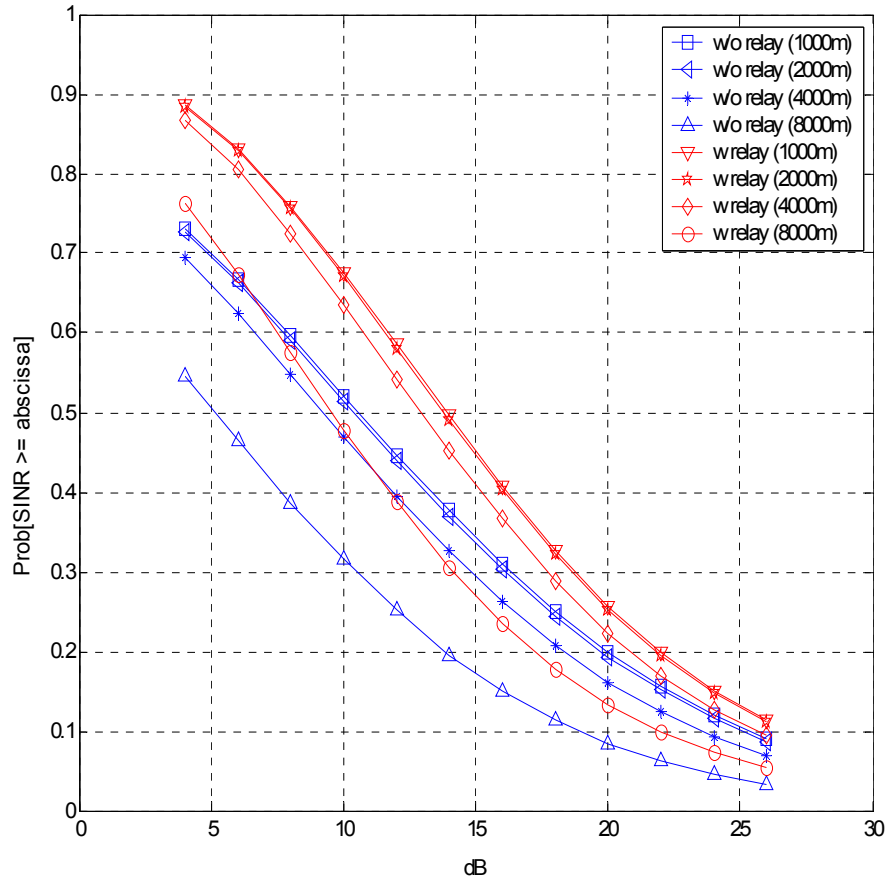
- Different from conventional repeaters (selective relaying)
- Different from ad hoc networks (routing is less of an issue)



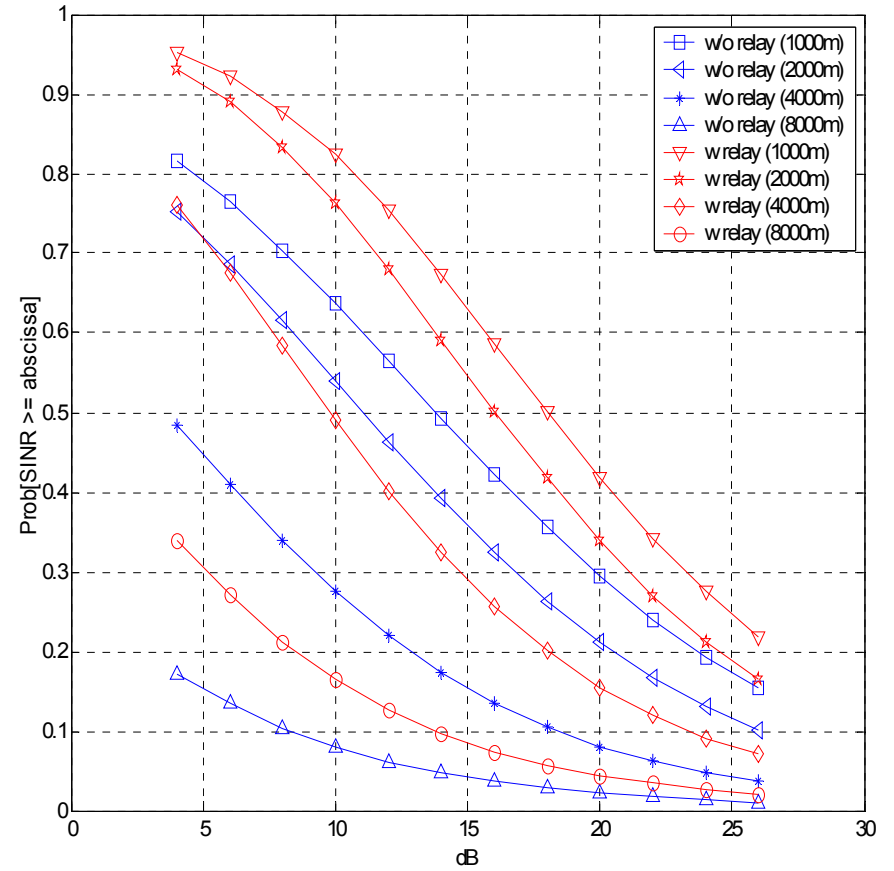
Same high data rate coverage

# Coverage CDFs

H. Hu, H. Yanikomeroglu, D.D. Falconer, S. Periyalwar  
 "Range Extension w/o Capacity Penalty in Cellular  
 Networks with Fixed Relays", *Globecom 2004*



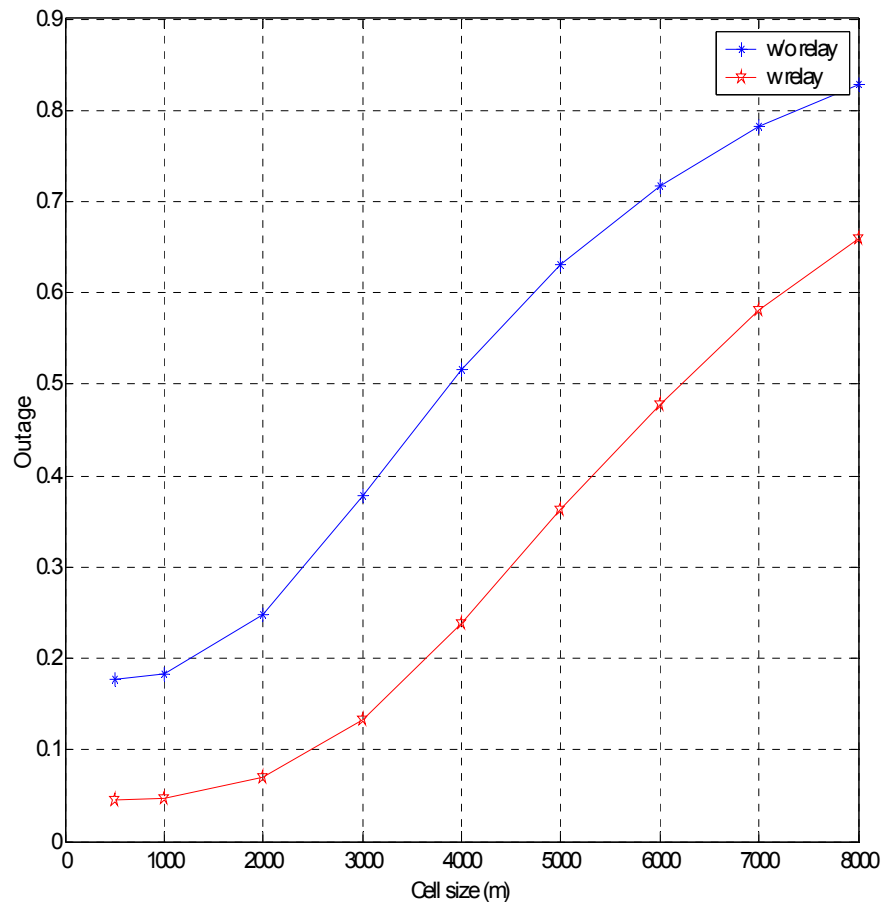
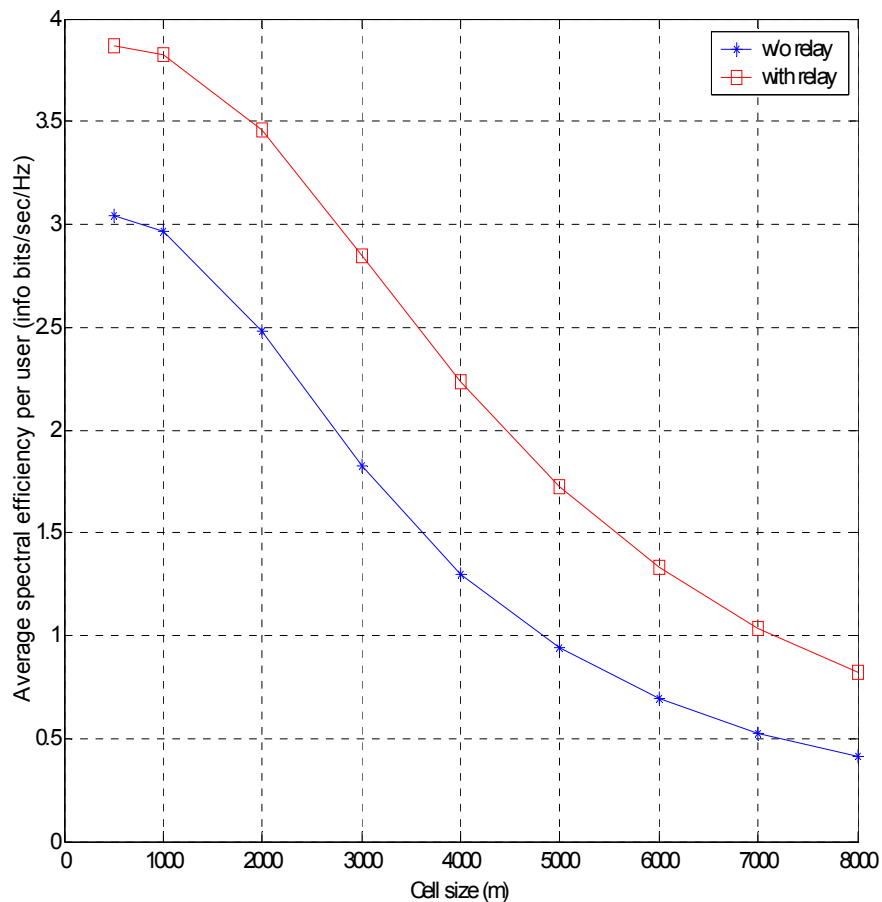
propagation exponent = 3



propagation exponent = 3.5

Average spectral efficiency w.r.t. cell size

Outage w.r.t. cell size

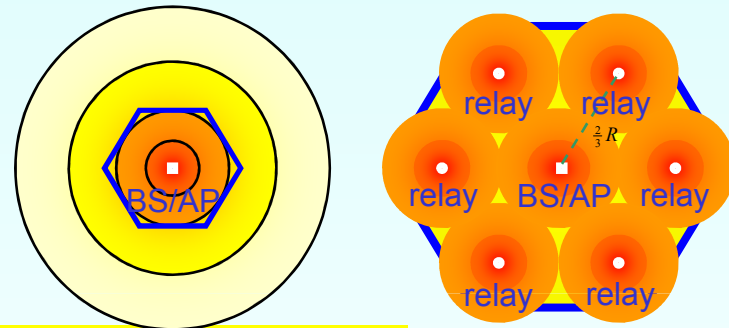


propagation exponent = 3.5

## Cost-Efficient Range Extension

◆ Same “average spectral efficiency” and “outage” w.r.t. cell size trends are observed for different values of

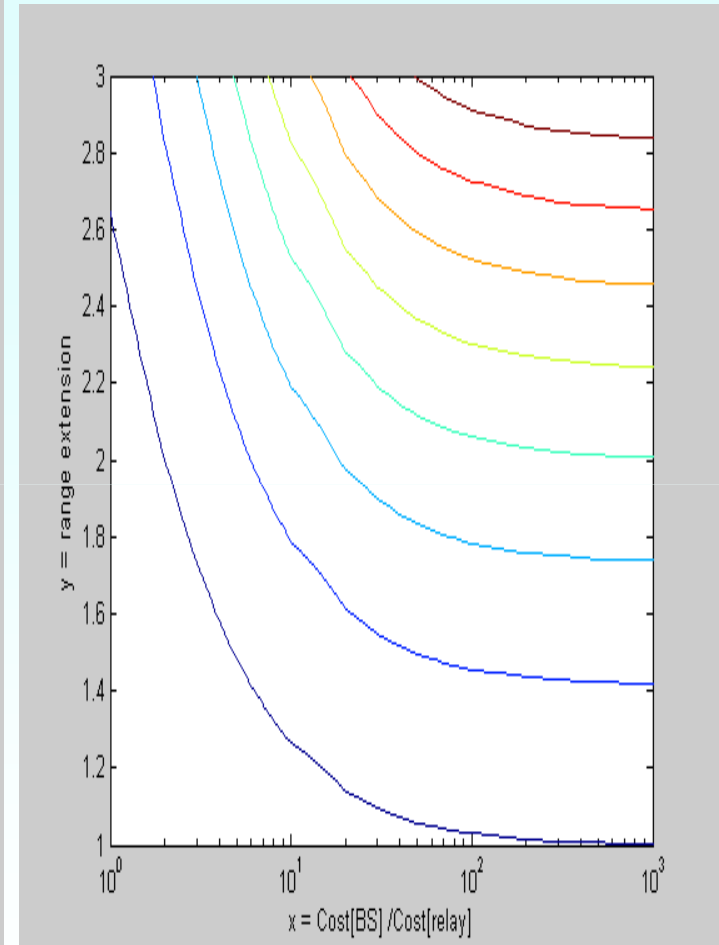
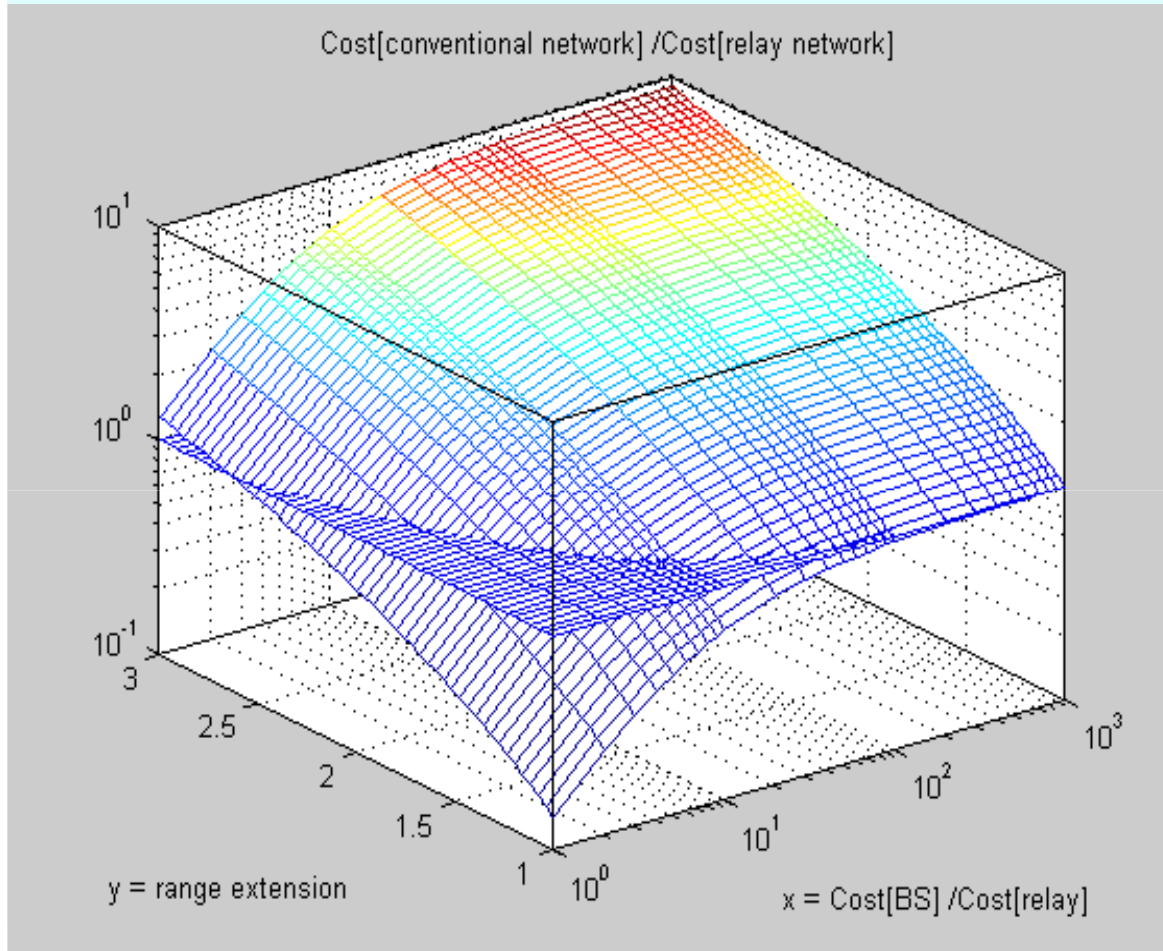
- Propagation exponent
- Cluster size
- Shadowing standard deviation
- BS transmit power



Relay networks: significant potential for range extension

$$\begin{aligned}
 \text{Ex: Range extension} &= x2 & \text{Cost [micro-BS] / Cost [relay]} &= 10 \\
 \text{Cost [microcellular network]} &/ \text{Cost [relay network]} \\
 &= \text{Cost [L micro-BSs]} / (\text{Cost [L/4 micro-BSs]} + \text{Cost [6L/4 relays]}) \\
 &= 40/16 = 2.5
 \end{aligned}$$

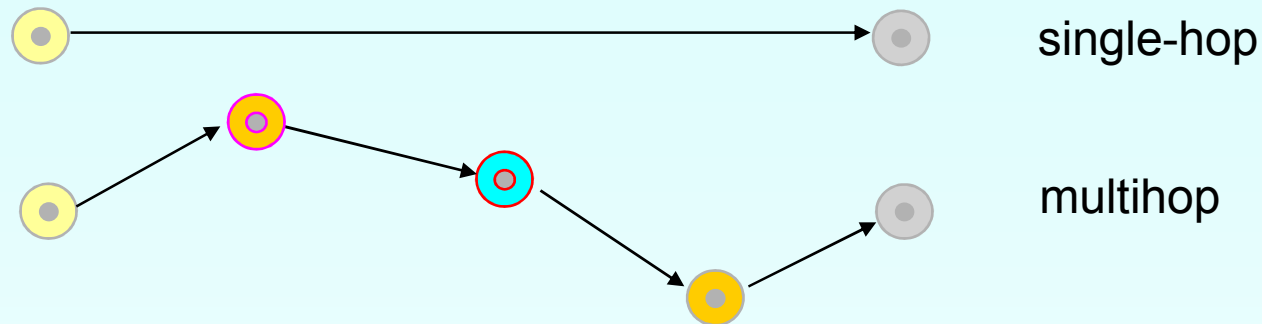
(IEEE Globecom 2004)



Cost [conventional network] / Cost [relay network]:

$$z = y^2 \frac{x}{x + 6}$$

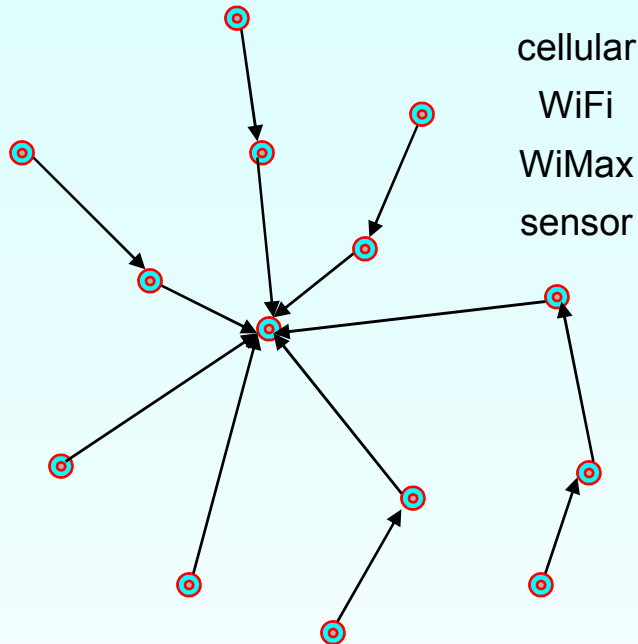
## RELAYING: Very many variations



- ◆ What type of multihop relay network?
  - Cellular, WiMAX, WLAN, sensor, ad hoc, PAN, ...
- ◆ What type of relay?
  - Fixed relay, nomadic relay, moving relay, terminal relay, sensor relay, wired relay, ...
- ◆ What type of operation?
  - Half-duplex, full-duplex
  - Amplify-and-forward (analog), decode-and-forward (digital), process-and-forward
  - L1 layer, L2 layer, L3 layer
- ◆ What type of cooperation?

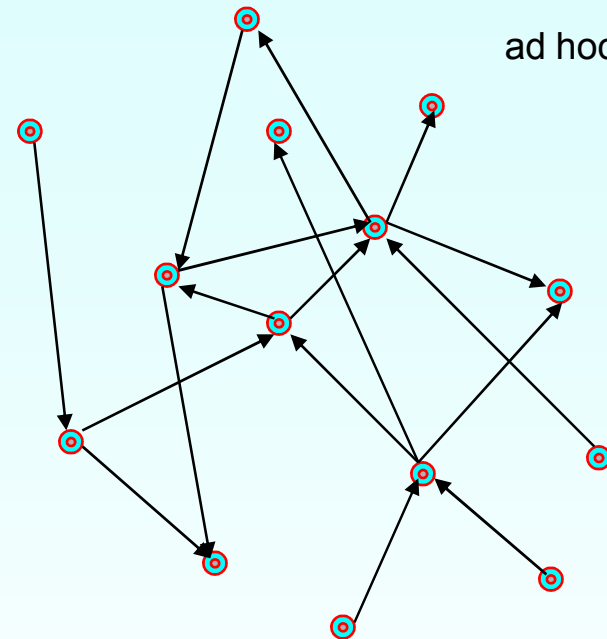


## Infrastructure-based vs Infrastructure-less Multihop Networks



infrastructure-based multihop network

BS/AP → common source or sink



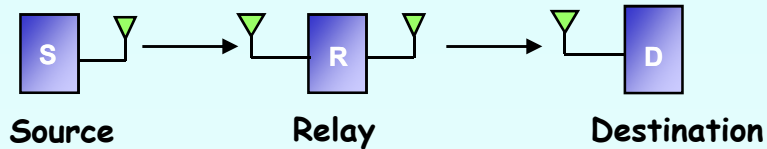
infrastructure-less multihop network

## Centralized vs Non-Centralized Multihop Networks

systems & networking layers: many differences

physical layer: many similarities

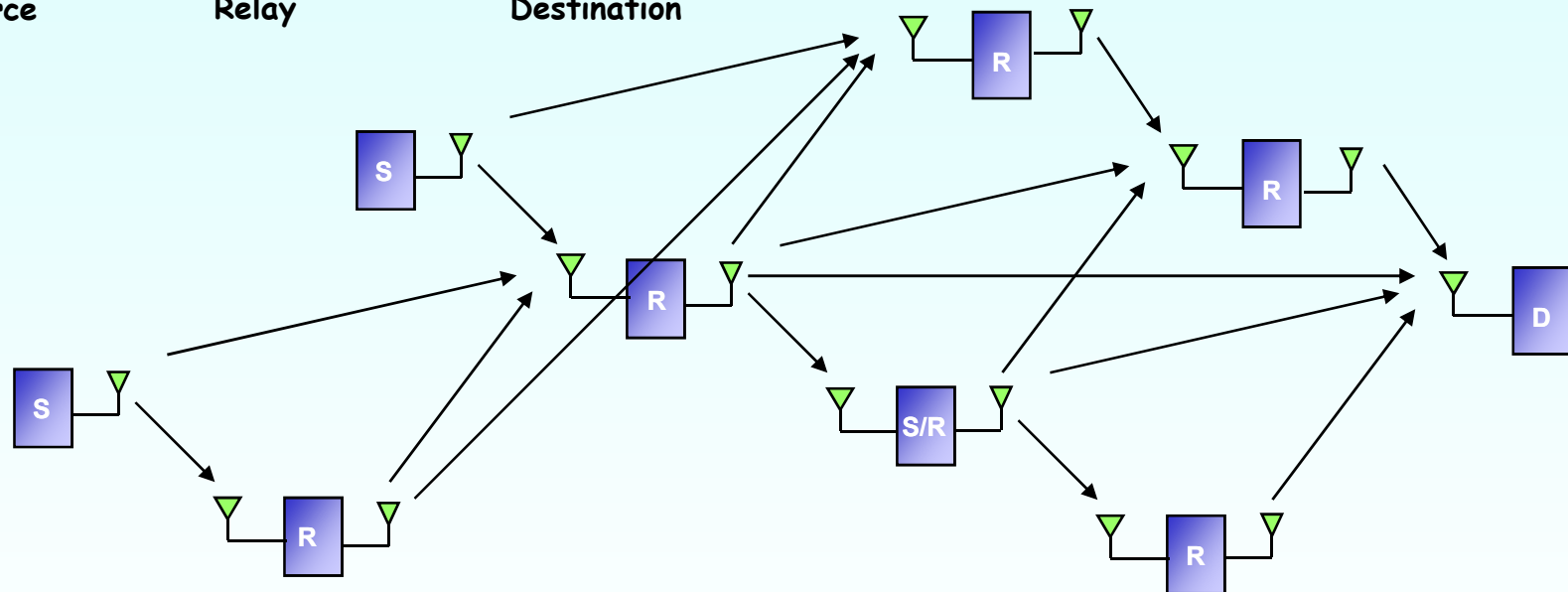
## Relaying: New Perspective vs Old Perspective



**Conventional relaying:** for coverage when high pathloss

Analog/digital dump (non-selective) repeaters

Satellite, microwave, cellular, deep space, ...



**Old perspective:** inadequate service due to heavy shadowing

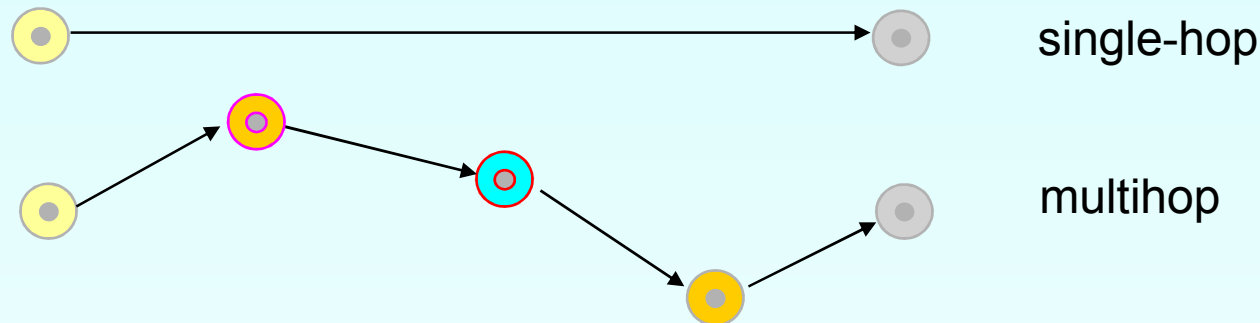
→ fixed repeaters (analog, on channel)

**New perspective:** inadequate service due to high rates

→ fixed/terminal relays (digital/analog, half-duplex, selective, cooperative, smart)



## Relaying...



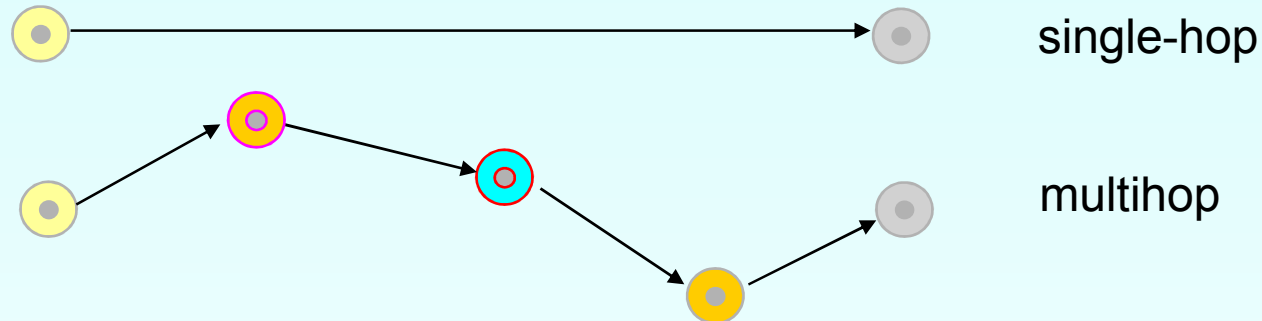
**Relaying:** paradigm shift in systems level

wired AWGN → wireless fading → wireless mesh

→ Impact in all layers of wireless communications

- \* propagation
- \* physical layer (PHY+IT+DSP) [channel capacity, cooperative relaying,...]
- \* multiple access layer (MAC) [RRM, scheduling, CAC, ...]
- \* networking layer [load balancing, routing, handoff, ...]
- \* higher layers and protocols

## Relaying...



**Relaying:** great interest in academia and industry

- \* great interest does not necessarily mean successful realization
- \* new network architecture → **network problem**
  - physical layer: just one element
  - MAC, networking, protocols: important
- \* time to realization:
  - TX diversity (Alamouti), turbo codes: 4-5 year
  - CDMA: 15 years
  - ad hoc networks:  $\infty$
  - relaying: will take some time, but will become a reality

## Relaying: Comprehensive Investigation Required

Simple example:

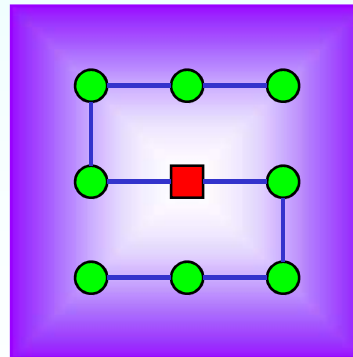
- ◆ Relaying: links (hops) with less path-loss  
higher spectral efficiency in each link
- ◆ Relay: cannot receive and transmit at the same channel (half-duplex)  
2-hop link → 2 channels (time or frequency)  
n-hop link → 2 to n channels
- ◆ Capacity gain or loss?

## Radio Access Network (RAN)

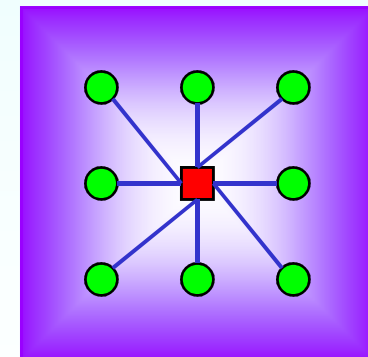
**Goal:** efficient ways of distributing the signals and collecting them

- ◆ Conventional cellular (with cell-splitting)
- ◆ Multihop relaying
- ◆ **DAS:** Distributed antenna system (radio-over-fibre, wired relay, microcellular with antenna remoting)
- ◆ **COMP:** Coordinated multipoint transmission; **network MIMO**
- ◆ **Femtocell**

**RAN:** key component in B4G/5G  
(**advanced RAN needs advanced RRM**)



Distributed Antennas

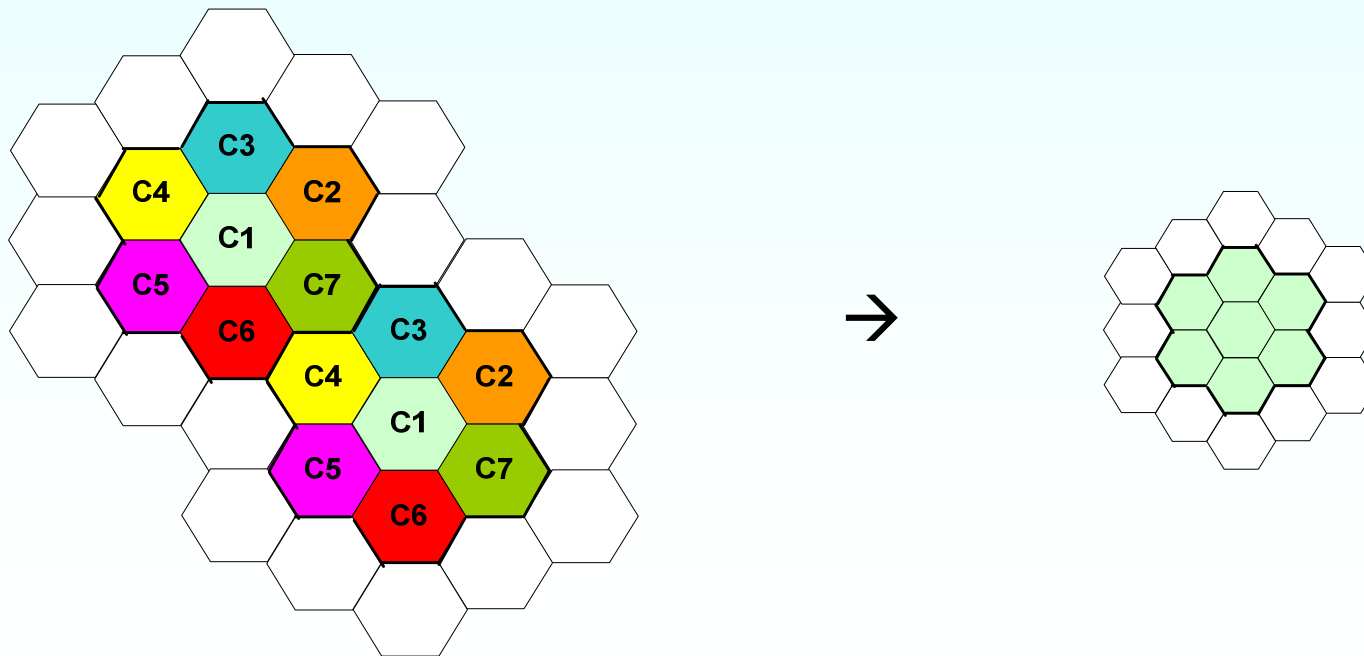


Microcellular Network

## Evolution of Cellular RAN

- ◆ Large cells  $\rightarrow$  small cells
- ◆ Cluster size: 7  $\rightarrow$  1
- ◆ RNC: for soft handoff in CDMA
- ◆ More drastic changes in RAN architectures are needed for cost-efficient, ubiquitous high data rate coverage

Overall, no substantial change in the last 25 years

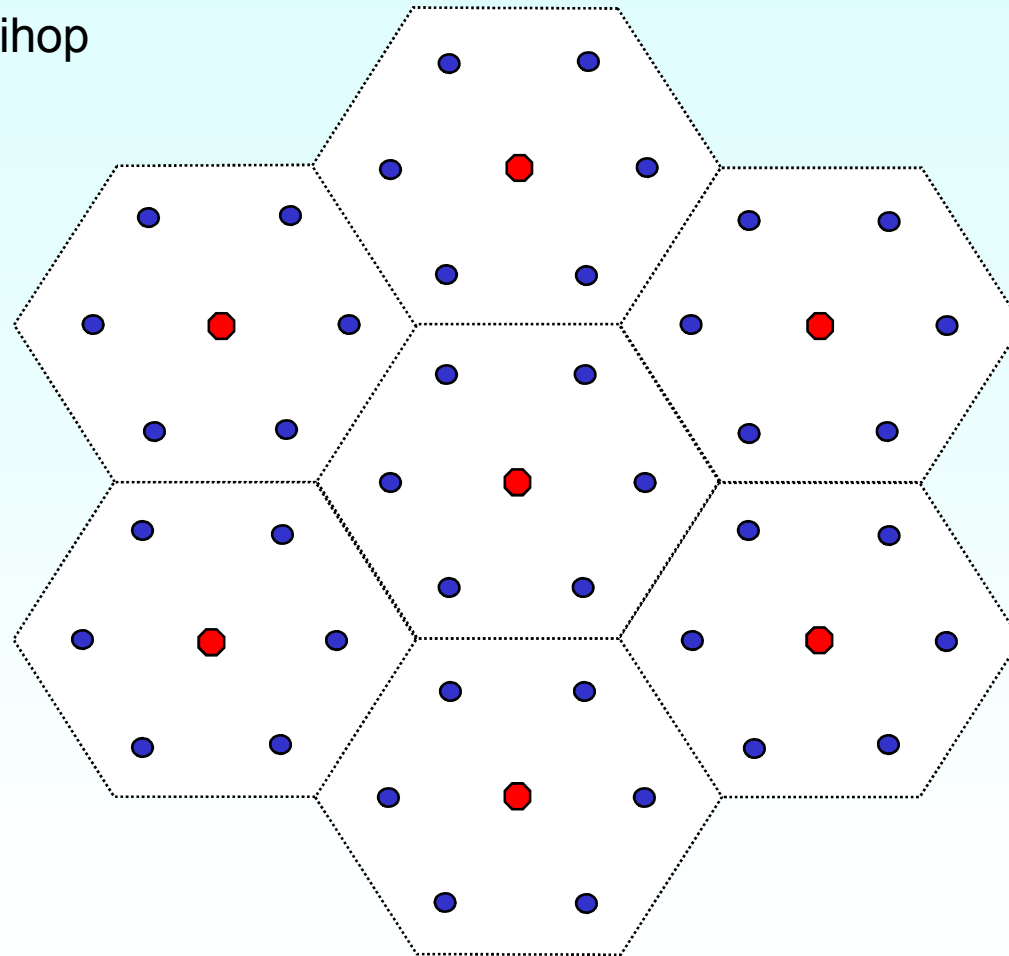


## Evolution of Radio Access Network (RAN)

Augmentation of multihop

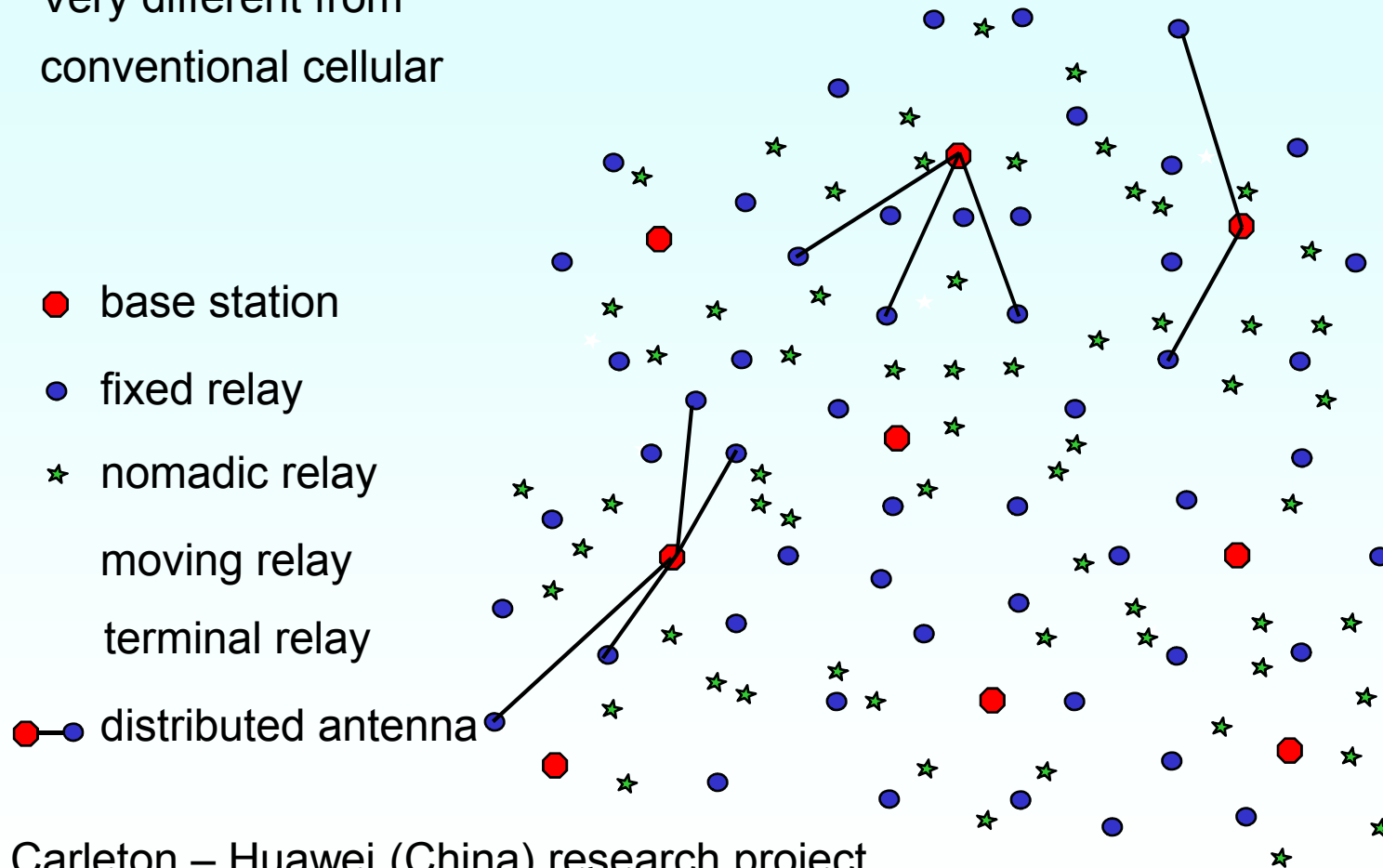
Relay enhanced cells

Fixed relays



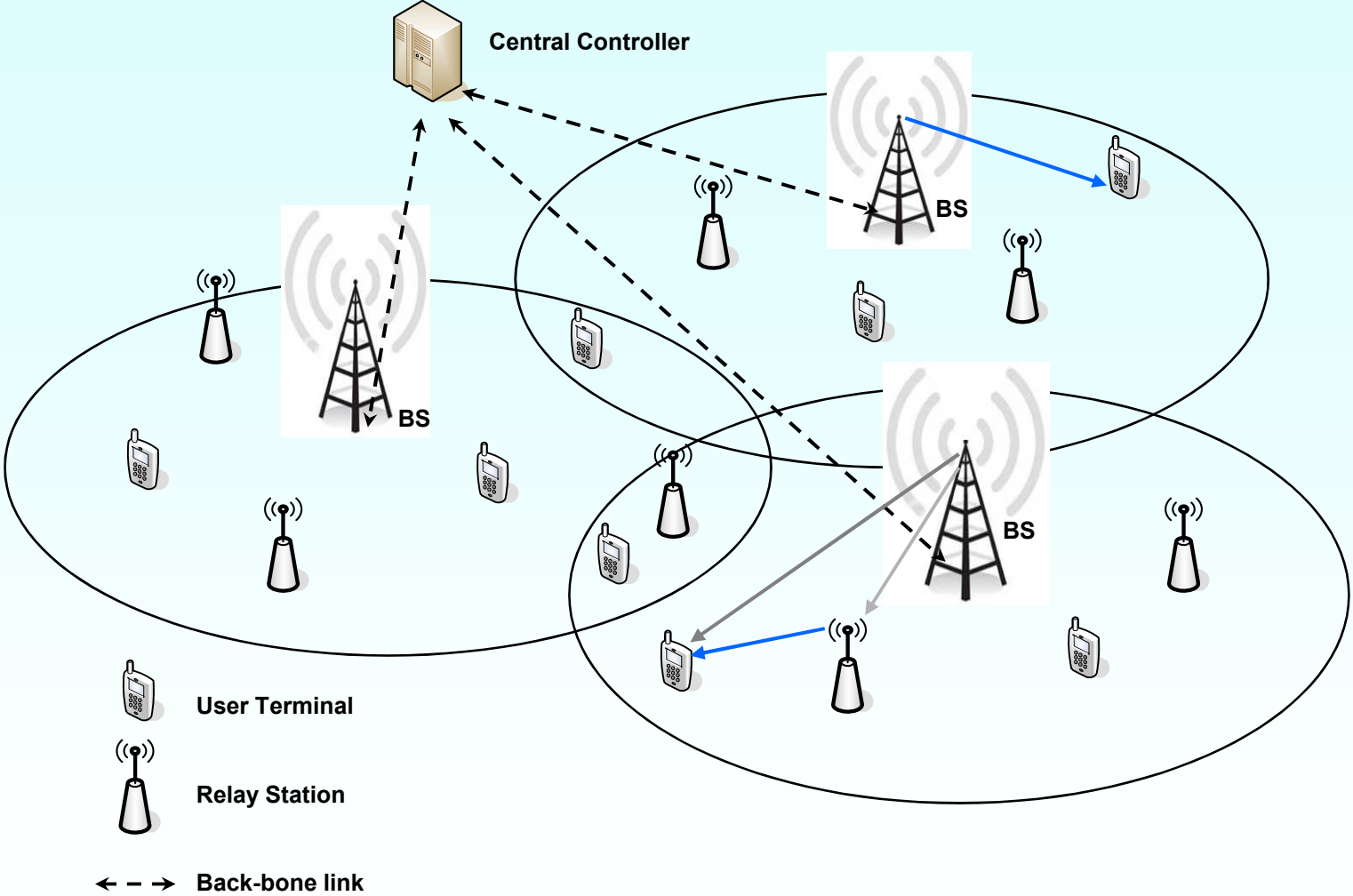
## Evolution of Radio Access Network (RAN)

Very different from  
conventional cellular



Carleton – Huawei (China) research project

Carleton – Samsung (Korea) research project

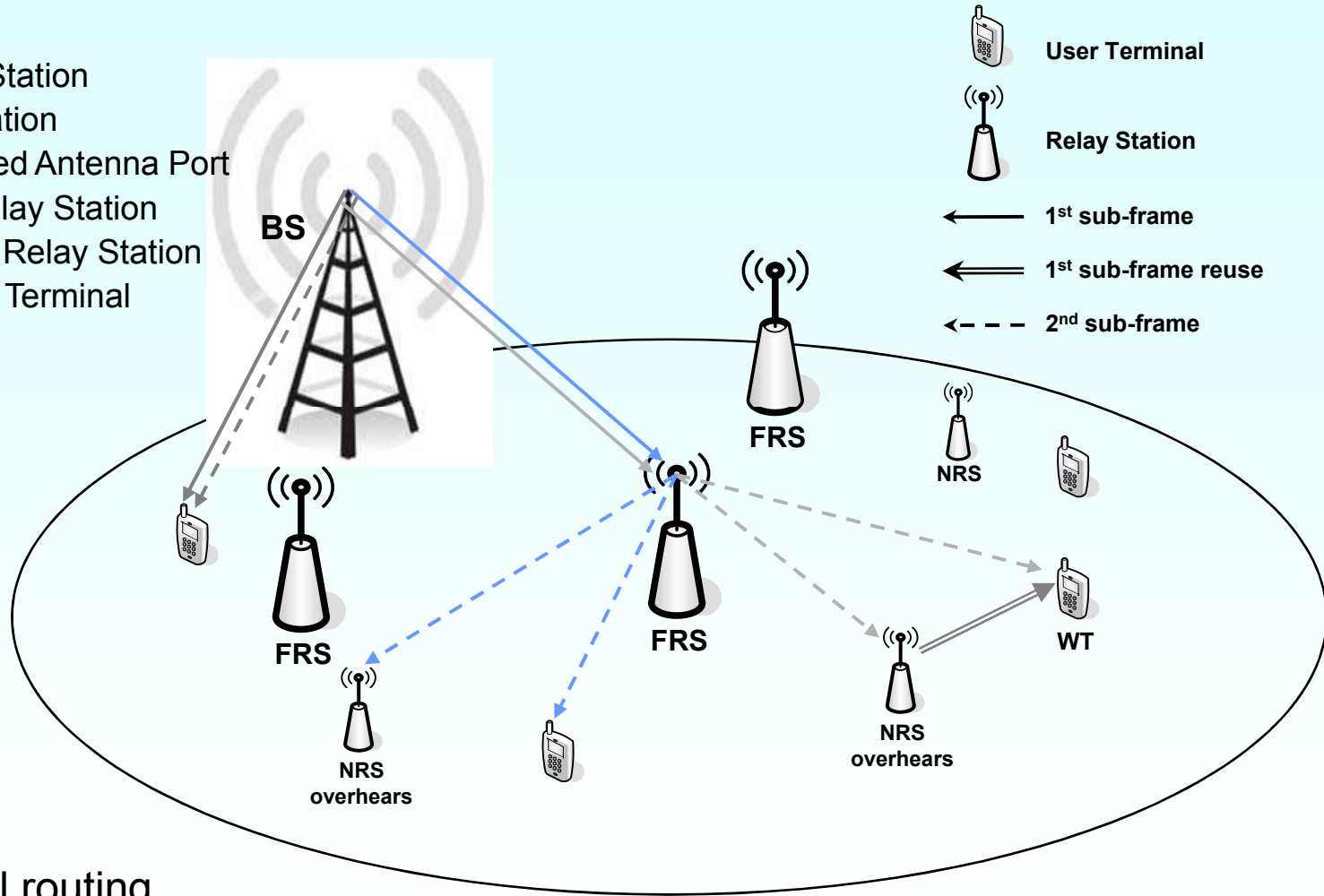




# Advanced Cellular RAN Architecture

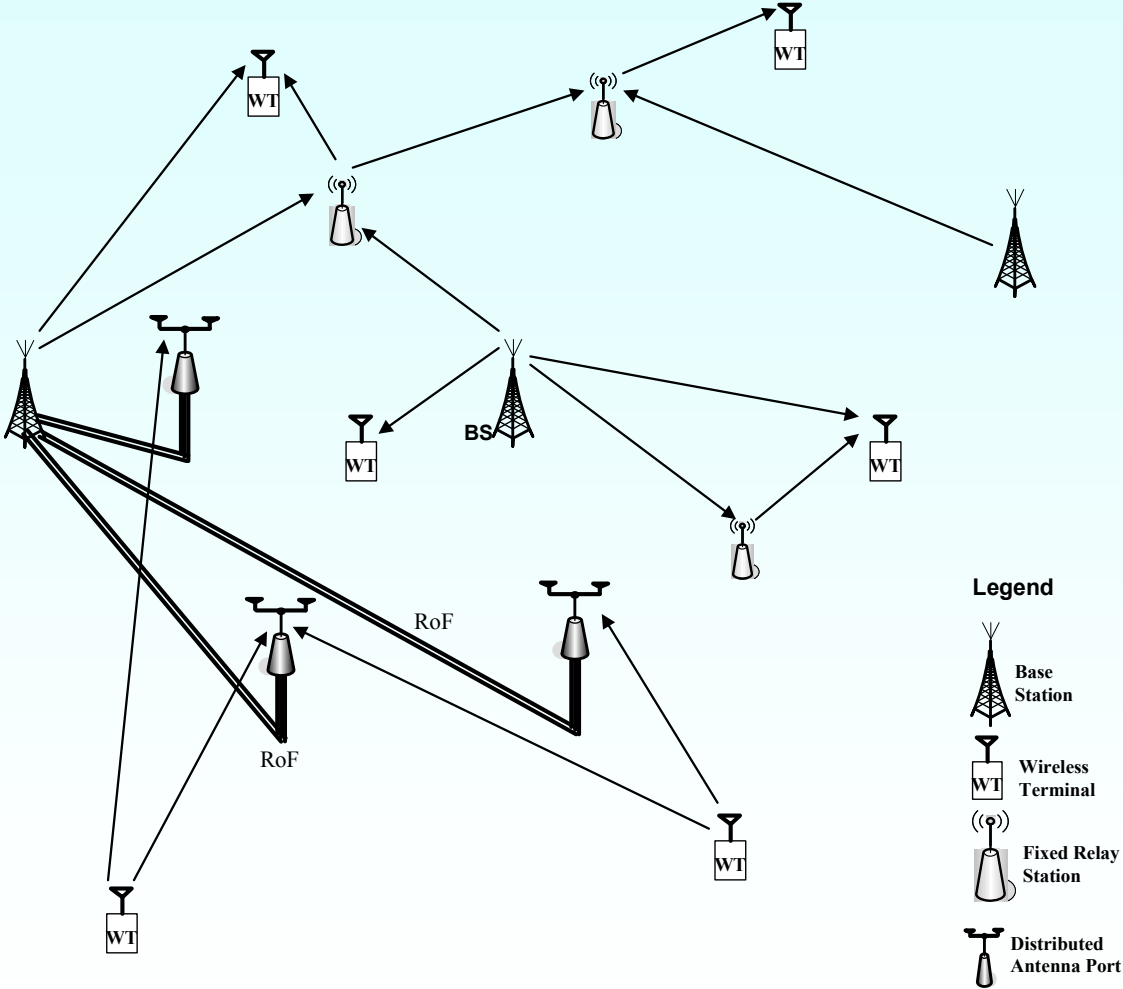
## RAN Elements

- CS: Central Station
- BS: Base Station
- DAP: Distributed Antenna Port
- FRS: Fixed Relay Station
- TRS: Terminal Relay Station
- WT: Wireless Terminal



Intra-cell routing

# Advanced RAN Architecture



Across network routing

## RAN Elements

- ◆ **BS, DAP**: connected to the backhaul through the wired medium (fibre)
- ◆ **BS, DAP**: different in the processing capability and functionality
  - **DAP**: wired relay with limited functionality (in comparison to full-fledged **BSs**) in order to achieve cost-effective deployment
- ◆ **FRS, TRS**: do not have wired connection to the backhaul
- ◆ **FRS**: likely to have much more functionality and capability (signal processing, MIMO, security, RRM, etc.) in comparison to a **TRS**.
- ◆ **FRS**: continuous DC power source from an outlet
- ◆ **TRS**: battery-powered

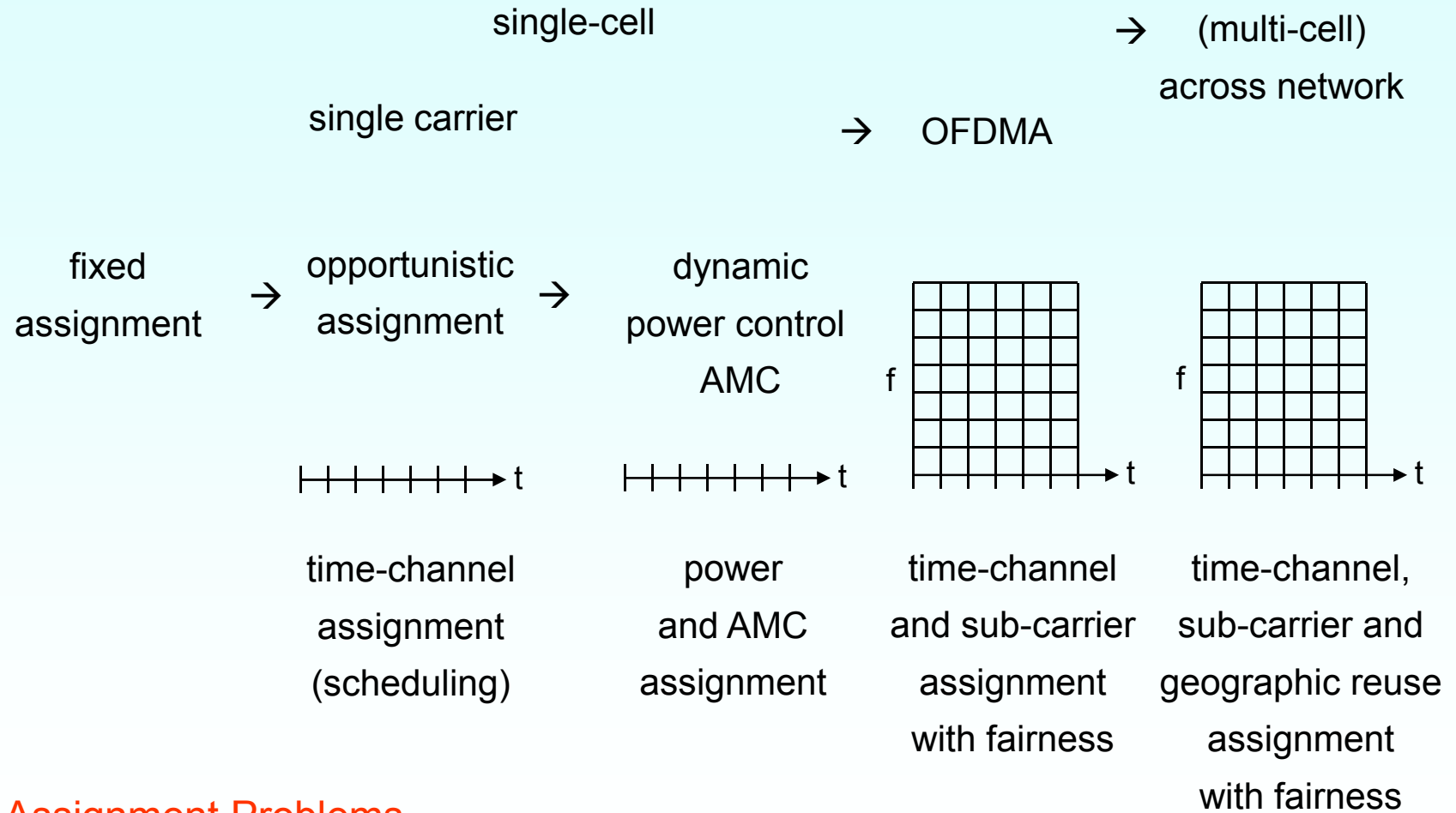
## Co-existence of **DAPs** and **FRSs**

- ◆ Main argument for **FRS**: deployment cost advantage (in comparison to micro-BS)
- ◆ Even if complexity & functionality of **FRS** = complexity & functionality of **BS**, **FRS** is still cost-effective because it does not require wired backhaul
- ◆ On the other hand, fiber penetration is relatively high in certain parts of the world (such as South East Asia), and this penetration is increasing → **DAP** may be a better choice than **FRS** if fibre is readily available
- ◆ **DAPs** and **FRSs** may coexist in the same network
- ◆ **RRM** makes all network elements work together

## Advanced RAN with Advanced RRM

- ◆ Any fixed assignment is inefficient
  - cannot adapt to or exploit channel conditions
  
- ◆ All decisions are dynamic and opportunistic
  - No a-priori partitioning of radio resources
  - No WT-BS assignment (dynamic routing in the mesh)
  
- ◆ Reuse may be  $> 1$
  
- ◆ Wired elements (BS, DA) and fixed relays:  
Cooperative RRM for interference management and avoidance
  
- ◆ Nomadic, moving, and terminal relays:  
Robust, distributed, plug-and-play, low-overhead, sub-optimum RRM algorithms  
→ cognitive radio (spectrum, OSA), dynamic feedback control, machine learning, artificial intelligence → inter-disciplinary
  
- ◆ **Very different from conventional cellular networks**

# Evolution of RRM



## Assignment Problems

## Centralized Coordination in RRM

### ◆ Advantages

- Potentially superior performance

### ◆ Disadvantages

- Computational complexity and cost
- Spectrum overhead (for CSI transmission)
- Wired backbone overhead
- Latency
- Scalability

## Distributed Cooperation in RRM

### ◆ Advantages

- Low latency
- Low complexity
- Low overhead
- Scalability

### ◆ Disadvantages

- Potential performance loss
- Robustness, stability, and convergence concerns



## Partly Centralized and Partly Distributed RRM

- ◆ Wired elements (BS, DAP, FRS)

→ centralized coordination

Coordinated RRM for interference management and avoidance

- ◆ Wireless elements (TRS, nomadic relay, moving relay)

→ distributed cooperation

Robust, distributed, plug-and-play, low-overhead, efficient RRM

Cognitive radio (opportunistic spectrum access): important

- ◆ Cellular FRS: (more) centralized

Mesh FRS: (more) distributed

## Feedback (Channel-State Information)

- ◆ Dynamics of L2 & L3 feedback are different than dynamics of L1 feedback
  - RRM algorithms are robust wrt imperfect CSI
  - RRM algorithms are robust wrt less frequent CSI

## Enabling Concepts and Analytical Tools

- ◆ Optimization
    - Essential tool
  
  - ◆ Cognitive Radio
    - with which TRSs to cooperate, to what extent, and in which capacity
    - when to transmit, at which subcarriers, and at what power levels
    - which DAP or FRS or BS to connect to
  
  - ◆ Game Theory
  
  - ◆ Machine Learning
  - ◆ Dynamic Feedback Control
  - ◆ Artificial Intelligence
- } unconventional concepts

## Concluding Remarks (Part I)

- ◆ NET (wireless IP) and CS (abstract) researchers vs PHY researchers  
Lack cross-layer design view
- ◆ L1: 60 years of research, tremendous background [ex:  $\log(1+\text{SNR})$ ]  
Matured analytical tools, part of undergrad and grad curriculum
- ◆ RRM research: started much later  
Cellular architecture: mid-1970's  
RAN and protocols: simple (until recently)  
Power control: early/mid-1990's  
OFDMA RRM in conventional RAN: late 1990's → ongoing  
Advance RAN + advance RRM: in its infancy  
Some analytical tools (operations research, optimization): not part of u/g ECE and grad communications curricula
- ◆ L1: mature; returns: in the order of 1 dB (channel coding: 0.1 dB)  
L2, L3, X-L: not mature yet; returns: possibly substantial
- ◆ Cost-efficient and virtually ubiquitous ultra-high data rate coverage:  
Advanced RAN + advanced RRM  
Highly-complex cross-layer and across-network design  
**Substantial research and performance enhancement opportunity**

## Tutorial/Overview/Perspective Papers on RAN and RRM

- ◆ Petar Djukic, Halim Yanikomeroglu, and Jietao Zhang, “User-centric RRM and optimizable protocol design for beyond-4G RANs”, *WWRF22 Meeting*, 5–7 May 2009, Paris, France.
- ◆ Halim Yanikomeroglu and Jietao Zhang, “Beyond-4G cellular networks: advanced radio access network (RAN) architectures, advanced radio resource management (RRM) techniques, and other enabling technologies”, *WWRF21 Meeting*, 13–15 October 2008, Stockholm, Sweden.

## Tutorial/Overview/Perspective Papers

- ◆ H. Yanikomeroglu "Fixed and mobile relaying technologies for cellular networks", *Second Workshop on Applications and Services in Wireless Networks (ASWN'02)*, pp. 75-81, 3-5 July 2002, Paris, France.
- ◆ H. Yanikomeroglu, "Cellular multihop communications: infrastructure-based relay network architecture for 4G wireless systems", *the 22nd Queen's Biennial Symposium on Communications (QBSC'04)*, 1-3 June 2004, Queen's University, Kingston, Ontario, Canada; invited paper.
- ◆ R. Pabst, B. H. Walke, D. C. Schultz, P. Herhold, H. Yanikomeroglu, S. Mukherjee, H. Viswanathan, M. Lott, W. Zirwas, M. Dohler, H. Aghvami, D. D. Falconer, and G. P. Fettweis, "Relay-based deployment concepts for wireless and mobile broadband radio", *IEEE Communications Magazine*, vol. 42, no. 9, pp. 80-89, September 2004.
- ◆ R. Bruno, M. Conti, and E. Gregori, "Mesh networks: commodity multihop ad hoc networks", *IEEE Communications Magazine*, vol. 43, vol. 3, pp. 123-131, March 2005.

## **PART II: Relaying – A Closer Look**

- ◆ What can relaying offer
- ◆ When to relay
- ◆ Who relays
- ◆ How to relay (types of relaying and protocols; cooperation, diversity)
- ◆ What to do at destination

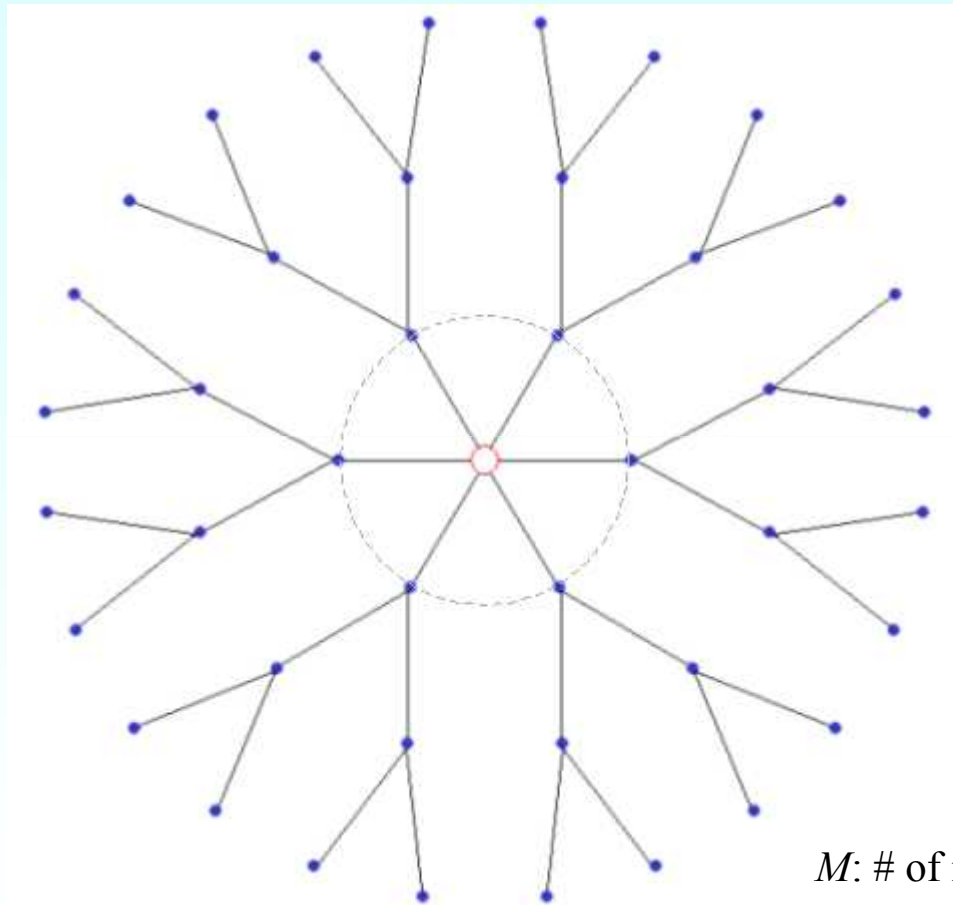
## What can relaying offer?

How much aggregate capacity (throughput) improvement does relaying offer?

- ◆ The most advanced RAN with all types of relays
- ◆ The most advanced RRM
- ◆ The most advanced cooperation schemes



## Capacity of Cellular Fixed Relay/Mesh Networks



○ : Central Node (CN)

● : Relay

— : Wireless Link

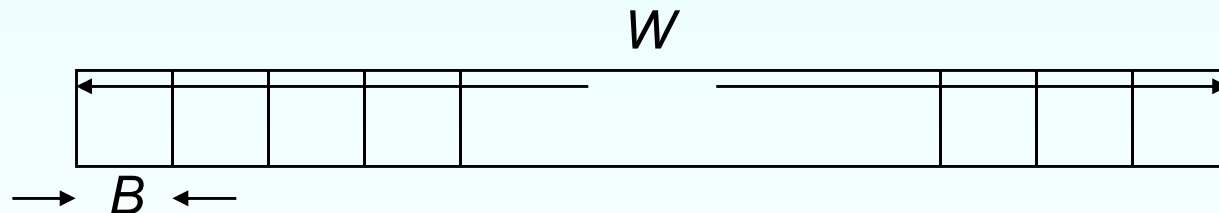
- Only CN is connected to the backhaul
- No Tx & Rx on the same channel for a relay
- Nodes have two kinds of antenna
- Direct link with only the neighbor nodes
- Same BW for each primary link

$M$ : # of root nodes (trees)

$N$ : # of nodes per tree

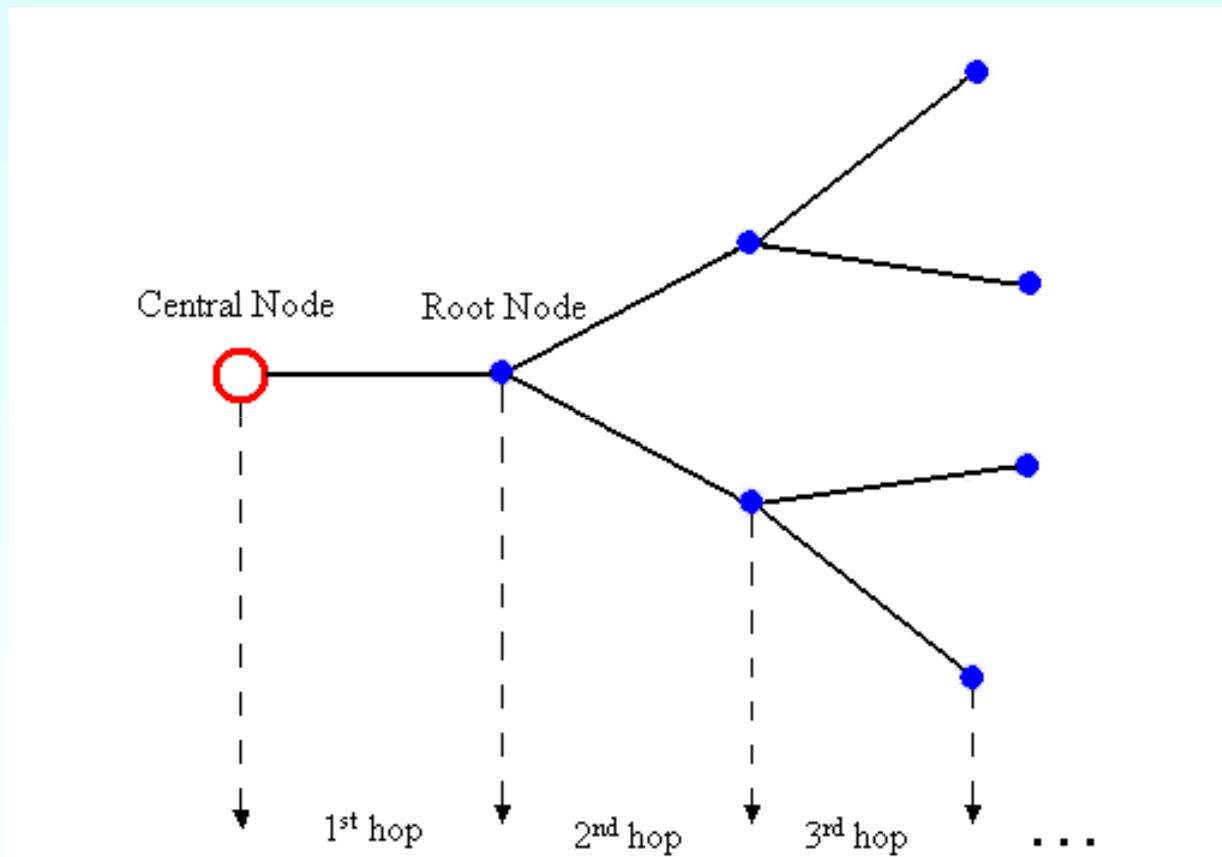
$MN+1$ : # of nodes per cell

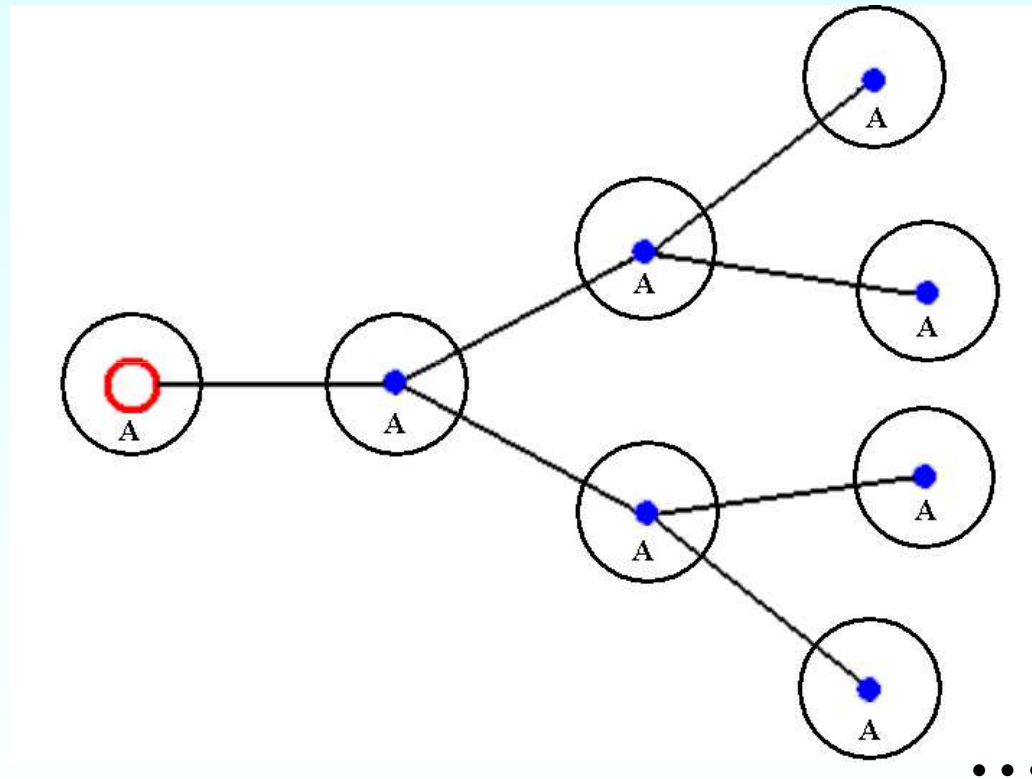
- $W$  (Hz) : Total available bandwidth for a cell  
 $B$  (Hz) : Available bandwidth for a relay  
 $R_{CCN}$  (bits/sec) : Capacity of the Conventional Cellular Network (CCN)  
 $R_{CFRN}$  (bits/sec) : Capacity of the Cellular Fixed Relay Network (CFRN)  
 $R_B$  : Capacity of a relay  
 $M$  : Number of the root nodes  
 $N$  : Number of nodes of a tree

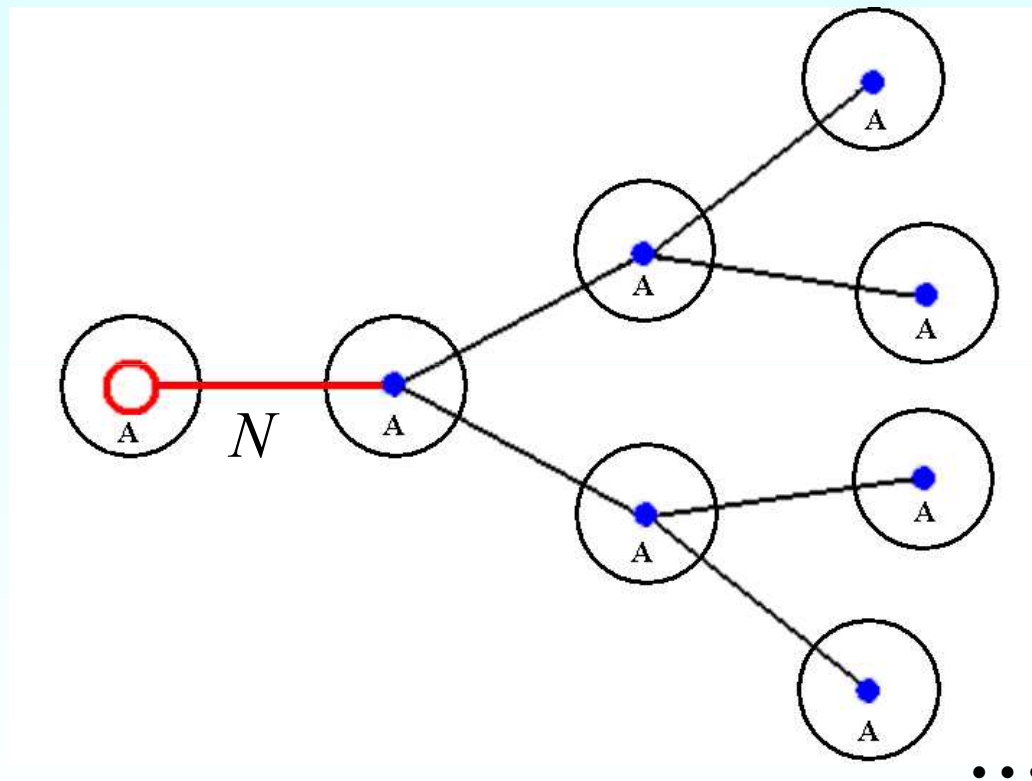


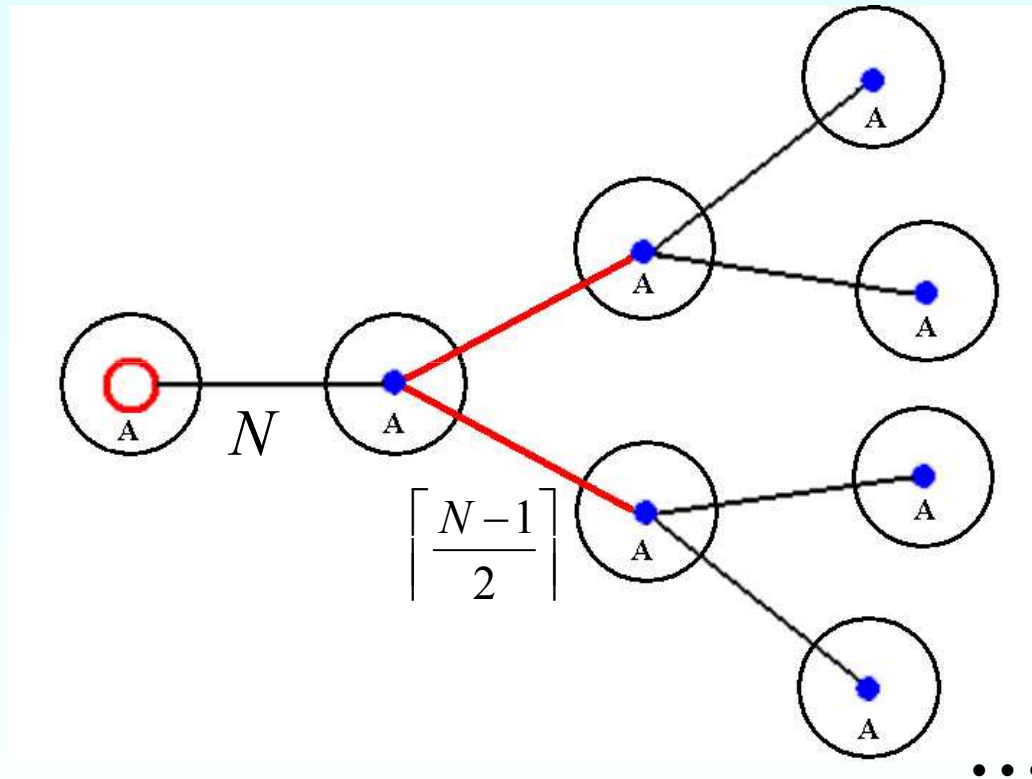
$R_{CCN} = R_W$  : Capacity of CCN is a function of  $W$

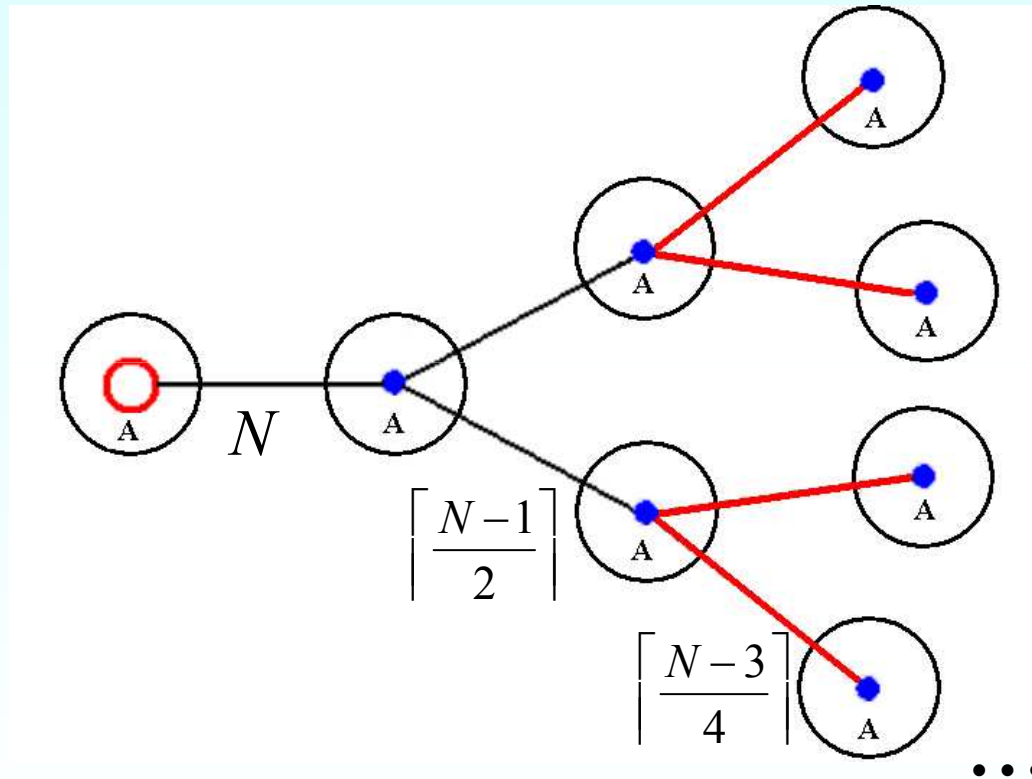
$$R_{CFRN} = (M.N+1)R_B \approx M.N.R_B$$











Total Number Channel Groups:  $W / B = N + \left\lceil \frac{N-1}{2} \right\rceil + 1$

$$\Rightarrow W = \left\{ N + \left\lceil \frac{N-1}{2} \right\rceil + 1 \right\} B$$
$$\Rightarrow R_W = \left\{ N + \left\lceil \frac{N-1}{2} \right\rceil + 1 \right\} R_B$$



Previously it is stated that:  $R_{CFRN} \approx M \cdot N \cdot R_B$

$$\text{Then, } \Rightarrow R_{CFRN} \approx \left( \frac{N}{N + \left\lceil \frac{N-1}{2} \right\rceil + 1} \right) (M \cdot R_W)$$

$$\Rightarrow R_{CFRN} \approx \left( \frac{2}{3} \right) (M \cdot R_W)$$

In general, if each node has  $p$  child nodes:

$$R_{CFRN} \approx \frac{p}{p+1} (M \cdot R_W) = \frac{p}{p+1} (M \cdot R_{CCN})$$

Total number channel groups when all the hop links use orthogonal channel groups:

$$N_T = N + \sum_{k=2}^q \left( \frac{N - \left( \frac{p^{k-1} - 1}{p - 1} \right)}{p^{k-1}} \right) + 1 = N + N \sum_{k=2}^q \frac{1}{p^{k-1}} - \sum_{k=2}^q \frac{p^{k-1} - 1}{p^{k-1}(p - 1)} + 1$$

Then:

$$R_{CFRN} \approx \left[ \lim_{N \rightarrow \infty} \left( \frac{N}{N + N \sum_{k=2}^q \frac{1}{p^{k-1}} - \sum_{k=2}^q \frac{p^{k-1} - 1}{p^{k-1}(p - 1)} + 1} \right) \right] (MR_W) = \frac{p - 1}{p} (MR_W)$$

## Capacity Comparisons

- ◆ When every other 'hop' links reuse the same channel groups:

$$R_{CFRN} \approx \frac{p}{p+1} (M \cdot R_{CCN})$$

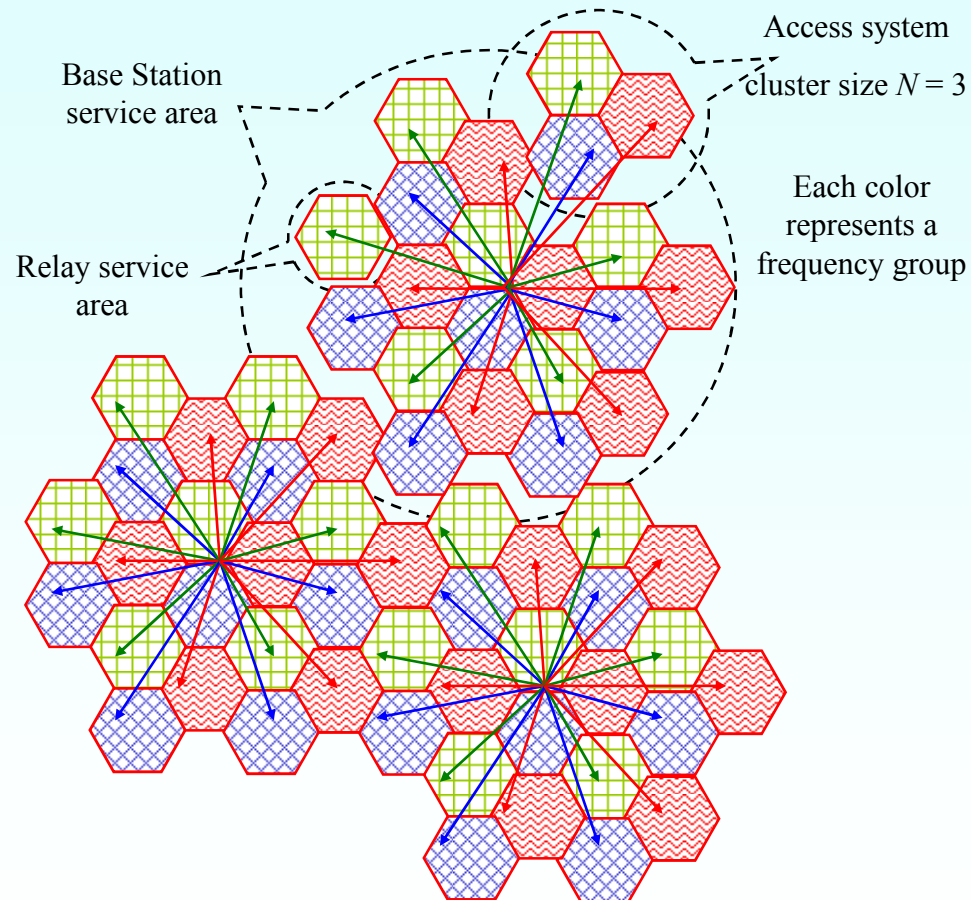
- ◆ When all of the links use orthogonal channel groups:

$$R_{CFRN} \approx \frac{1}{\log_p(N+1)+1} (M \cdot R_{CCN})$$

## Bandwidth Allocation to Access and Feeder Systems

Florea, Yanikomeroglu

IEEE WCNC'06

 $B_T$ : Total BW $B_A$ : total Access BW $B_F$ : total Feeder BW $B_a$ : access BW for each relay $B_f$ : feeder BW for each relay $\mu_a$ : spectral efficiency for access $\mu_f$ : spectral efficiency for feeder $n_r$ : number of relays

$$B_T = B_A + B_F$$

$$B_A = NB_a$$

$$B_F = n_r B_f$$

$$B_f \mu_f = B_a \mu_a$$

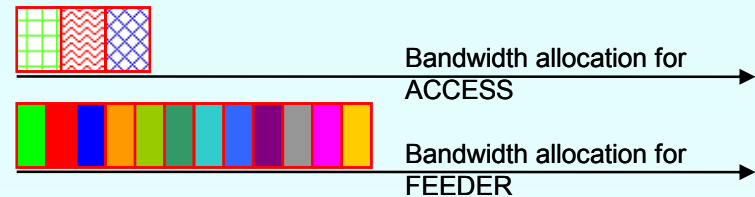
## Bandwidth Allocation to Access and Feeder Systems

$$\frac{B_A}{B_T} = \frac{1}{1 + \frac{n_r \mu_a}{N \mu_f}}$$

access portion

$$\frac{B_F}{B_T} = \frac{1}{1 + \frac{N \mu_f}{n_r \mu_a}}$$

feeder portion



if  $n_r \rightarrow \infty$ , then  $B_A/B_T \rightarrow 0$  and  $B_F/B_T \rightarrow 1$

$$\text{Throughput: } T = n_r B_a \mu_a = \frac{B_T}{\frac{N}{n_r \mu_a} + \frac{1}{\mu_f}}$$

if  $n_r \rightarrow \infty$ , then  $T \rightarrow \mu_f B_T$

Ex:  $n_r = 25$ ,  $\mu_f = 4$ ,  $\mu_a = 2$

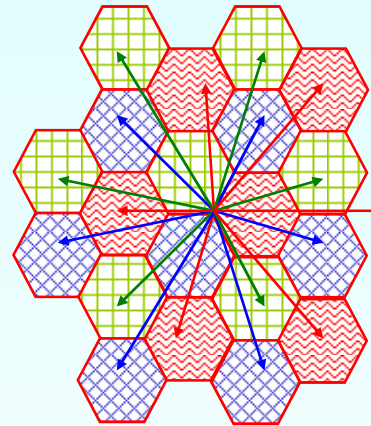
|         | access, feeder | throughput |
|---------|----------------|------------|
| $N = 3$ | 19%, 81%       | $3.2 B_T$  |
| $N = 1$ | 7%, 93%        | $3.7 B_T$  |

## Bandwidth Allocation to Access and Feeder Systems

Why to use relays?



100 Mbps – only in this small area



67 Mbps – in a much larger area

## Cellular Relay Network vs Conventional Cellular Network

Potential capacity =  $n W \log(1+\text{SNR})$  : remains more or less the same

Usable capacity: increases (outage decreases) due to better coverage

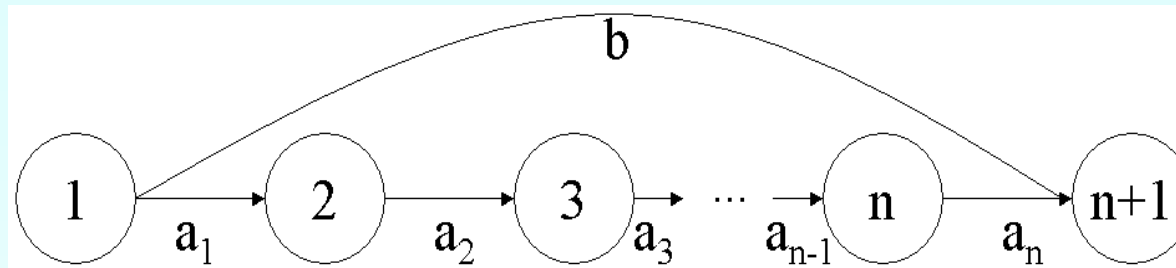
Relays distribute the total capacity throughout the coverage region

|  | Relay Network | Cellular Network |
|--|---------------|------------------|
| Cost-effective high data rate coverage | excellent     | moderate         |
| Capacity (aggregate throughput)        | moderate      | excellent        |

### Rule of Thumb in Design:

- \* Deploy as many BSs/APs as needed according to capacity demand
- \* Then distribute the capacity in the coverage region evenly using as many relays as needed

## Spectral Efficiency of n-hop Link with Orthogonal Channels



$b$  : spectral efficiency of single-hop link

$a_i$  : spectral efficiency in hop  $i$

$a_c$ : net (overall) spectral efficiency of  $n$ -hop link

$M$ : message size

$W$ : bandwidth

$T$ : message transfer time

$$T_{MH} = T_1 + T_2 + \dots + T_n \quad (\text{assuming orthogonal channels})$$

$$T_{MH} = M/(Wa_1) + M/(Wa_2) + \dots + M/(Wa_n) = M/(Wa_c)$$

$$a_c = \frac{1}{\frac{1}{a_1} + \frac{1}{a_2} + \frac{1}{a_3} + \dots + \frac{1}{a_n}}$$



## Multiplexing Loss in Multihop Relaying with Orthogonal Channels

◆ When does it make sense to break a single-hop into multiple hops?

◆ Low SNR case

Single-hop with 4 dB SNR  $\rightarrow$   $\frac{1}{2}$ -rate QPSK: 1 b/s/Hz

Two hops each with 12 dB SNR  $\rightarrow$   $\frac{3}{4}$ -rate 16-QAM: 3 b/s/Hz

Net spectral efficiency: 1.5 b/s/Hz  $\rightarrow$  **use multihop**

◆ High SNR case

Single-hop with 26 dB SNR  $\rightarrow$  full-rate 64-QAM: 6 b/s/Hz

Two hops each with 34 dB SNR  $\rightarrow$  128-QAM: 7 b/s/Hz

Net spectral efficiency: 3.5 b/s/Hz  $\rightarrow$  **use single-hop**

**Rule of thumb:** low SNR  $\rightarrow$  multi-hop **opportunistic relaying**

high SNR  $\rightarrow$  single-hop

## Multi-Hop Criterion

Florea, Yanikomeroglu  
IEEE Globecom'05

If single-hop SNR ( $\gamma$ ) satisfies

$$\gamma < \frac{(n+1)^{pn}}{n^{p(n+1)}}$$

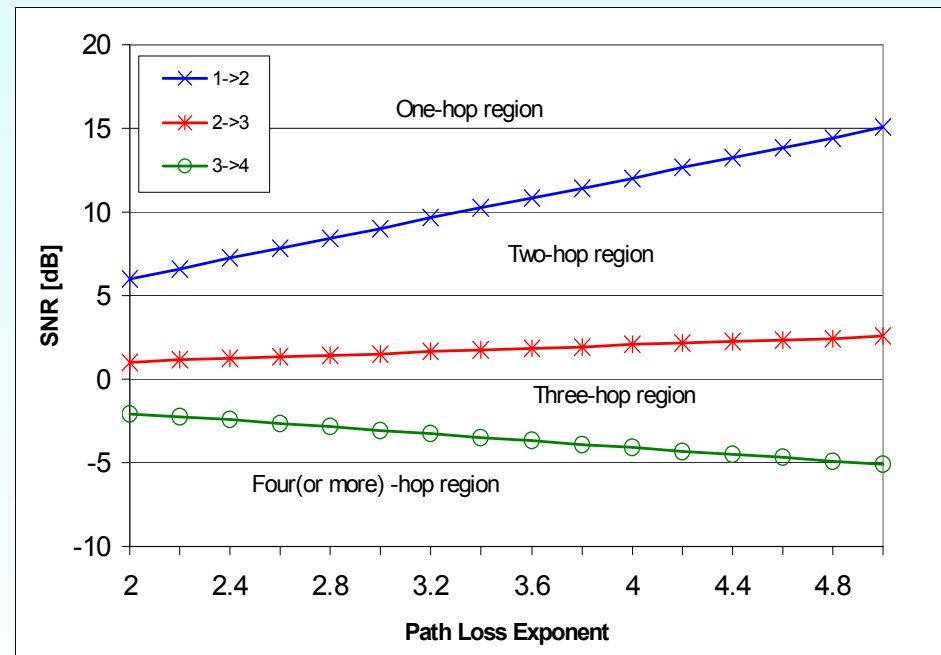
then

$$[\text{net spectral efficiency}]_{n+1} > [\text{net spectral efficiency}]_n$$

- $n$  - number of hops
- $p$  - path loss exponent

Assumptions:

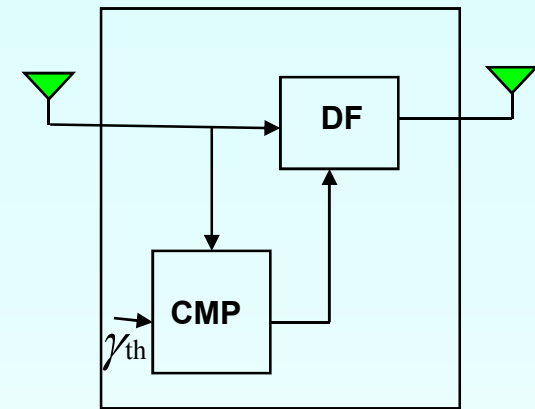
- all links have same path loss exponent  $p$
- All relays are placed uniformly on a in straight line from source to destination



SNR values under which there exists a  $(n+1)$ -hop link with better spectral efficiency compared with an  $n$ -hop link

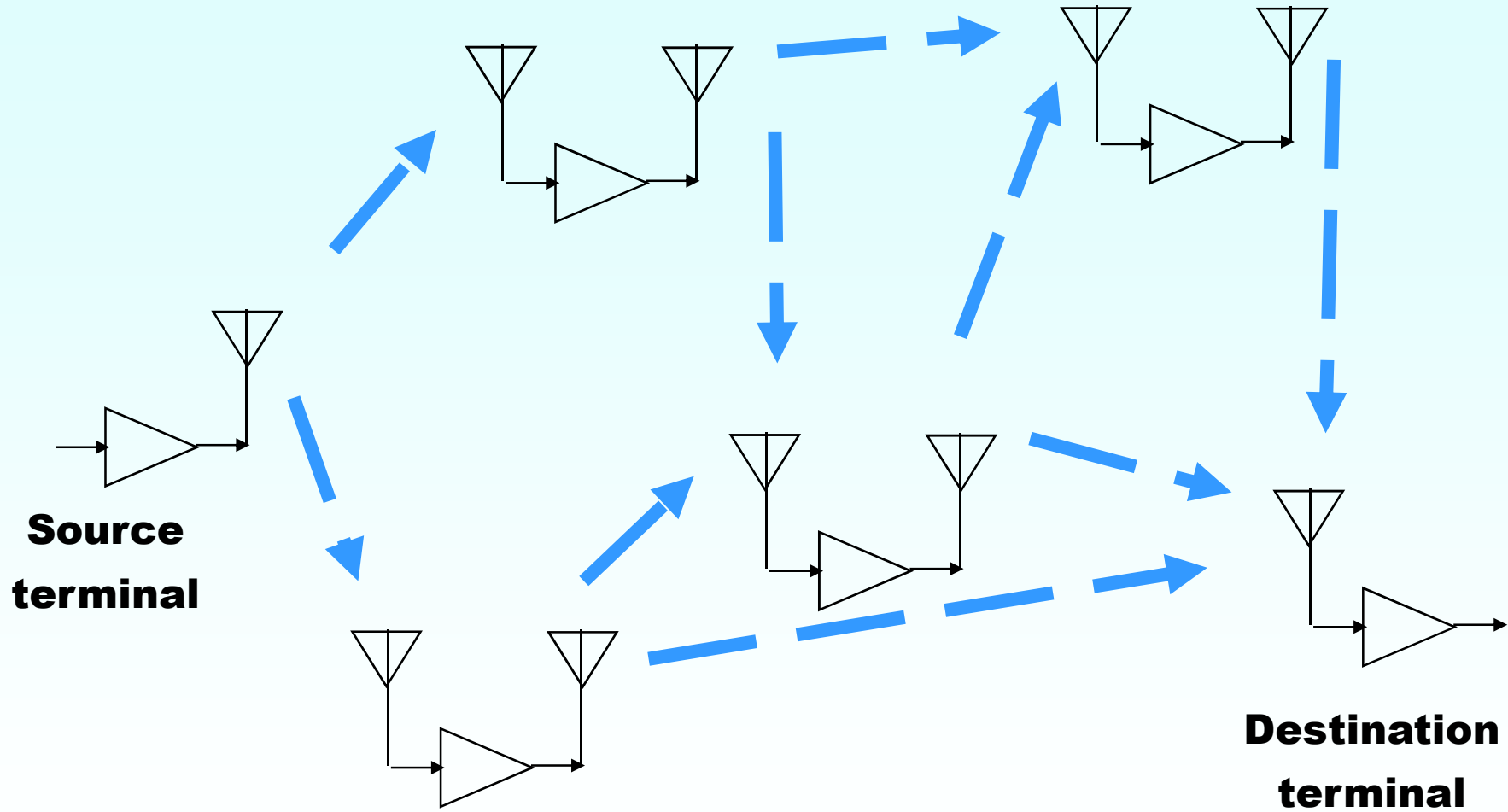
## Analog Relaying vs Digital Relaying

- ◆ **Digital relaying** (router, bridge)
  - Regenerative relaying
  - Decode-and-forward (detect-and-forward) relaying
  - Adaptive (selective) decode-and-forward
- ◆ **Analog relaying**
  - Non-regenerative relaying
  - Amplify-and-forward relaying
    - On channel
    - With frequency translation
- ◆ Process-and-forward relaying
- ◆ Analog relaying → noise propagation  
Digital relaying → error propagation
- ◆ Analog relaying may be better than digital relaying in certain scenarios
- ◆ **Hybrid** analog/digital relaying: another possibility



**digital relay**

# Amplified Relaying



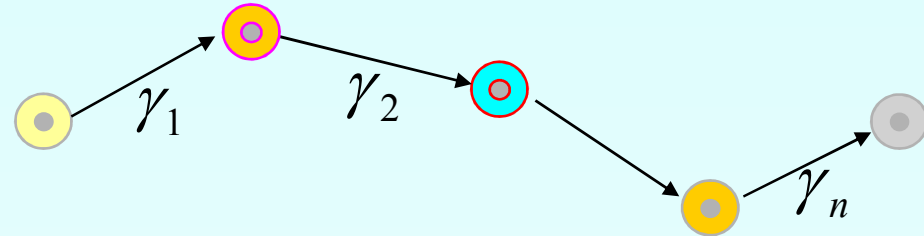
## Analog Relaying

Non-regenerative relaying

Amplify-and-forward relaying

- ◆ Rationale: simplicity (was the case 20 years ago)
- ◆ Interest in the literature: partly due to easier analysis!
- ◆ Not very suitable for exploitation above the physical layer
- ◆ Digital relaying: **better option in many scenarios**
- ◆ New context for analog relaying: sensor networks

## Analog Relaying – E2E SNR



$$\gamma_{E2E,2} = \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2 + 1}$$

$$\gamma_1 \ll \gamma_2 \rightarrow \gamma_{E2E,2} \approx \gamma_1$$

Hasna &amp; Alouini

$$\gamma_{E2E,3} = \frac{\gamma_1 \gamma_2 \gamma_3}{\gamma_1 \gamma_2 + \gamma_1 \gamma_3 + \gamma_2 \gamma_3 + \gamma_1 + \gamma_2 + \gamma_3 + 1}$$

$$\gamma_1 \ll \gamma_2, \gamma_1 \ll \gamma_3 \rightarrow \gamma_{E2E,2} \approx \gamma_1$$

$$\gamma_{E2E,n} = \frac{\prod_i \gamma_i}{\prod_i (\gamma_i + 1) - \prod_i \gamma_i}$$

## Analog Relaying – Advantageous Scenarios

Hammerstroem, Rankow, Wittneben

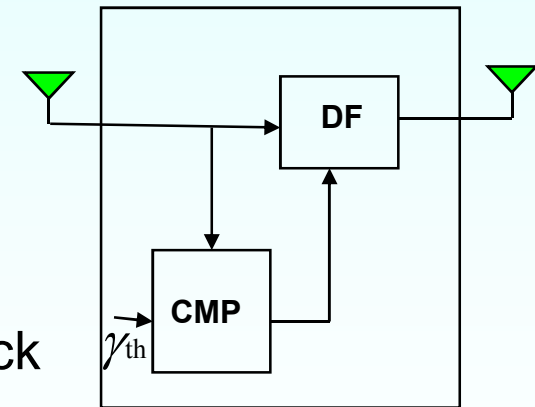
- ◆ Heterogeneous networks
  - Analog relaying: transparent to air-interface and the # of tx & rx antennas
  
- ◆ Single-antenna analog relays: active scatterers in a rank-deficient MIMO system
  - Digital relaying will result in rate loss
  
- ◆ Cooperative beamforming over orthogonal transmission (2<sup>nd</sup> hop)
  - Advantages:
    - No bandwidth (multiplexing) loss
    - Array gain in addition to diversity gain
  - Disadvantages:
    - CSI of both hop channels needed
    - Global phase reference needed (not easy!)

## Digital Relaying

Regenerative relaying

Decode-and-forward (detect-and-forward) relaying

- ◆ Digital relaying: causes error propagation  
→ adaptive (selective) decode-and-forward
- ◆ Threshold-based symbol-by-symbol relaying assumption
- ◆ CRC-based adaptive (selective) block-by-block relaying: **more realistic**



**threshold-based  
selective digital relay**

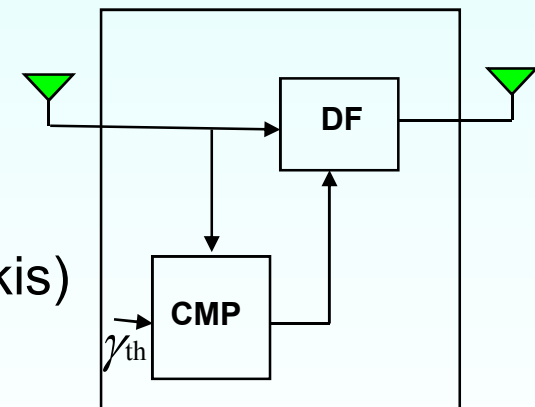


## Digital Relaying – Error Propagation

- ◆ Threshold relaying  
(Atay Onat, Yanikomeroglu, et al.  
*IEEE TWireless* Nov'08, *IEEE TWireless* Dec'08)

- ◆ Complex receiver (Laneman)

- ◆ Link-adaptive relaying – LAR (Wang, Giannakis)



**threshold-based  
selective digital relay**

## Fixed Relaying vs Terminal/Mobile Relaying

- ◆ **Terminal relaying**: rich theoretical area, full of potentials
- ◆ But, many technical challenges
  - No service guarantee
  - Increased energy consumption (fast battery draining)
  - Increased transmit power (in CDMA)
  - Additional hardware and functionality (higher terminal cost)
  - Security issues
  - Frequent hand-offs (especially in the presence of high mobility)
- ◆ Terminal relaying: any incentives?
  - Special applications: single team (law-enforcement, military, rescue)
  - Cooperative relaying with simultaneous mutual benefits (symmetric cooperation)
  - Personal area networks
  - Commercial applications: business plan needed (air time offers?)
- ◆ Ad hoc networks (infrastructureless): no internet connection!

## Fixed Relaying vs Terminal/Mobile Relaying

- ◆ Routing: easier in infrastructure-based multihop networks than infrastructureless ad hoc multihop networks
  - Nodes with extra complexity and intelligence (BS/AP or fixed relays)
  - Common source or destination→ Routing with more demanding goals: possible
  
- ◆ Expectations in 4G networks:
  - first, fixed relays
  - then, mobile/terminal relays
  
- ◆ Single-hop (infrastructureless) ad hoc networks: possible  
Multihop (infrastructureless) ad hoc networks: **commercially difficult!**

## Heterogeneous (vs Homogeneous) Relaying

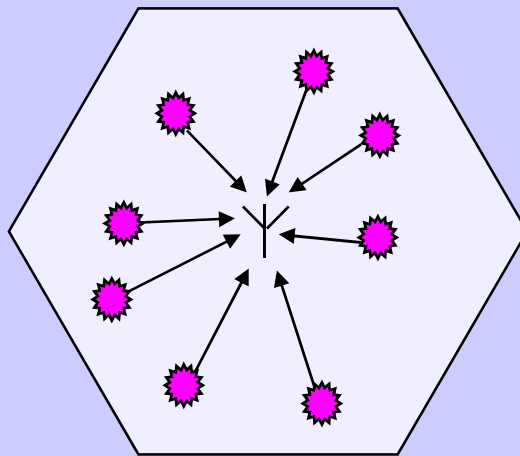
- ◆ Decoupling of access and backbone networks
  - Access: air interface A
  - Backbone (feeder): air interface B
  
- ◆ Customized air interfaces
  
- ◆ Easier interference management
  
- ◆ License-exempt bands can be utilized

## Power Control

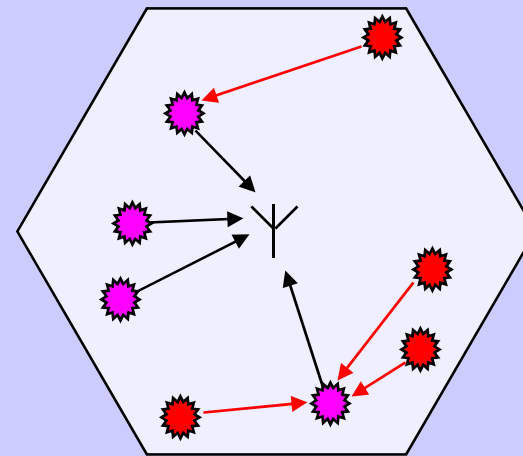
Becomes important again with dense channel reuse...

Walsh, Yanikomeroglu

*IEEE CCECE'04*

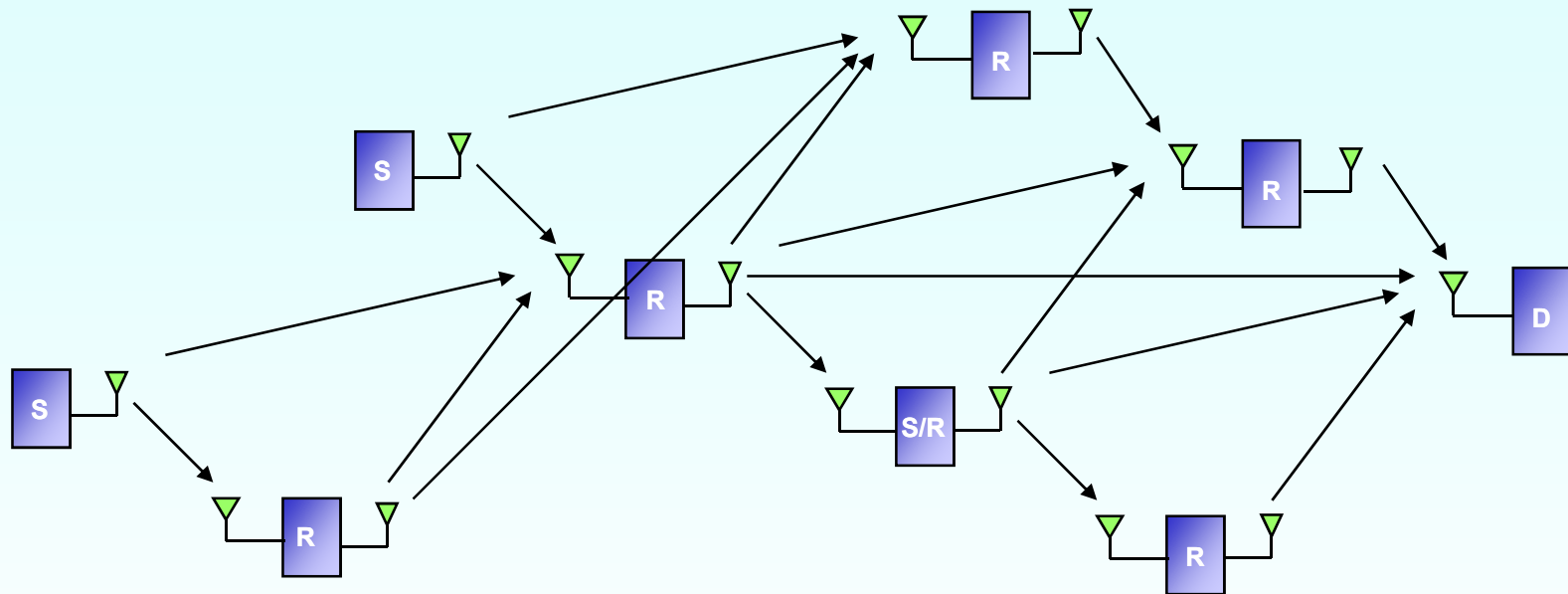


Single-hop PC



Two-hop PC

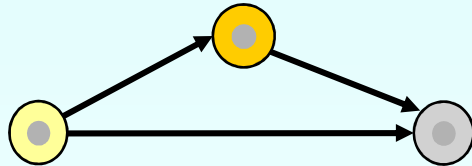
## Cooperation Opportunities



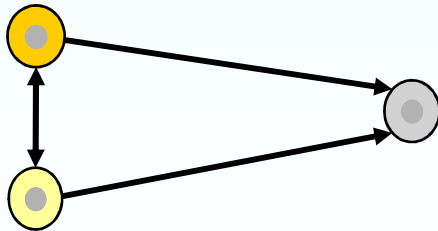
Cooperative relaying  $\rightarrow$  virtual antenna array:  
diversity, space-time coding, MIMO, ...

## Asymmetric vs Symmetric Cooperation

- ◆ **Asymmetric Cooperation:** relay terminal between source and destination
  - Only one terminal benefits
  - Pathloss gain



- ◆ **Symmetric Cooperation:** a pair of nearby terminals cooperate
  - Immediate benefit for both terminals
  - No pathloss gain



## Early Com/Info-Theoretic Literature on Cooperative Communications

- ◆ Van der Meulen (68,71)
- ◆ El Gamal, Cover, Aref (79,80,82) [Stanford]
- ◆ Willems (83,85)
  
- ◆ Sendonaris, Erkip (98-...) [Rice]
- ◆ Laneman [Notre Dame], Wornell [MIT] (00-...)
  
- ◆ Gupta [ASU], Kumar [UIUC]
- ◆ Dohler [KCL]
- ◆ Nosratinia, Hunter [UTD]
- ◆ Tse [Berkeley]
- ◆ Hasna [Qatar], Alouini [Minnesota]
- ◆ Giannakis, Cai, Ribeiro [Minnesota]
- ◆ Host-Madsen [Hawaii]
- ◆ Pottie
- ◆ Zhang [ASU]
- ◆ Stefano [Brooklyn]
- ◆ Wittneben, Rankov, Hammerstroem
- ◆ Cho, Haas [Cornell]
  
- ◆ Anghel, Emamian, Kaveh [Minnesota]
- ◆ Bolcskei, Nabar [ETH]
- ◆ Gastpar [Berkeley]
- ◆ Dawy [AUB]
- ◆ Kramer [Bell Labs]
- ◆ Franceschetti [UCSD]
- ◆ Herhold, Zimmermann [TUD]
- ◆ Vetterli [EPFL]
- ◆ Valenti
- ◆ Walke [Aachen]
- ◆ Karagiannidis [AU Thessaloniki]
- ◆ ...

A few conference papers → explosion in literature

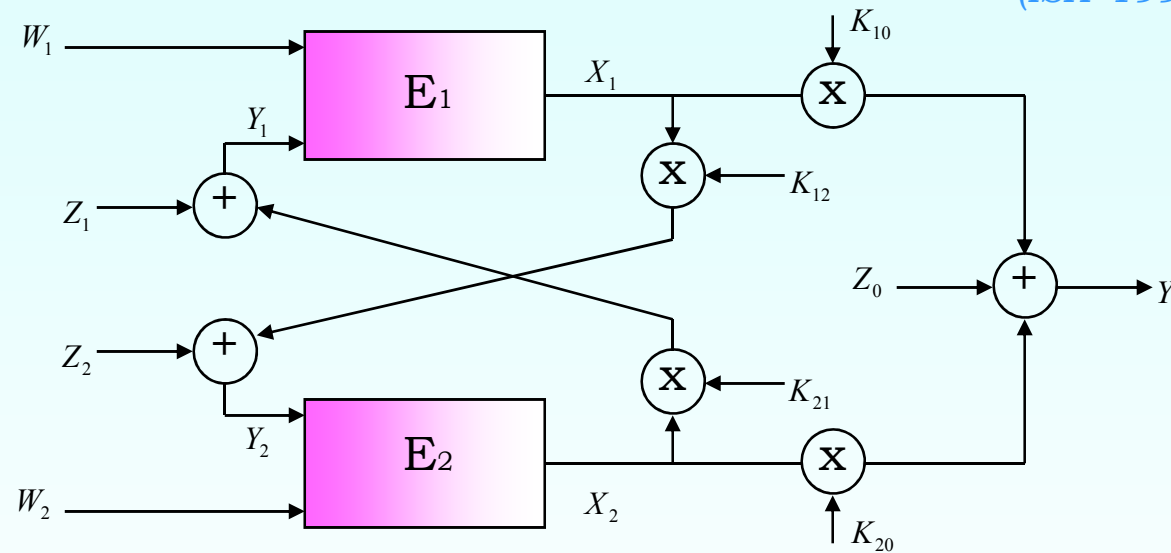


# User Cooperative Diversity

Sendonaris, Erkip, Aazhang

*IEEE T-COM*, Nov. 2003*(ISIT 1998)*

## Channel Model (full-duplex assumption)



$$Y_0(t) = K_{10}X_1(t) + K_{20}X_2(t) + Z_0(t)$$

$$Y_1(t) = K_{21}X_2(t) + Z_1(t)$$

$$Y_2(t) = K_{12}X_1(t) + Z_2(t)$$

## Implementation case example: CDMA Resources Distribution

codes  $c_i(t)$ , power allocation  $a_i$  and  $a_{ji}$

No cooperation

$$\begin{array}{lll}
 X_1(t) = a_1 b_1^{(1)} c_1(t), & a_1 b_1^{(2)} c_1(t), & a_1 b_1^{(3)} c_1(t) \\
 X_2(t) = \underbrace{a_2 b_2^{(1)} c_2(t)}_{\text{Period 1}}, & \underbrace{a_2 b_2^{(2)} c_2(t)}_{\text{Period 2}}, & \underbrace{a_2 b_2^{(3)} c_2(t)}_{\text{Period 3}}
 \end{array}$$

Using the same number of codes as in no cooperation scenario

With cooperation

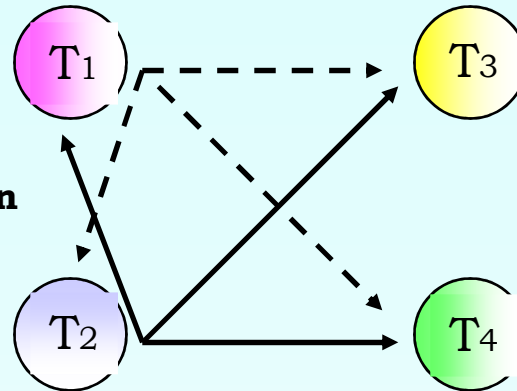
$$\begin{array}{lll}
 X_1(t) = a_{11} b_1^{(1)} c_1(t), & a_{12} b_1^{(2)} c_1(t), & a_{13} b_1^{(2)} c_1(t) + a_{14} b_2^{\wedge(2)} c_2(t) \\
 X_2(t) = \underbrace{a_{21} b_2^{(1)} c_2(t)}_{\text{Period 1}}, & \underbrace{a_{22} b_2^{(2)} c_2(t)}_{\text{Period 2}}, & \underbrace{a_{23} b_1^{\wedge(2)} c_1(t) + a_{24} b_2^{(2)} c_2(t)}_{\text{Period 3}}
 \end{array}$$

Users transmit to BS

Users exchange  
cooperative information  
BS may hear

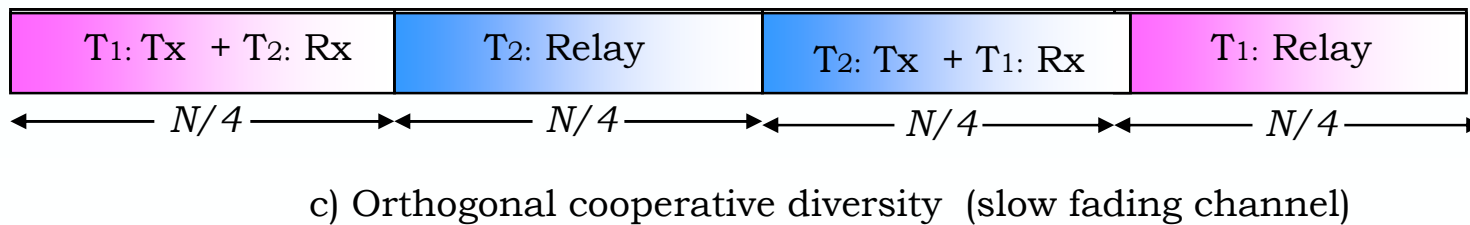
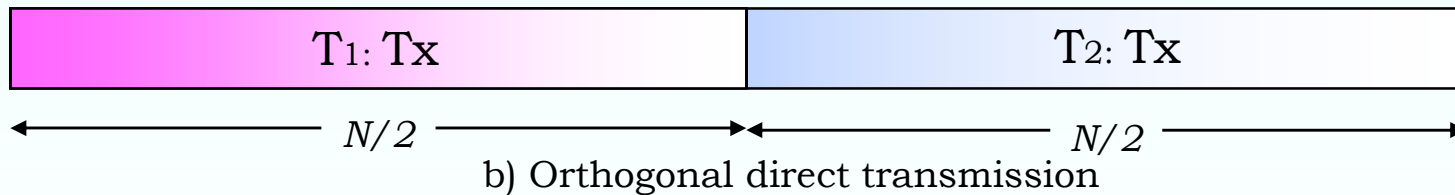
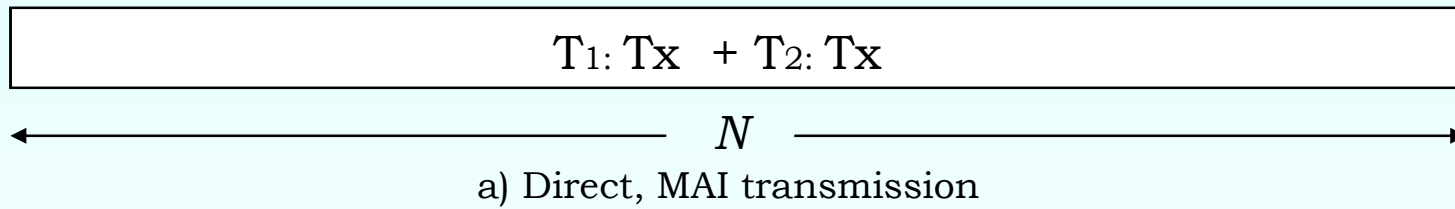
Constructed cooperative  
signals are sent to BS

**Engaging terminals in cooperation**

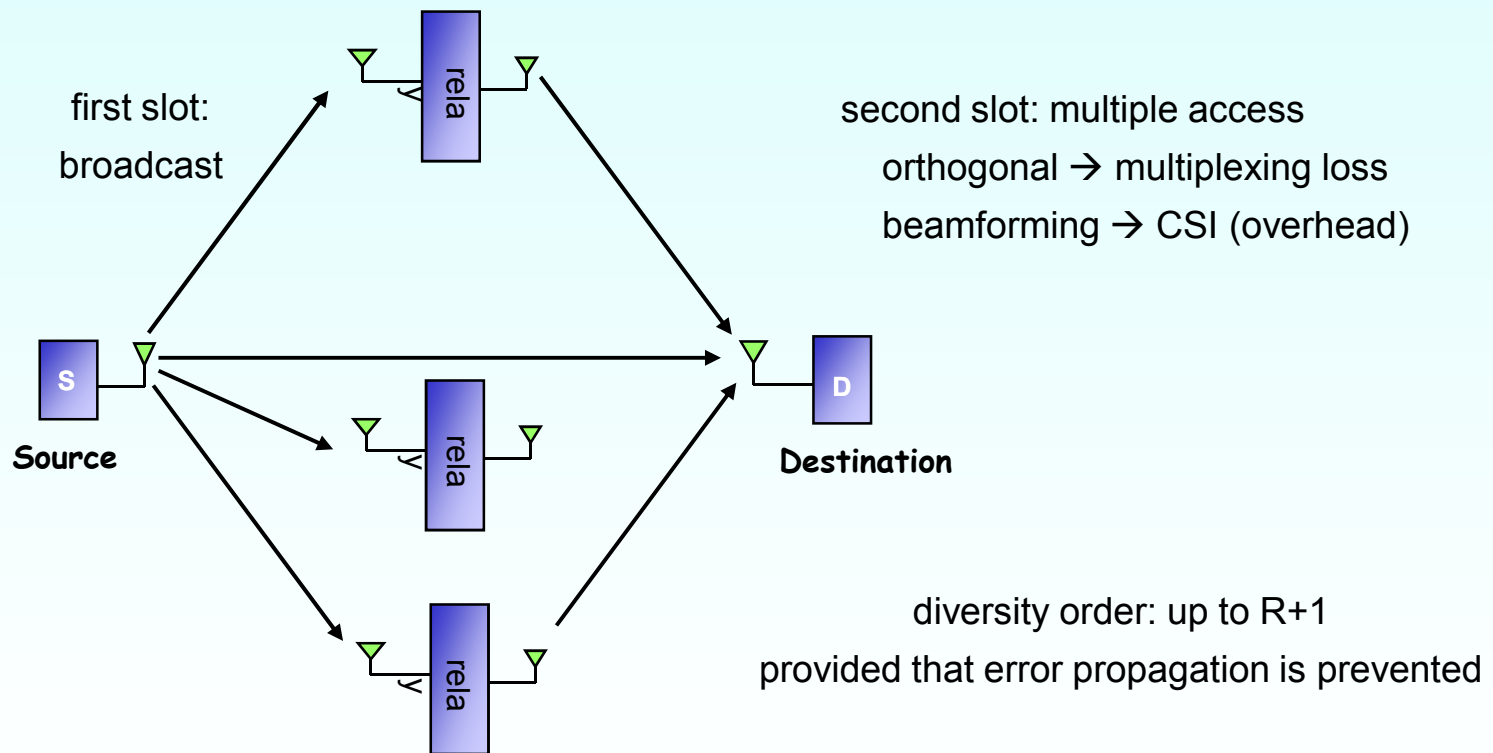


Laneman, Tse, Wornell  
*IEEE T-IT*, Dec'04

Laneman, Wornell  
*IEEE T-IT*, Oct'03



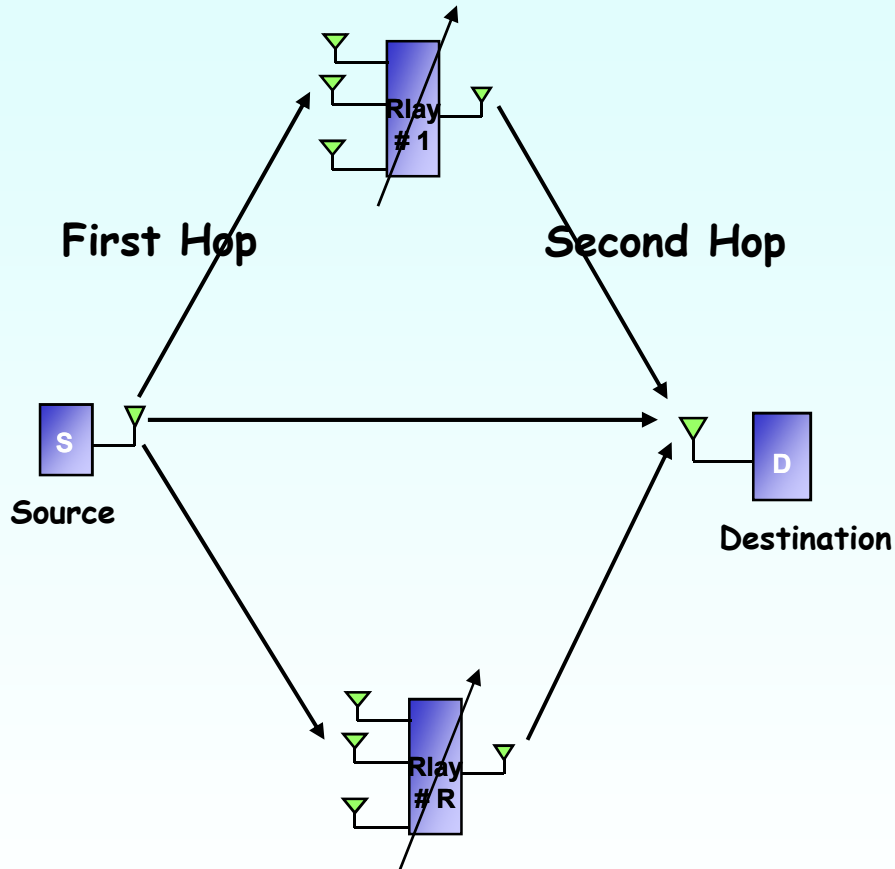
## Parallel Relays



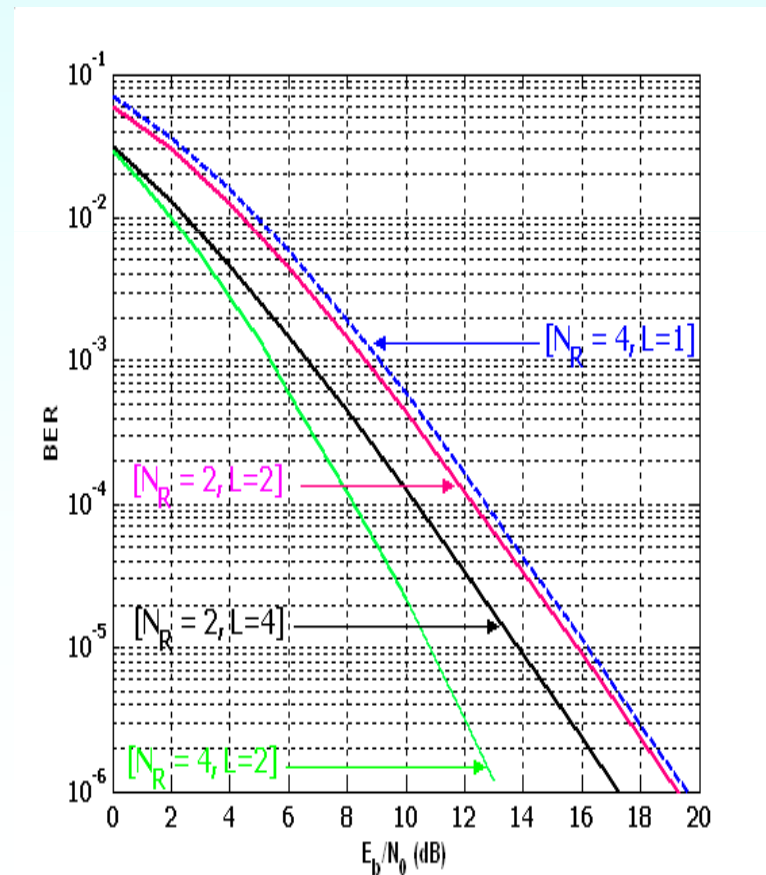
# Multi-Antenna Aspects of Cooperative Fixed Relays

Adinoyi, Yanikomeroglu

IEEE TWireless Feb'07, IEEE TWireless'10 (?)



use few relays with multi-antennas  
(even with selection combining)  
instead of many relays with single antennas



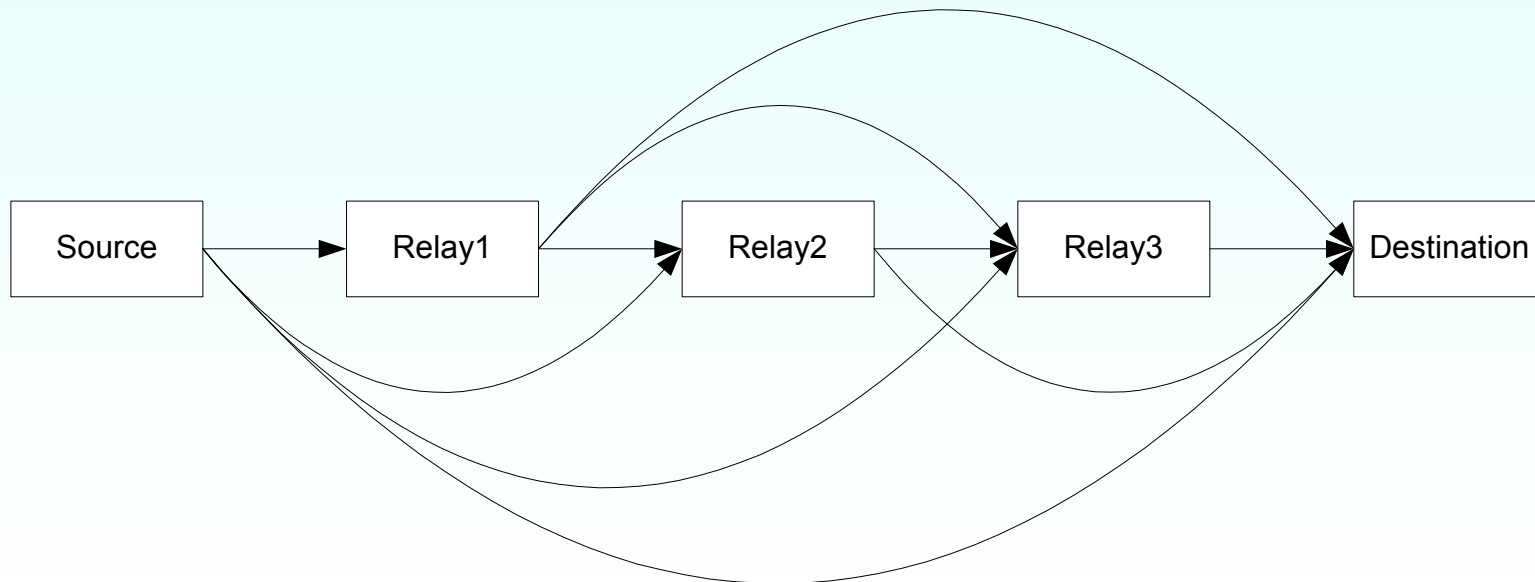
## Multihop Diversity

- ◆ Analysis of multihop channels with diversity
  - Decoded relaying with diversity: intermediate terminals combine, digitally decode and re-encode the received signal from all preceding terminals
  - Amplified relaying with diversity: intermediate terminals combine and amplify the received signal from all preceding terminals
  
- ◆ Diversity in 2-Hop Links
  - Mazen Hasna, Mohamed-Slim Alouini
  - J. Laneman, Greg Wornell
  
- ◆ Diversity in n-Hop Links (for fully connected networks)
  - John Boyer, David D. Falconer, Halim Yanikomeroglu, "Multihop Diversity in Wireless Relaying Channels," *IEEE Trans. on Communications*, Oct. 2004
  
- ◆ Main Observations
  - Comparison of relaying and diversity schemes wrt BER
  - Multihop Diversity > Multihop > Singlehop
  - Amplified Relaying > Decoded Relaying
  - DRMD improves when intermediate terminals are closer to the source terminal
  - ARMD improves when intermediate terminals are closer to the destination terminal

## Full-Diversity Relays and Destination

Boyer, Falconer, Yanikomeroglu  
*IEEE T-COM*, Oct. 2004

- ◆ Full diversity reception at all receivers
- ◆ Requires  $n$  channels for  $n$  relaying hops
- ◆ Complex relay behavior (diversity combining)



## Aggregate SNR of Amplified Relaying Channels

John Boyer, David D. Falconer, Halim Yanikomeroglu, "On the Aggregate SNR of Amplified Relaying Channels", *IEEE Globecom 2004*.

- ◆ Aggregate Signal to Noise Ratio
  - "Aggregate": inclusion of propagated noise terms in the SNR formulation.
  - Propagated noise terms are generated as amplified relaying terminals amplify both the information and noise portions of received signals indiscriminately.
  
- ◆ Motivated by findings indicating that the performance of amplified relaying can approach and in some cases exceed that of decoded relaying.
  
- ◆ Aggregate SNR expressions developed for amplified relaying channels with given source, destination, and relaying terminals, link connectivity, link attenuation, transmit power, and receiver noise.
  
- ◆ Aggregate SNR expression developed for following network connectivity:
  - Serial Amplified Relaying Channels (serial node connectivity)
  - Parallel Amplified Relaying Channels (parallel node connectivity)
  - General Amplified Relaying Channels (general node connectivity)



## Part III: Case Studies – a Selected Research Results

- ◆ Composite fading
- ◆ Diversity-multiplexing tradeoff
- ◆ BS-relay coordination
- ◆ Bandwidth outage trade-off
- ◆ Intelligent routing and scheduling
- ◆ Combining signals with different modulation levels
- ◆ Constellation rearrangement

## Example 1: Composite Fading

- Composite channel models take place in wireless communications (multi-path plus shadowing), radar cross section scattering of targets, reverberation in sonar, etc.
- Modeling such a phenomenon plays an important role in the design and analysis of different communication schemes over these environments.
- In wireless communications, emerging systems such as **distributed antenna systems, network MIMO, comp (coordinated multipoint transmission), and cooperative relay networks** require such modeling.

## Models of Composite Fading

| Multipath | Shadowing | Composite                   | Complexity                | Appeared                                      |
|-----------|-----------|-----------------------------|---------------------------|---|
| Rayleigh  | lognormal | Suzuki                      | No closed-form expression | Suzuki (1979)                                 |
| Nakagami  | lognormal | Gamma-lognormal             | No closed-form expression | Lewinsky (1983)                               |
| Rayleigh  | Gamma     | Exponential-Gamma           | Closed-form but limited   | Jakeman (1976)<br>Abdi & Kaveh (1998)         |
| Nakagami  | Gamma     | Gamma-Gamma (Generalized-K) | Closed-form but Involved  | Lewinsky (1983)<br>Shankar (2004)             |
| Nakagami  | Gamma     | Gamma                       | Simple                    | Al-Ahmadi & Yanikomeroglu (WCNC2009, GC 2009) |

## Example 2:

### Diversity-Multiplexing Tradeoff Bounds for Relay Networks

- ◆ Spatial redundancy from multiple antennas in wireless relay networks can in theory be used to:
  - Increase the diversity gain for a particular data rate, and/or
- ◆ Increase the multiplexing gain (data rate) for a particular diversity gain.
- ◆ This paper derives **bounds** on the **diversity-multiplexing tradeoff** of **wireless relay networks** with **any number of relay terminals** and **any possible combination of links** between cooperating terminals.
  - Can then calculate maximum achievable diversity order.
  - Can then calculate maximum achievable multiplexing gain.
- ◆ In general, it is not possible to determine the diversity-multiplexing tradeoff from outage probability and diversity order results:
  - Terminals (or cut sets) that limit the diversity order may not necessarily be the same terminals (or cut sets) that limit the multiplexing gain.
  - Minimum number of independent fading realizations may occur at a different network location than minimum number of degrees of freedom.

## Some Other Relevant Results / Publications

- ◆ Original formulation of diversity-multiplexing tradeoff:
  - L. Zheng and D. Tse, "Diversity and multiplexing: A fundamental tradeoff in multiple-antenna channels," *IEEE Trans. on Information Theory*, vol. 49, no. 5, pp. 1073-1096, May 2003.
- ◆ Early diversity-multiplexing results for cooperative channels:
  - K. Azarian, H. Gamal, and P. Schniter, "On the achievable diversity-multiplexing tradeoff in half-duplex cooperative channels," *IEEE Trans. on Information Theory*, vol. 51, Dec 2005.
- rank-deficient MIMO channels:
  - W. Chang, S. Chung, and Y. Lee, "Diversity-multiplexing tradeoff in rank-deficient and spatially correlated MIMO channels," *IEEE Int'l Symp. on Information Theory*, July 2006.
- ◆ Tight diversity-multiplexing tradeoff results for specific wireless relay network configurations:
  - M. Yuksel and E. Erkip, "Multi-antenna cooperative wireless systems: A diversity multiplexing tradeoff perspective," *IEEE Trans. on Information Theory, SI on Models, Theory, and Codes for Relaying and Cooperation in Comm Networks*, Oct 2007.
- ◆ Current paper takes the approach of deriving sometimes looser diversity-multiplexing tradeoff bounds for the most general wireless relay networks rather than tighter diversity-multiplexing tradeoff bounds for specific simpler sub-problems.

## Relaying Method Classes

- Describe which terminals in the network must correctly decode the transmitted information signal for successful reception at the destination.
  
- ◆ **Comprehensive Decoding**
  - All cooperating terminals must correctly decode.
  - Encompasses fixed decode-and-forward (DF) relaying and cooperative broadcasting.
  - Diversity-multiplexing tradeoff curve upper bound: **Minimization across the diversity-multiplexing tradeoff curves of all terminals in the network**
  
- ◆ **Destination Decoding**
  - Only the destination terminal must correctly decode.
  - Encompasses adaptive or selective decode-and-forward (DF) relaying, and amplify-and-forward (AF) relaying.
  - Diversity-multiplexing tradeoff curve upper-bound: **Minimization across the diversity-multiplexing tradeoff curves of all cut sets in the network .**

## System Model

$T_R$  All receiving terminals

$S_R$  All cut sets

$L_R$  All inter-antenna links

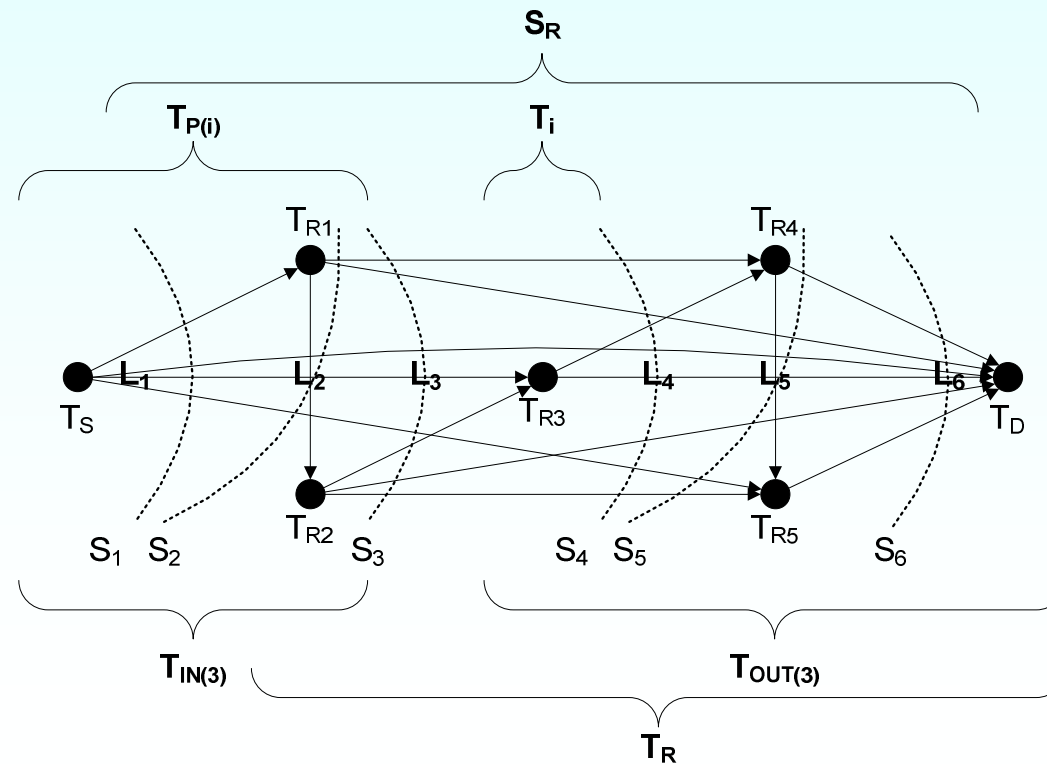
$A_i$  Antennas at terminal  $T_i$

$T_{P(i)}$  Terminals previous to terminal  $T_i$

$L_i$  Inter-antenna links for cut set  $S_i$

$T_{IN(i)}$  Terminals on input side of cut set  $S_i$

$T_{OUT(i)}$  Terminals on output side of cut set  $S_i$





# Comprehensive Decoding

## Diversity-Multiplexing Tradeoff Curve

$$(r, d(r)), r = 0, 1/K, \dots, \min \left\{ \min_{T_i \in T_R} \left\{ \sum_{T_k \in T_{P(i)}} |A_k|, |A_i| \right\} \right\} / K$$

$$d(r) \leq \min_{T_i \in T_R} \left\{ \left( \sum_{T_k \in T_{P(i)}} |A_k| - Kr \right) \left( |A_i| - Kr \right) \right\}$$

$$MIMO - DMT : (r, d(r))$$

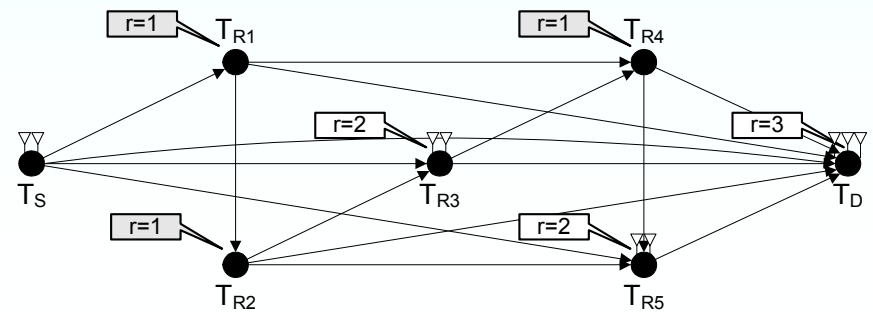
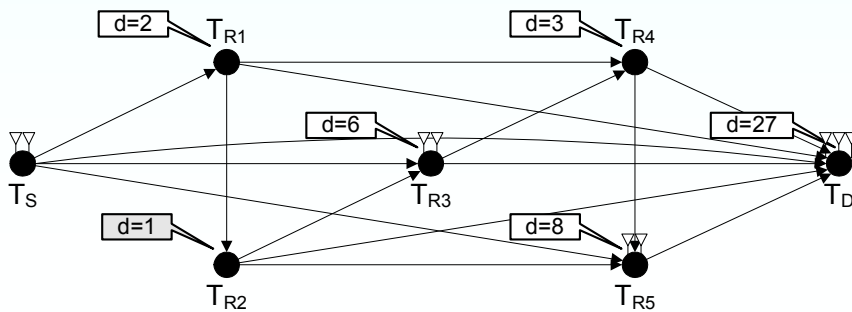
$$r = 0, 1, \dots, \min(m, n)$$

$$d(r) = (m - r)(n - r)$$

## Maximum Achievable Diversity Order and Multiplexing Gain

$$d_{\max} = \min_{T_i \in T_R} \left\{ \sum_{T_k \in T_{P(i)}} |A_k| \right\}$$

$$r_{\max} = \min_{T_i \in T_R} \left\{ \min_{T_k \in T_{P(i)}} \left\{ \sum |A_k|, |A_i| \right\} \right\} / K$$





## Destination Decoding – Fully Connected Upper Bound

### ◆ Diversity-Multiplexing Tradeoff Curve

$$(r, d(r)), r = 0, 1/K, \dots, \min_{S_i \in S_R} \left\{ \min_{\left\{ \begin{array}{l} T_k \in T_{IN(i)} \\ T_l \in T_{OUT(i)} \end{array} \right\}} \left\{ \sum |A_k|, \sum |A_l| \right\} \right\} / K$$

*MIMO – DMT* :  $(r, d(r))$

$$d(r) \leq \min_{S_i \in S_R} \left\{ \left( \sum_{T_k \in T_{IN(i)}} |A_k| - Kr \right) \left( \sum_{T_l \in T_{OUT(i)}} |A_l| - Kr \right) \right\}$$

$r = 0, 1, \dots, \min(m, n)$   
 $d(r) = (m - r)(n - r)$

### ◆ Maximum Achievable Diversity Order and Multiplexing Gain

$$d_{\max} \leq \min_{S_i \in S_R} \left\{ \sum_{T_k \in T_{IN(i)}} |A_k| \sum_{T_l \in T_{OUT(i)}} |A_l| \right\}$$

$$r_{\max} \leq \min_{S_i \in S_R} \left\{ \min_{\left\{ \begin{array}{l} T_k \in T_{IN(i)} \\ T_l \in T_{OUT(i)} \end{array} \right\}} \left\{ \sum |A_k|, \sum |A_l| \right\} \right\} / K$$

- Loose result when cut set inputs & outputs are not fully connected.

### ◆ How can we get a tighter result?

- Leverage the fact that when a wireless relay network is not fully connected, it can be modeled as a rank-deficient MIMO channel.

# Destination Decoding – Rank-Deficiency Refinement

## ◆ Diversity-Multiplexing Tradeoff Curve

$$(r, d(r)), r = 0, 1/K, \dots, \min_{S_i \in S_R} \{\kappa_i\} / K \quad \text{where} \quad \kappa_i \leq \min \left\{ \sum_{T_k \in T_{IN(i)}} |A_k|, \sum_{T_l \in T_{OUT(i)}} |A_l| \right\}$$

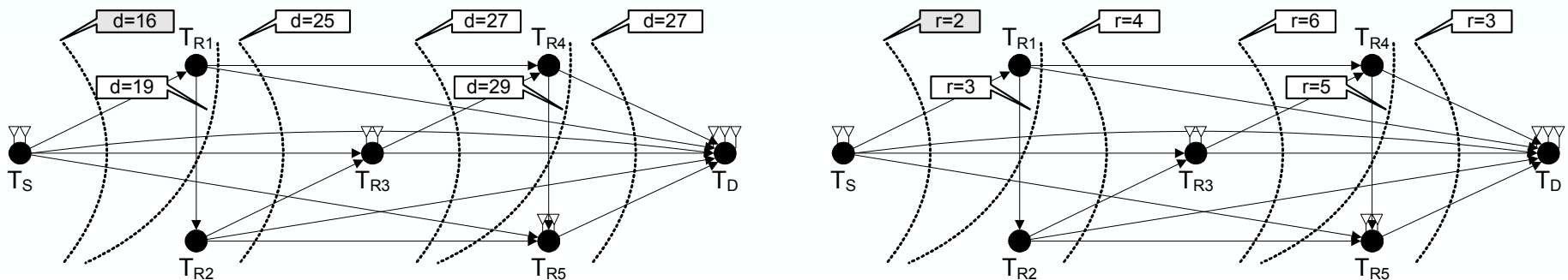
$$d(r) \leq \min_{S_i \in S_R} \left\{ |L_i| - \left( \sum_{T_k \in T_{IN(i)}} |A_k| + \sum_{T_l \in T_{OUT(i)}} |A_l| - Kr \right) Kr \right\}$$

## ◆ Maximum Achievable Diversity Order and Multiplexing Gain

$$d_{\max} = \min_{S_i \in S_R} \{|L_i|\} \quad r_{\max} = \min_{S_i \in S_R} \{\kappa_i\} / K$$

## ◆ Degradation of Diversity Order and Multiplexing Gain

$$\Delta d = \min_{S_i \in S_R} \left\{ \sum_{T_k \in T_{IN(i)}} |A_k| - \sum_{T_l \in T_{OUT(i)}} |A_l| \right\} - \min_{S_i \in S_R} \{|L_i|\} \quad \Delta r_{\max} = \min_{S_i \in S_R} \left\{ \sum_{T_k \in T_{IN(i)}} |A_k|, \sum_{T_l \in T_{OUT(i)}} |A_l| \right\} / K - \min_{S_i \in S_R} \{\kappa_i\} / K$$

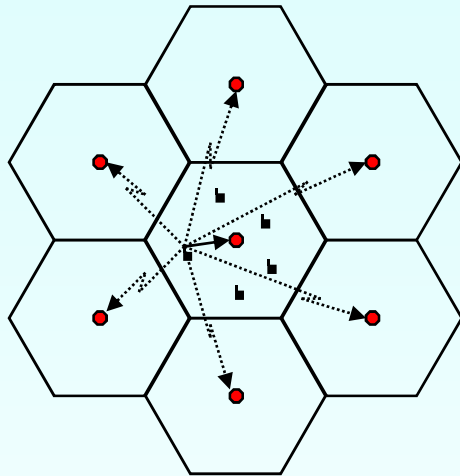


## Example 3: Coordination among BSs/APs and Relays

- ◆ Coordination among BSs
  - Scheduling
  - Interference management
  - Radio resource management
  - Admission control
  - ...
  
- ◆ Rich literature
- ◆ Limited usage in practice in conventional cellular networks
  
- ◆ May be used in cellular relay networks

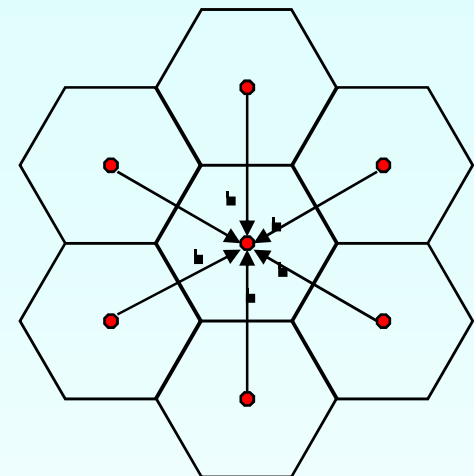
**CLASSICAL DYNAMIC FREQUENCY HOPPING WITH NETWORK ASSISTED RESOURCE ALLOCATION (DFH with NARA) – [AT&T Bell Labs]**

i.



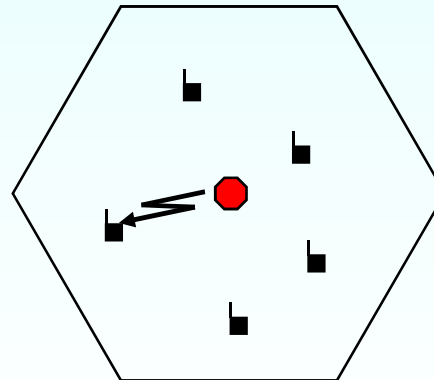
Each terminal measures path losses to the neighboring bases and transmits this information to its serving base on a regular basis.

ii.



Each base communicates to several tiers of its neighbors the information about its own resource utilization (i.e. time slots, frequency hopping patterns and current power levels).

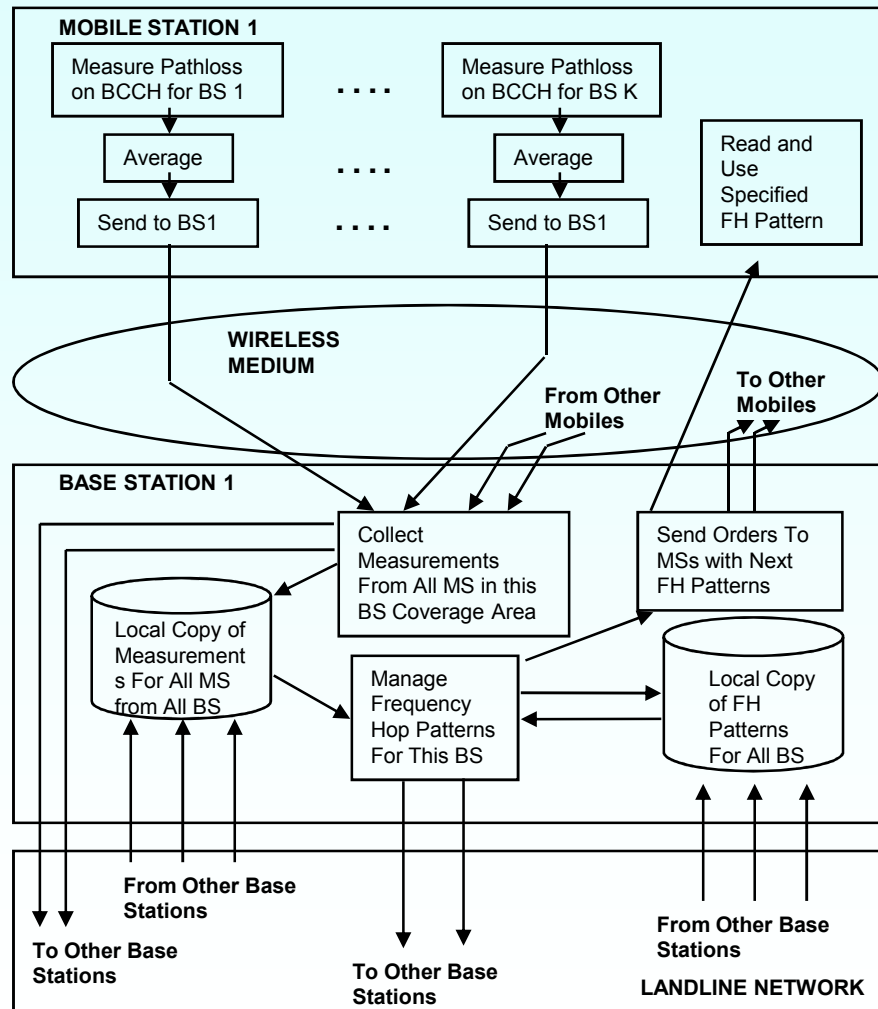
iii.



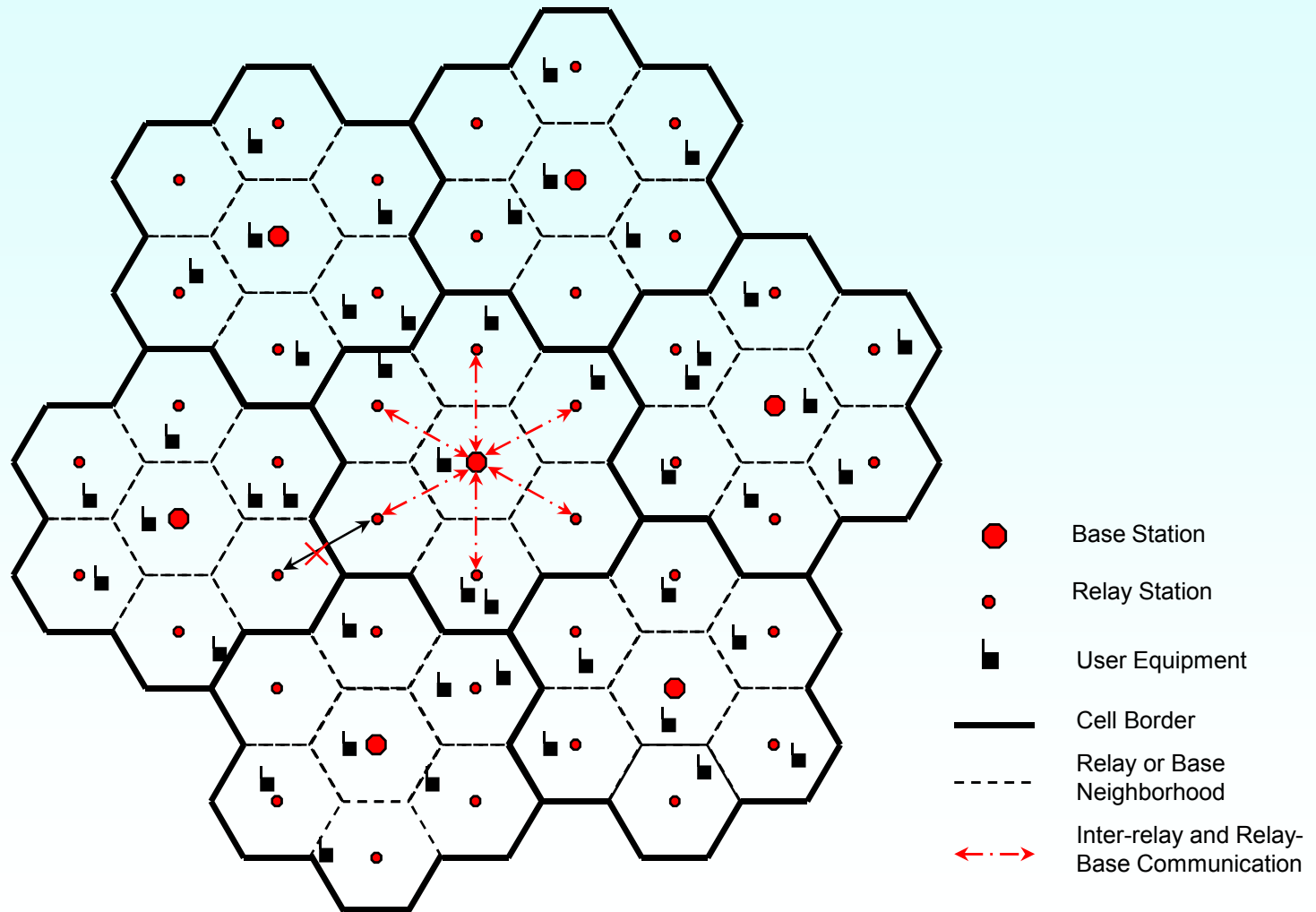
The serving base station calculates the interference level at each available resource, determines the least-interfered time slot and FH pattern pair, and assigns this to the terminal.

- Base Station
- Mobile terminal

## BLOCK DIAGRAM OF A CELLULAR SYSTEM THAT SUPPORTS DFH WITH NARA FOR DOWNLINK

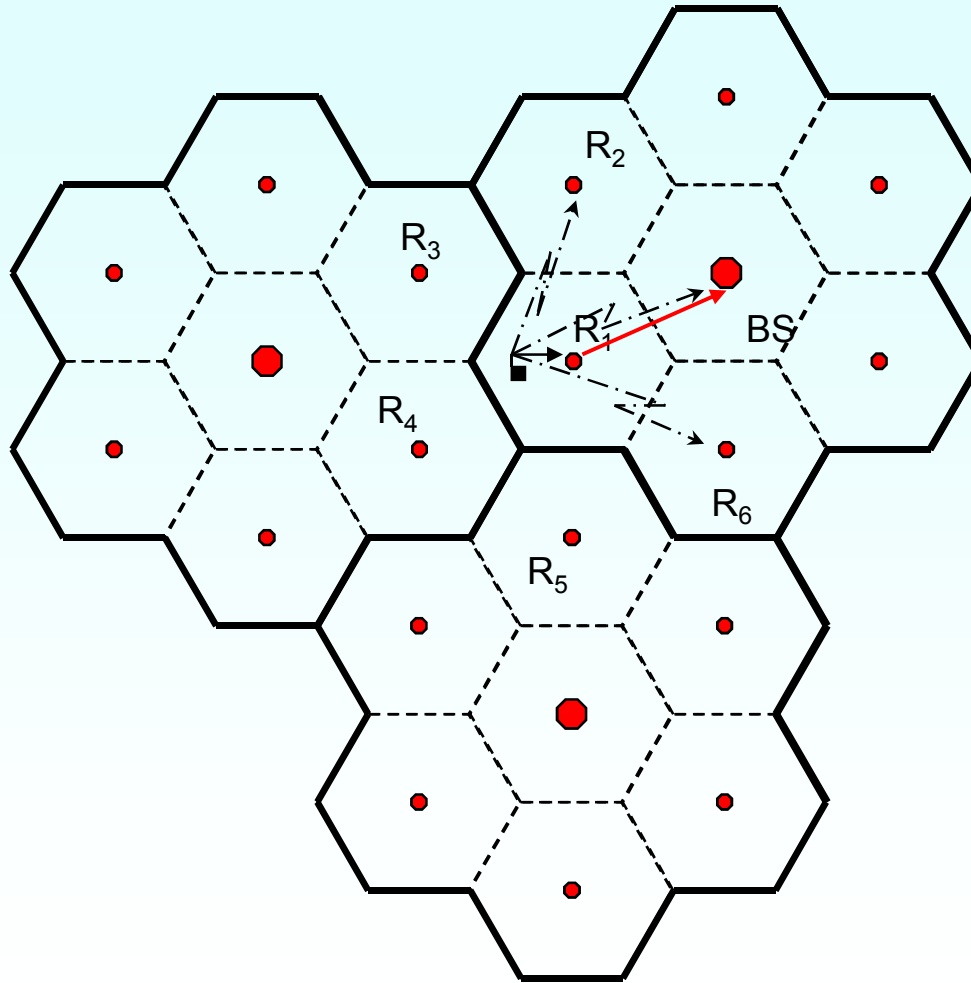


# SYSTEM ARCHITECTURE FOR TWO-HOP COMMUNICATIONS



## DFH with LIMITED INFORMATION (Time Slot 2)

Mubarek, Yanikomeroglu, Periyalwar



- R1: 3 in-cell interferers (R2,R6,BS) and 3 out-of-cell interferers (R3,R4,R5)

- UE pathloss info: R1→BS

- BS already has resource utilization information of the in-cell interferers of R1

- BS: decide on DFH pattern based on limited info

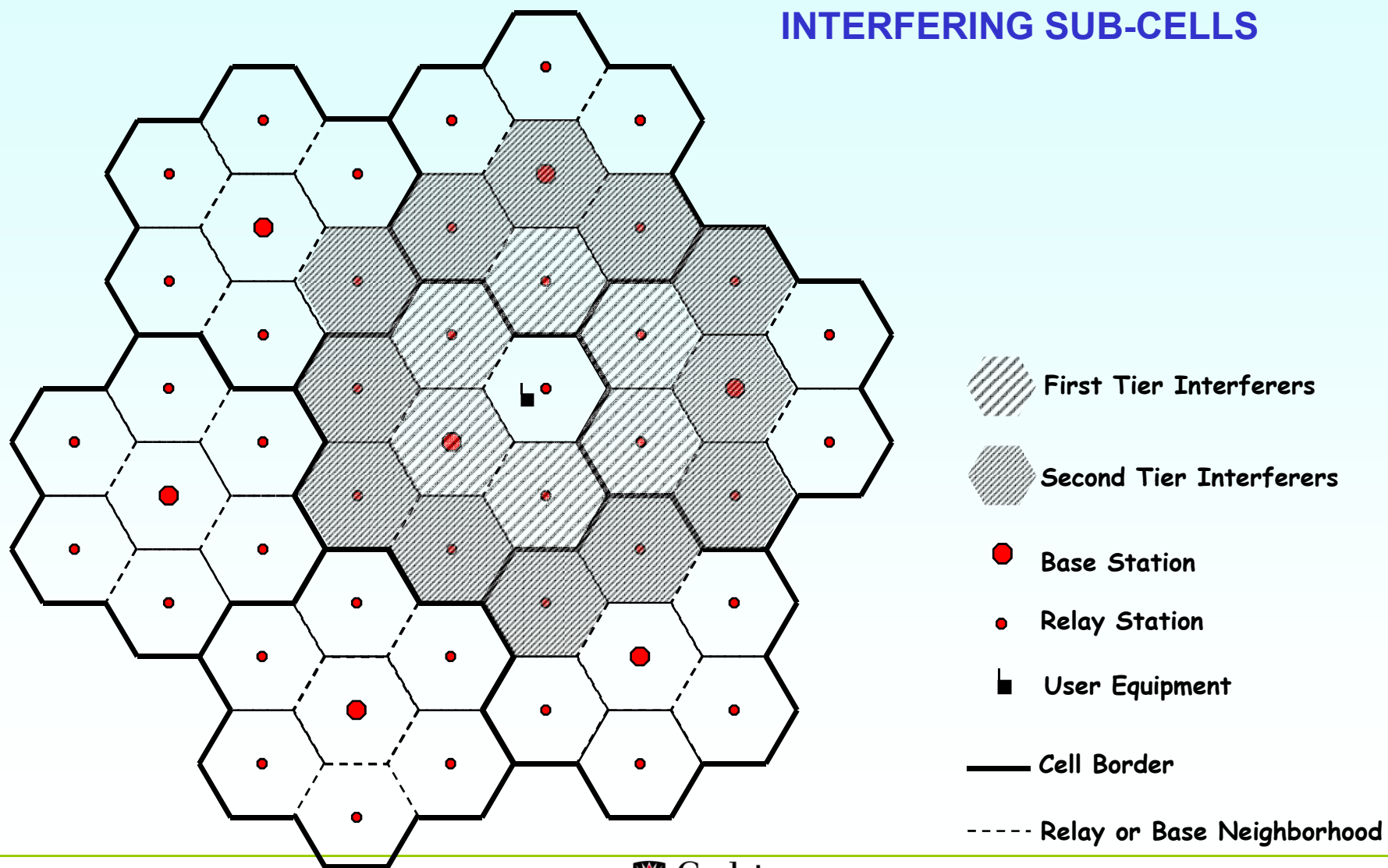
- BS→R1: DFH pattern



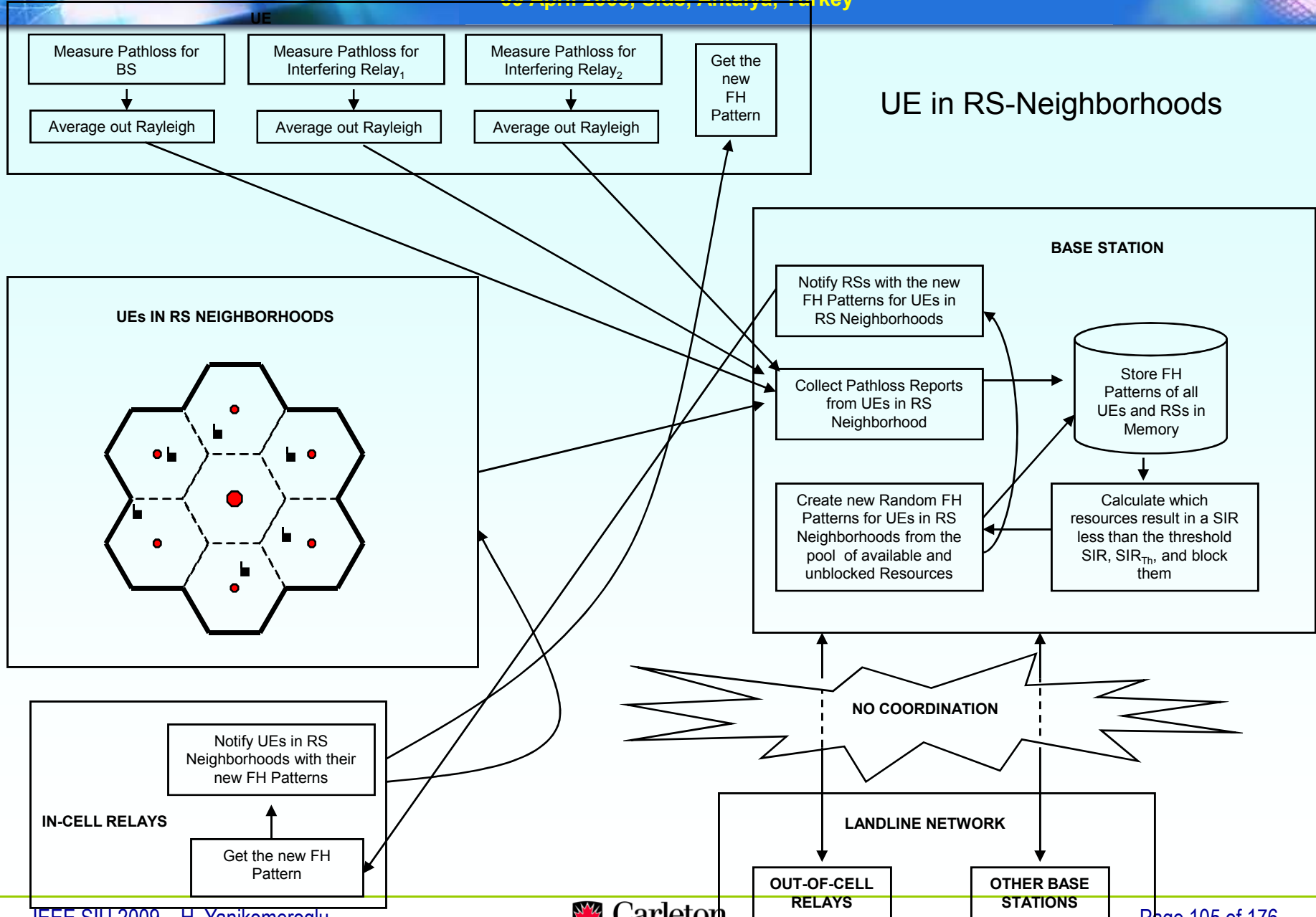
# FIGURE 9009

09 April 2009, Side, Antalya, Turkey

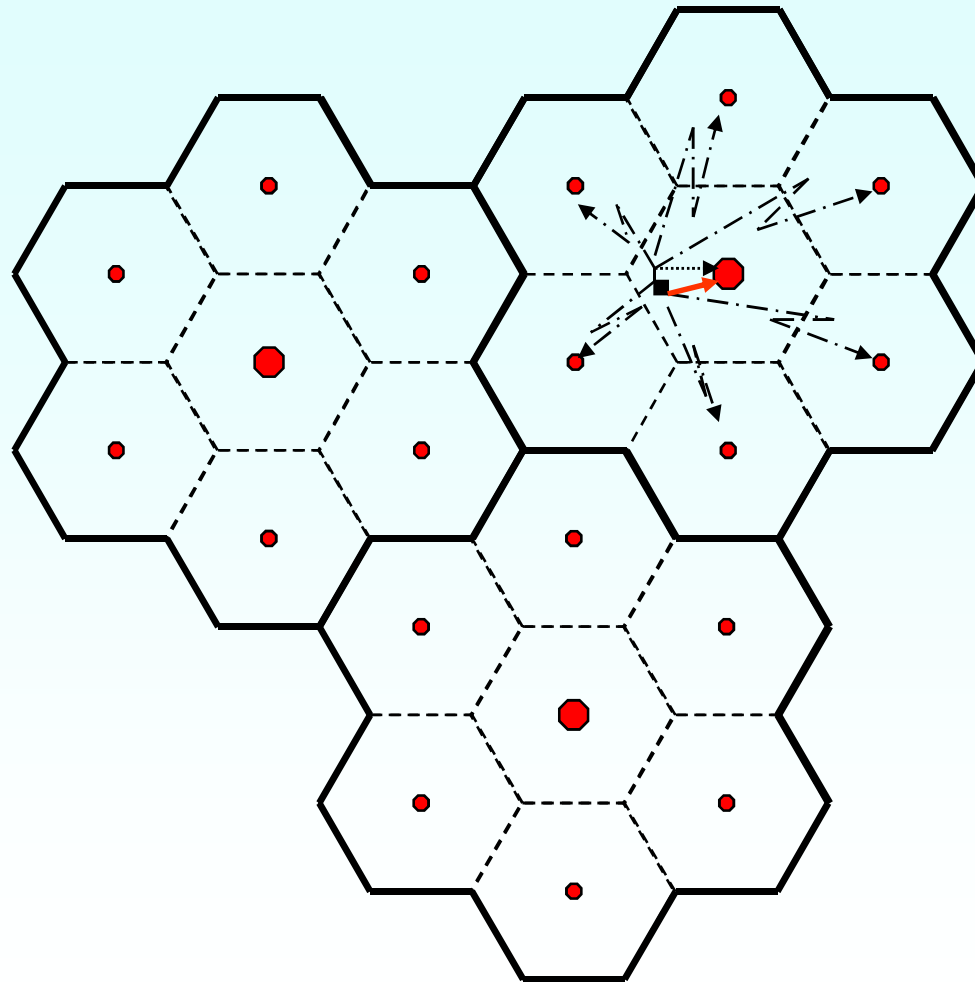
## INTERFERING SUB-CELLS



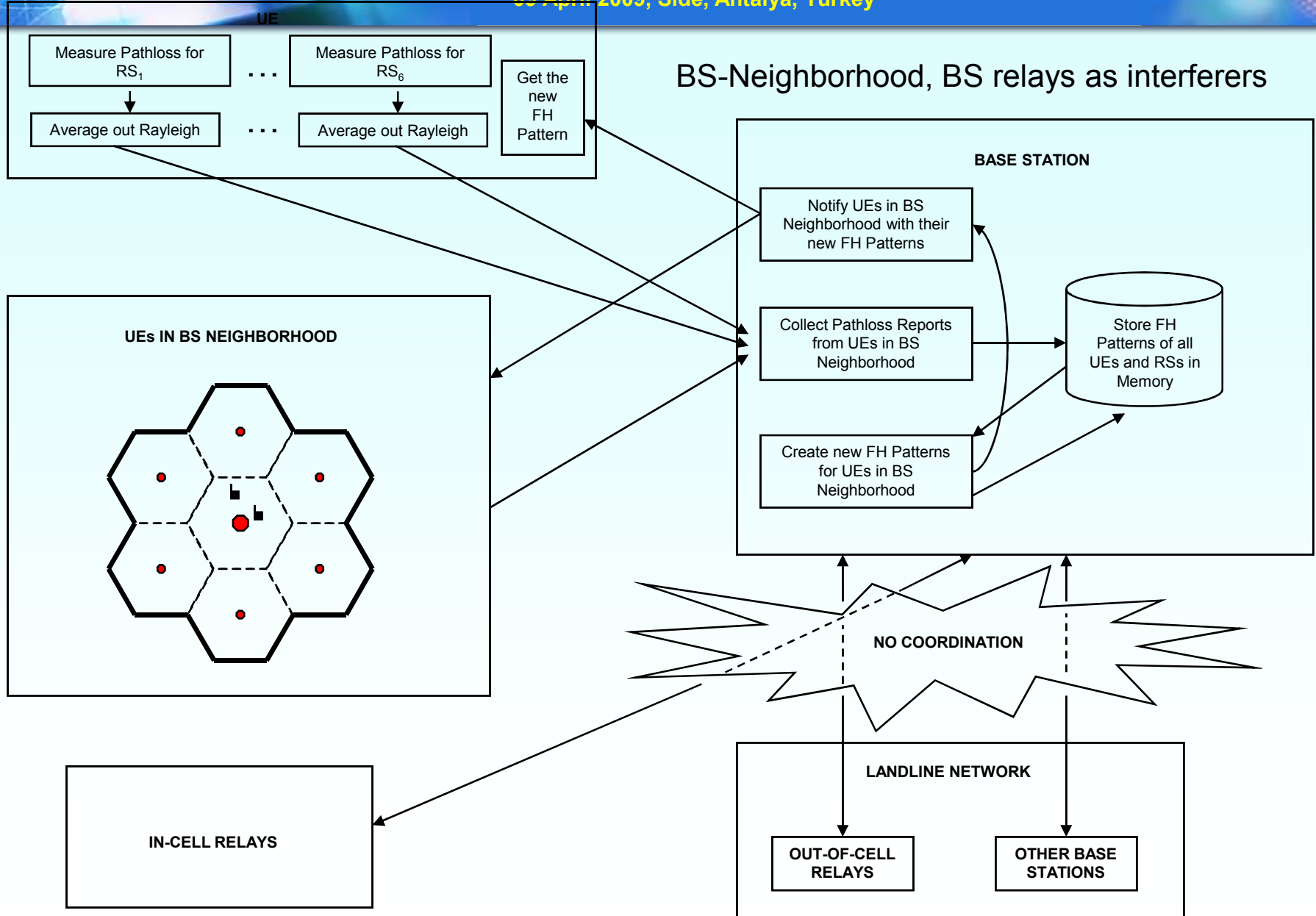




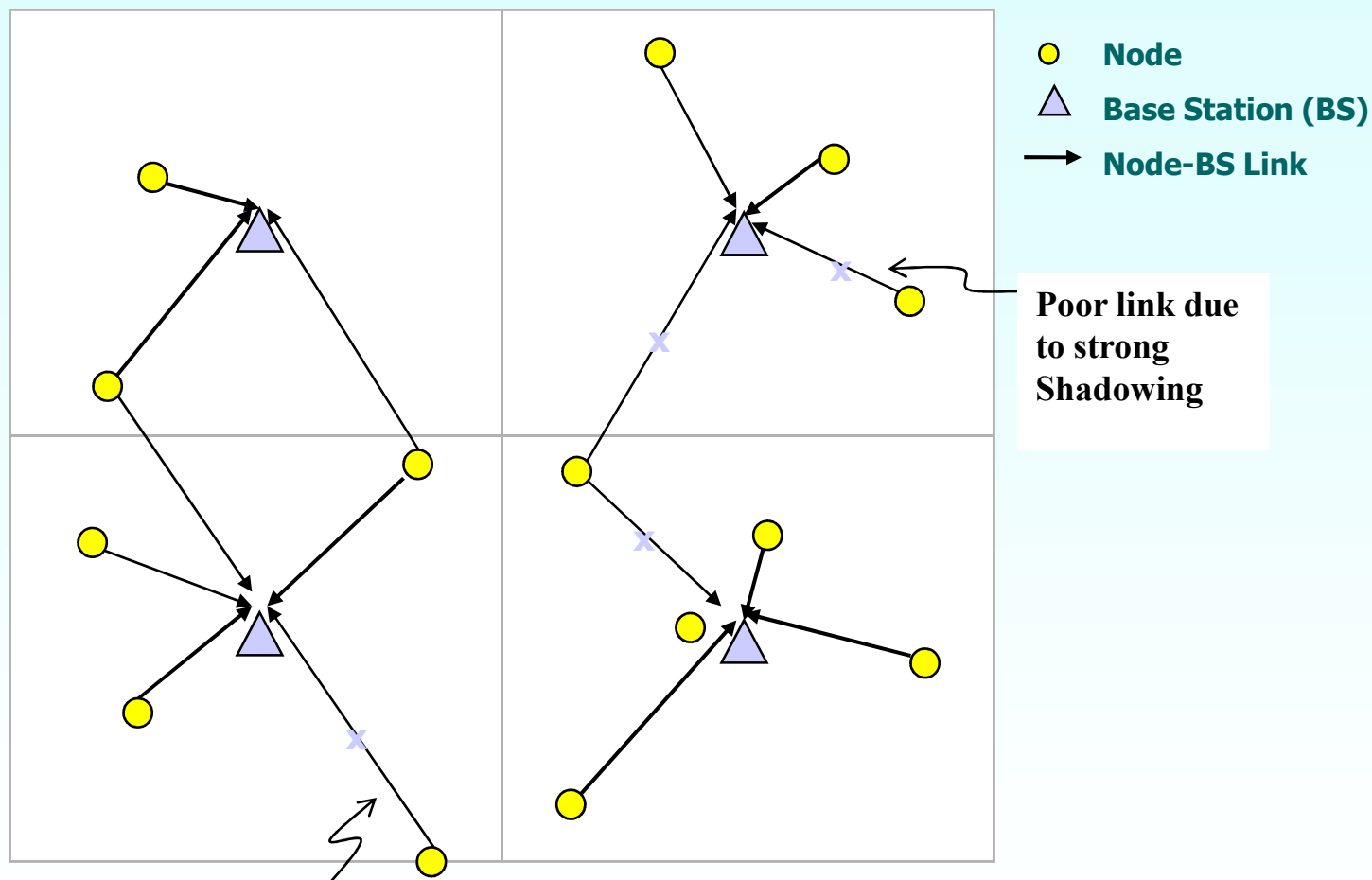
**DFH with LIMITED INFORMATION (Time Slot 2)**



**UE in BS service region:  
DFH with full information**

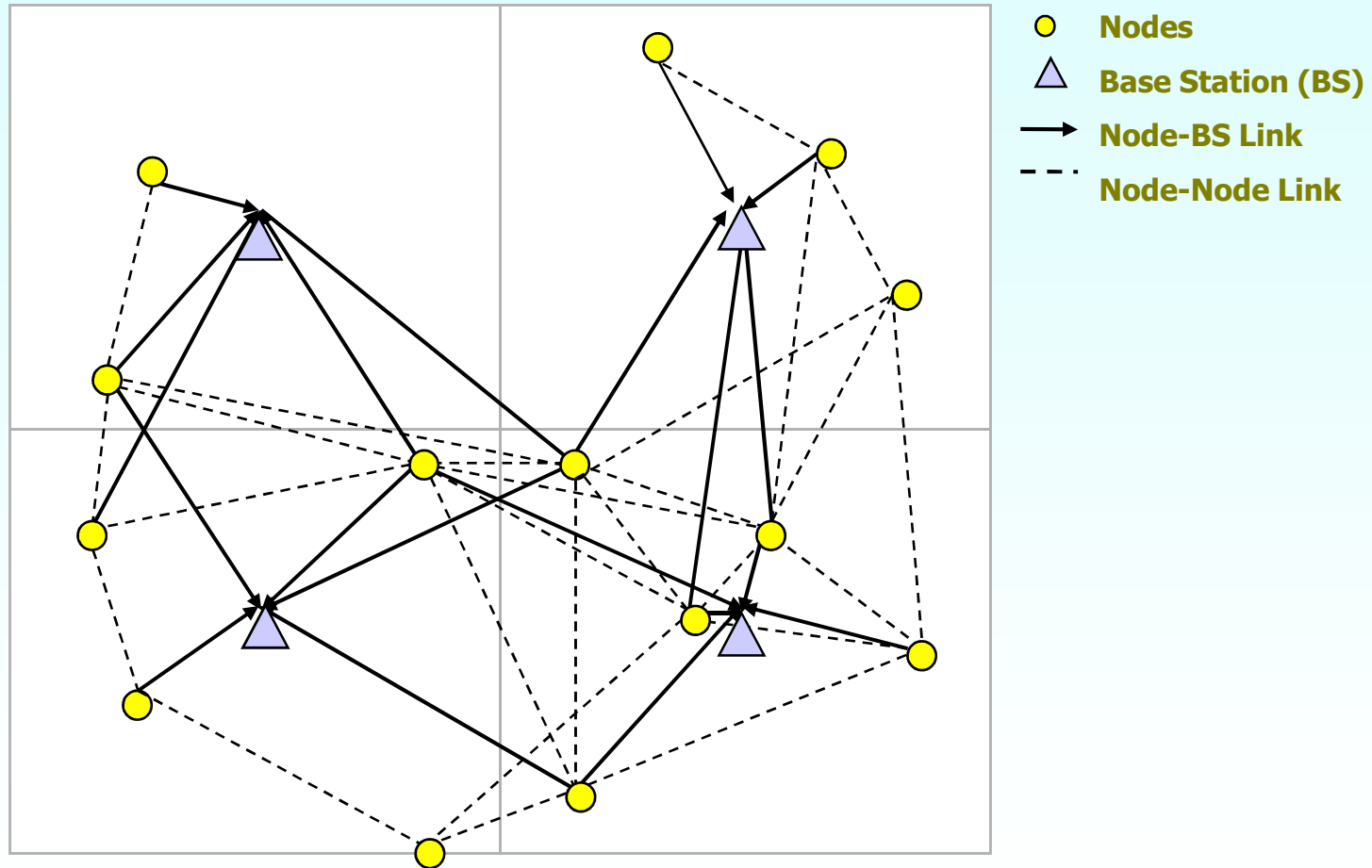


### Example 4: Performance Improvements through the Mesh Architecture in TDMA based Broadband Fixed Cellular Network



Ahmed, Syed, Yanikomeroğlu  
*IET Commun, Oct 2008*

## Cellular Mesh Network with Global Resource Allocation



## Algorithm for Constructing Routing Table

### Step 1

Reject all node-node & node-BS links with  $PL > PL_{\max}$

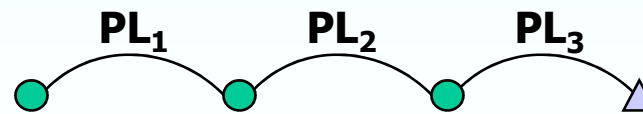
### Step 2

List all 2-hop & 3-hop routes between source node and BS(s)

### Step 3

Arrange in ascending order, the routes found in Step 2 using criterion

$$\min\{ \max(PL_i) \} \quad \text{where } i=1,2 \text{ or } i=1,2,3$$



### Step 4

If tie in  $\max(PL_i)$ , then  $\min\{ \sum PL_i \}$  route on the top

## Route Selection

### Policy

Minimum Number of Hops Route First

### Conditions

- ◆ Free slot(s) available on all hops
- ◆  $SINR_r \geq SINR_{th}$  on the free slot(s)

### Salient Features

- Less spectral resources used (time slots)
- Viable SINR links used ( $SINR_r \geq SINR_{th}$ )
- Simple call admission policy
- Simple algorithm

## Adaptive Modulation &amp; Coding

| SINR (dB)  | Code & Mod.          | Info. bps/hz |
|------------|----------------------|--------------|
| <4.65      | -                    | 0            |
| 4.65-7.45  | $\frac{1}{2}$ QPSK   | 1            |
| 7.45-10.93 | $\frac{3}{4}$ QPSK   | 1.5          |
| 10.93-12.0 | $\frac{1}{2}$ 16-QAM | 2.0          |
| 12.0-14.02 | $\frac{2}{3}$ 16-QAM | 2.67         |
| 14.02-15.0 | $\frac{3}{4}$ 16-QAM | 3            |
| 15.0-17.7  | $\frac{7}{8}$ 16-QAM | 3.5          |
| 17.70-19.0 | $\frac{2}{3}$ 64-QAM | 4            |
| 19.0-21.94 | $\frac{3}{4}$ 16-QAM | 4.5          |
| 21.94-26.0 | $\frac{7}{8}$ 64-QAM | 5.25         |
| >26.0      | 64-QAM               | 6            |



## Main Simulation Parameters

| System Parameter     | Simulation Value   |
|----------------------|--|
| Network              | Cellular, Noise limited, 200 Nodes,<br>4-Square Cells, Cell Size = 3x3 km <sup>2</sup>         |
| Multiple Access      | TDMA / FDD, 10 slots/channel   |
| Propagation Channel  | $n_{n-BS} = 3.8$ , $n_{n-n} = 4$ , $\sigma_{n-BS} = 6\text{dB}$ , $\sigma_{n-n} = 4\text{dB}$  |
| Carriers & Bandwidth | Single Carrier @ 2.5GHz, BW= 5 MHzs<br>No. of Channels = 2 to 6                                |
| Antenna Type         | 30°, switched beam, $G_{ml} = 7\text{ dB}$ , $G_{sl,bl} = 0\text{ dB}$ ,<br>Rooftop (node end) |
| Transmit Power       | Fixed, $P_t = 2\text{ watts}$  |
| Noise                | AWGN, Noise Power = - 130 dBW  |

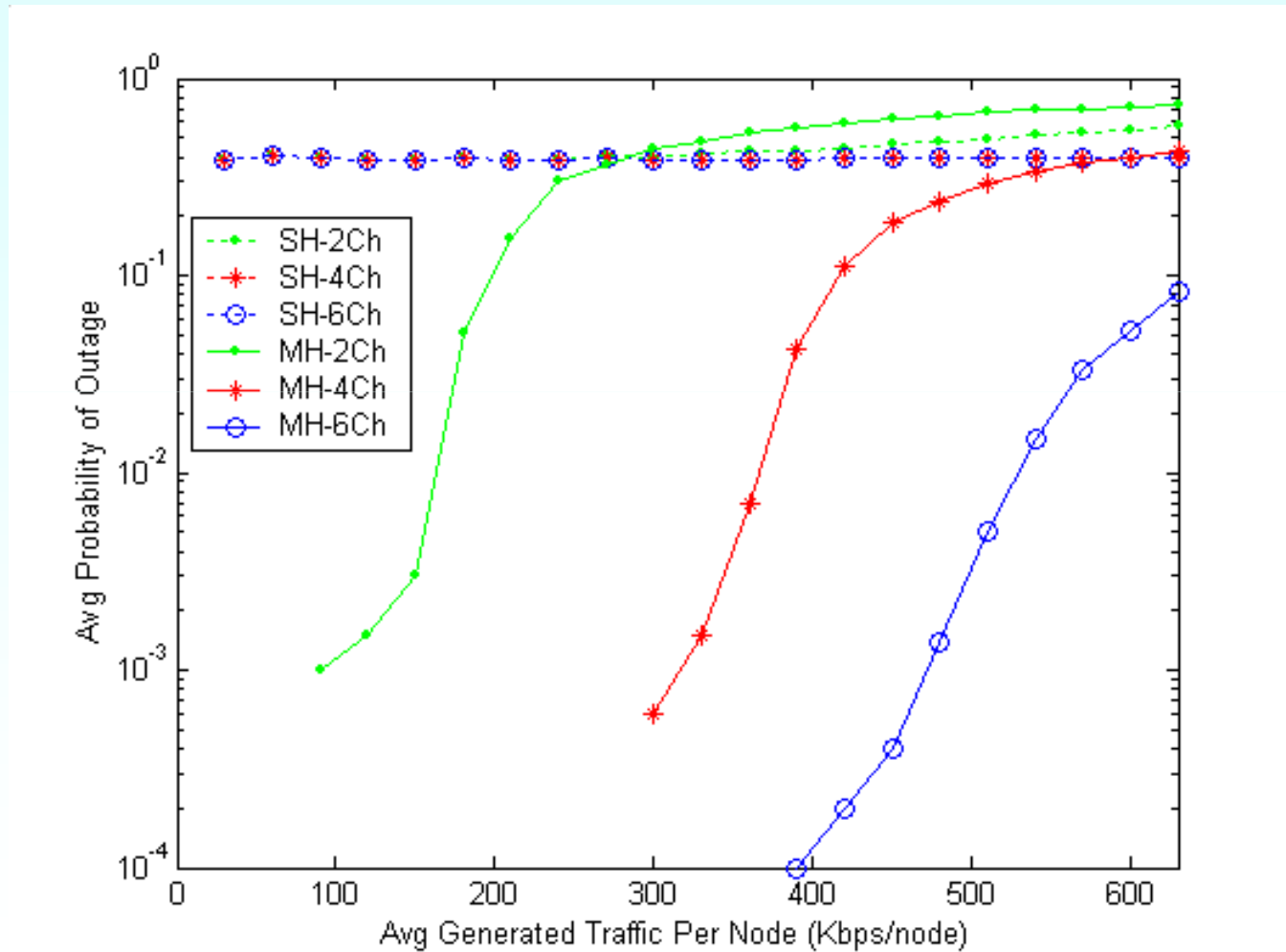
## Simulation Parameters ... Cont.

| System Parameter  | Simulation Value  |
|---|---|
| Network Traffic   | Traffic Arrival : Poisson, $\lambda=400-8000$ burst/sec<br>Burst size : Exponential, $\mu=15$ kbits |
| $PL_{\max}$ for Routing                                     | 126 dB  |
| $SINR_{th}$   | 4.65 dB   |
| Frame specification   | $T_f=10$ ms, 10 slots/frame   |
| Slot allocated per hop                                      | 1   |
| Upper limit on consecutive frame drop before retransmission | 3   |

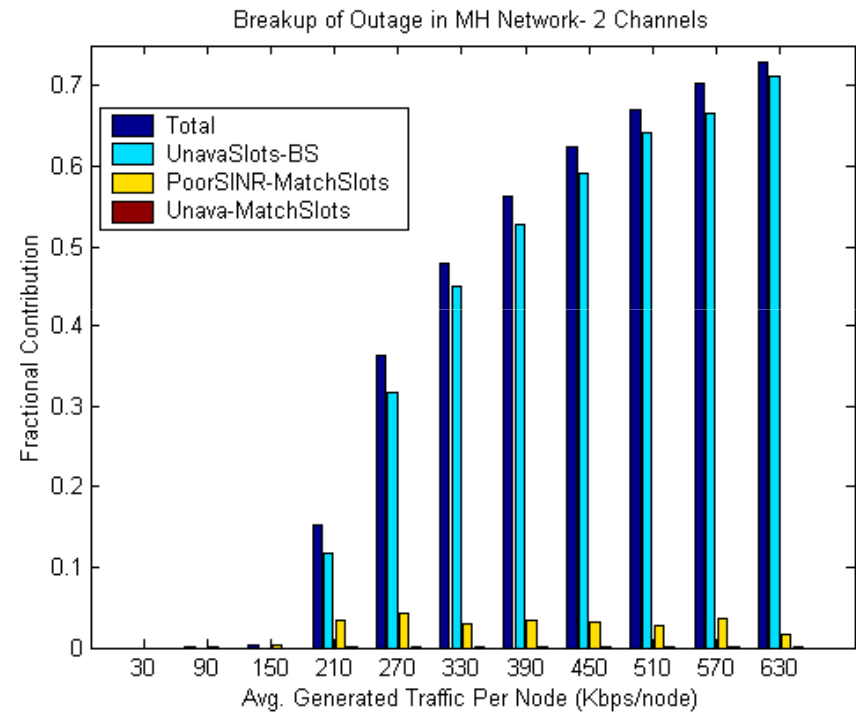
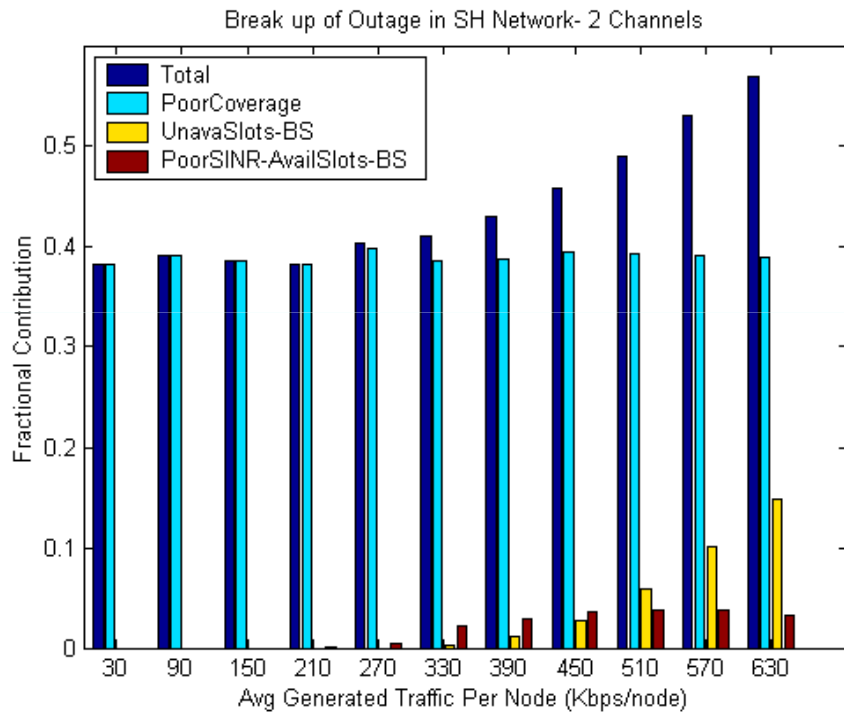
## Simulation Assumptions

- Snap shot processing at frame level
- All transmissions are slot synchronized
- Independent & fixed shadowing on all links
- Doppler shift negligible
- Multipath fading handled by micro diversity
- Infinite buffer size on the node
- All user nodes are active
- Separate control channels are available
- Continuous ARQ Protocol

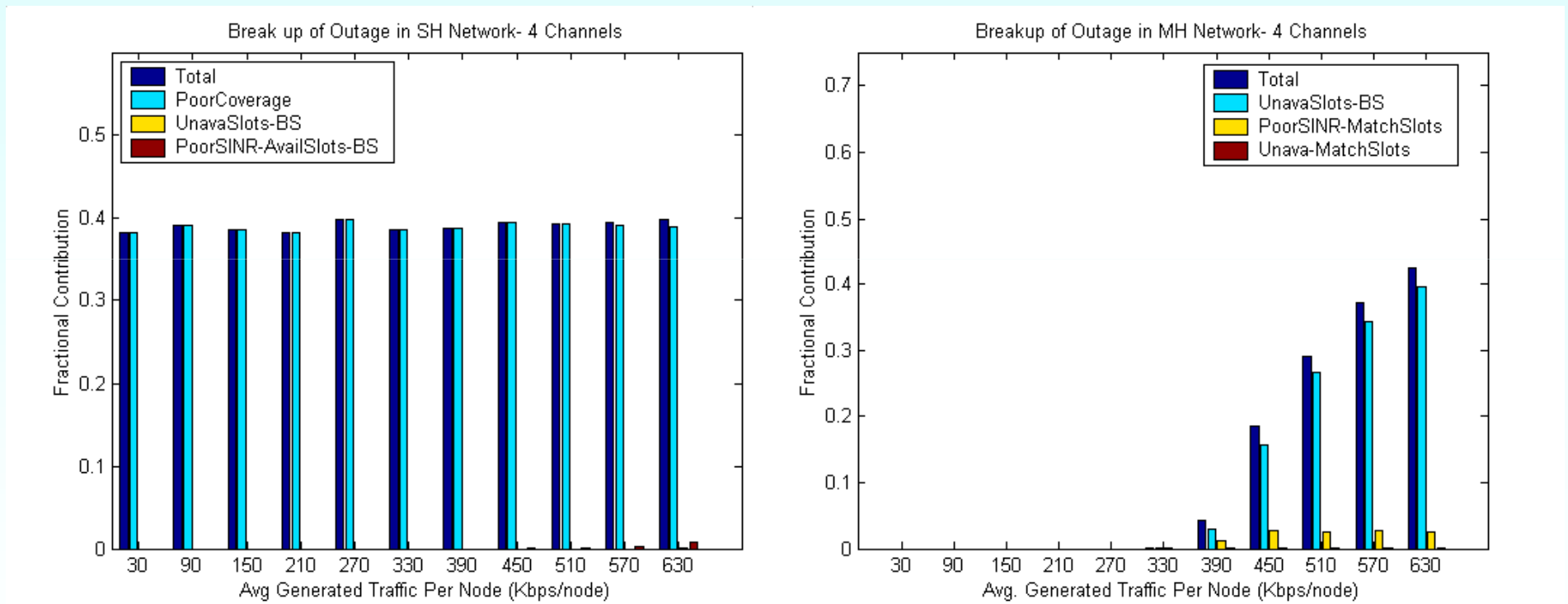
## Outage Probability



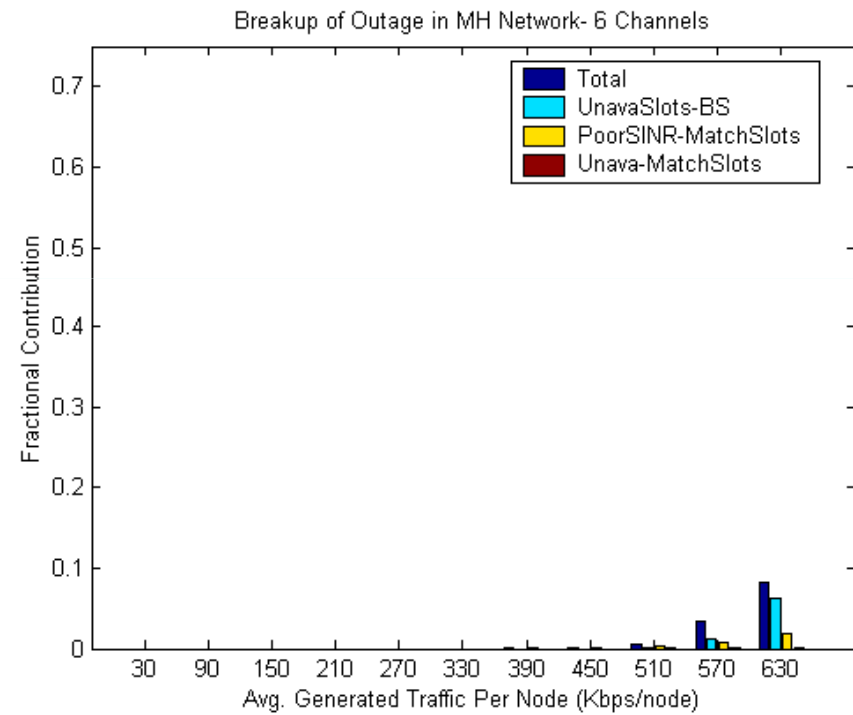
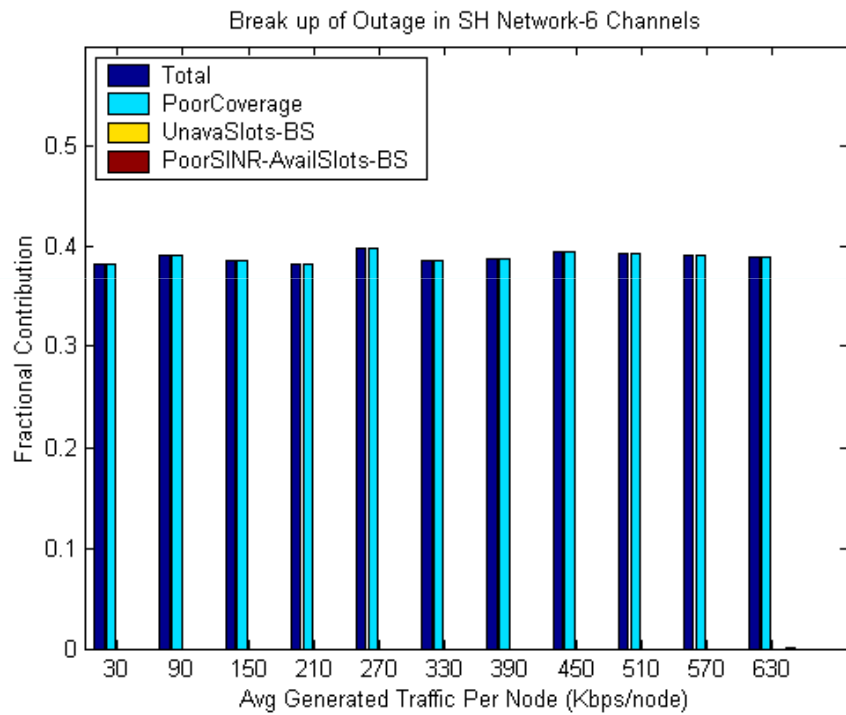
## Outage Analysis of SH & MH Network, 2-Channels



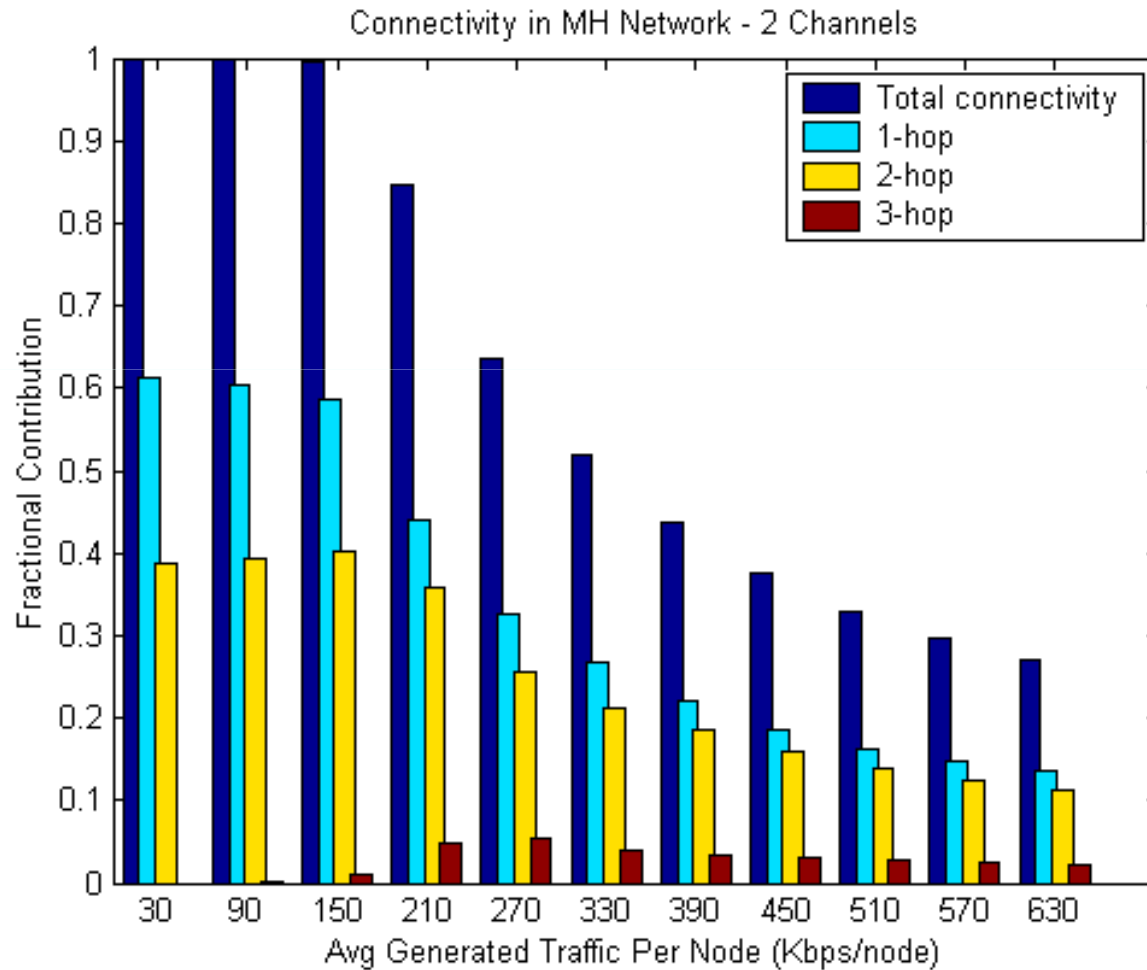
## Outage Analysis of SH & MH Network, 4-Channels



## Outage Analysis of SH & MH Network, 6-Channels

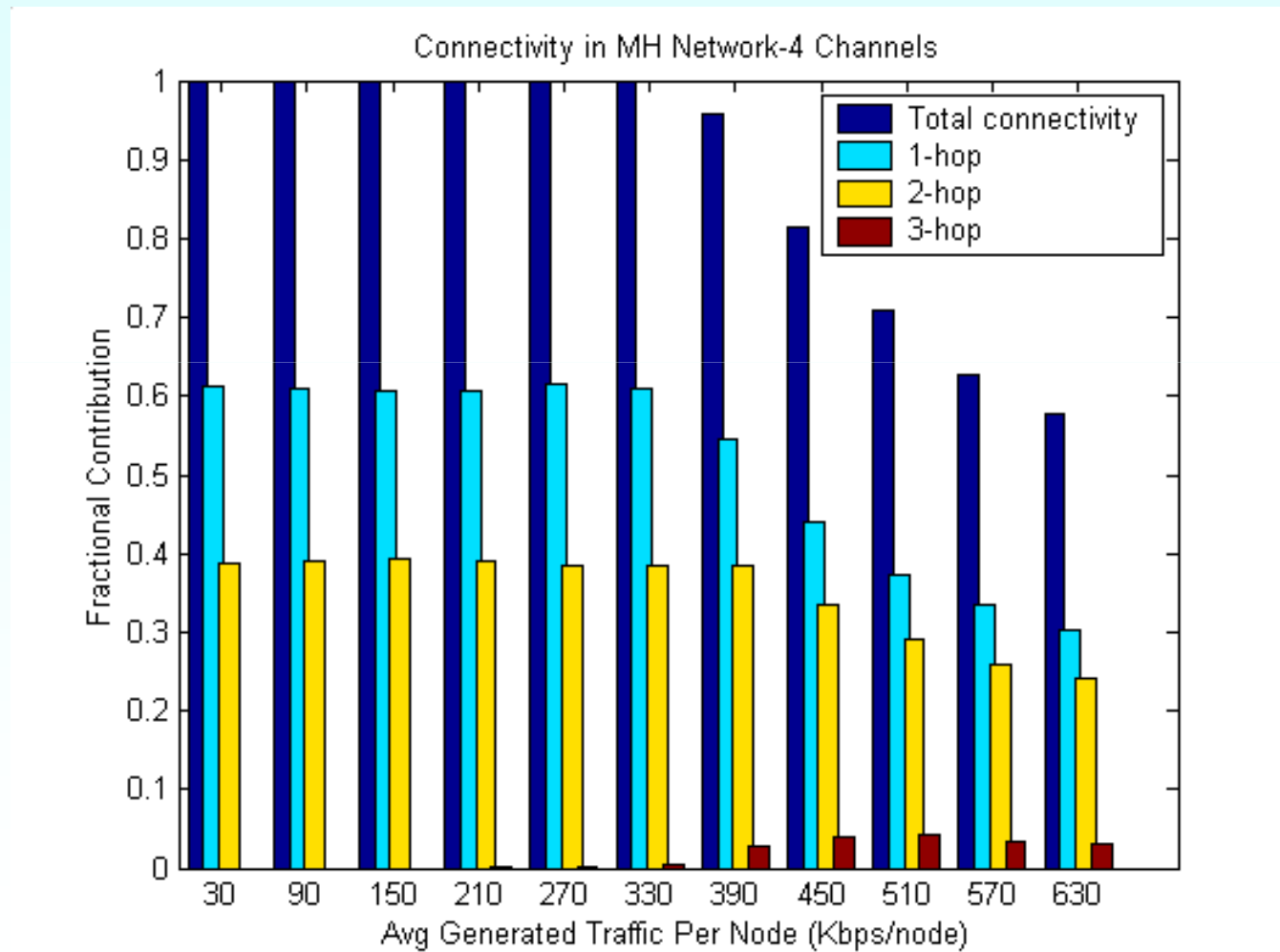


## Connectivity Analysis: MH Network, 2-Channels

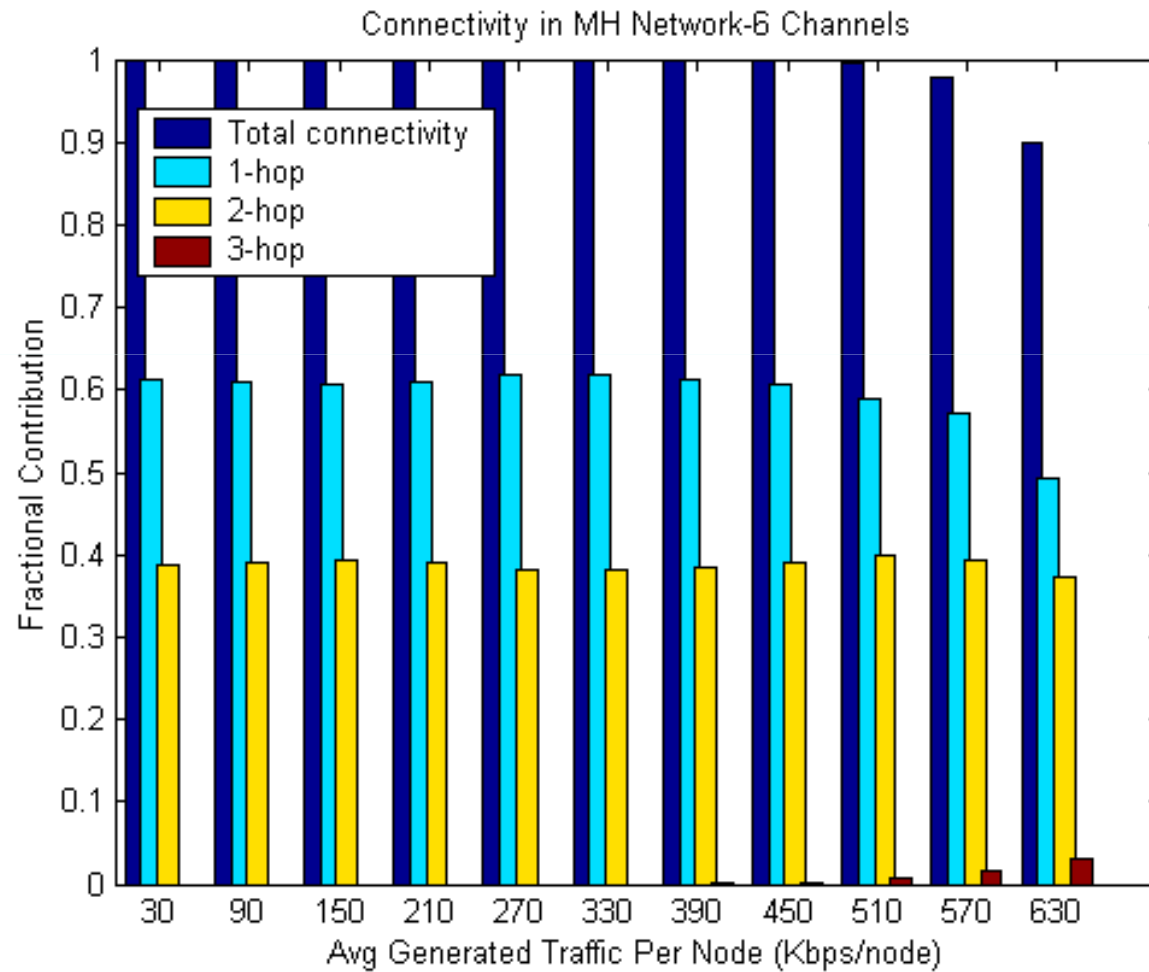




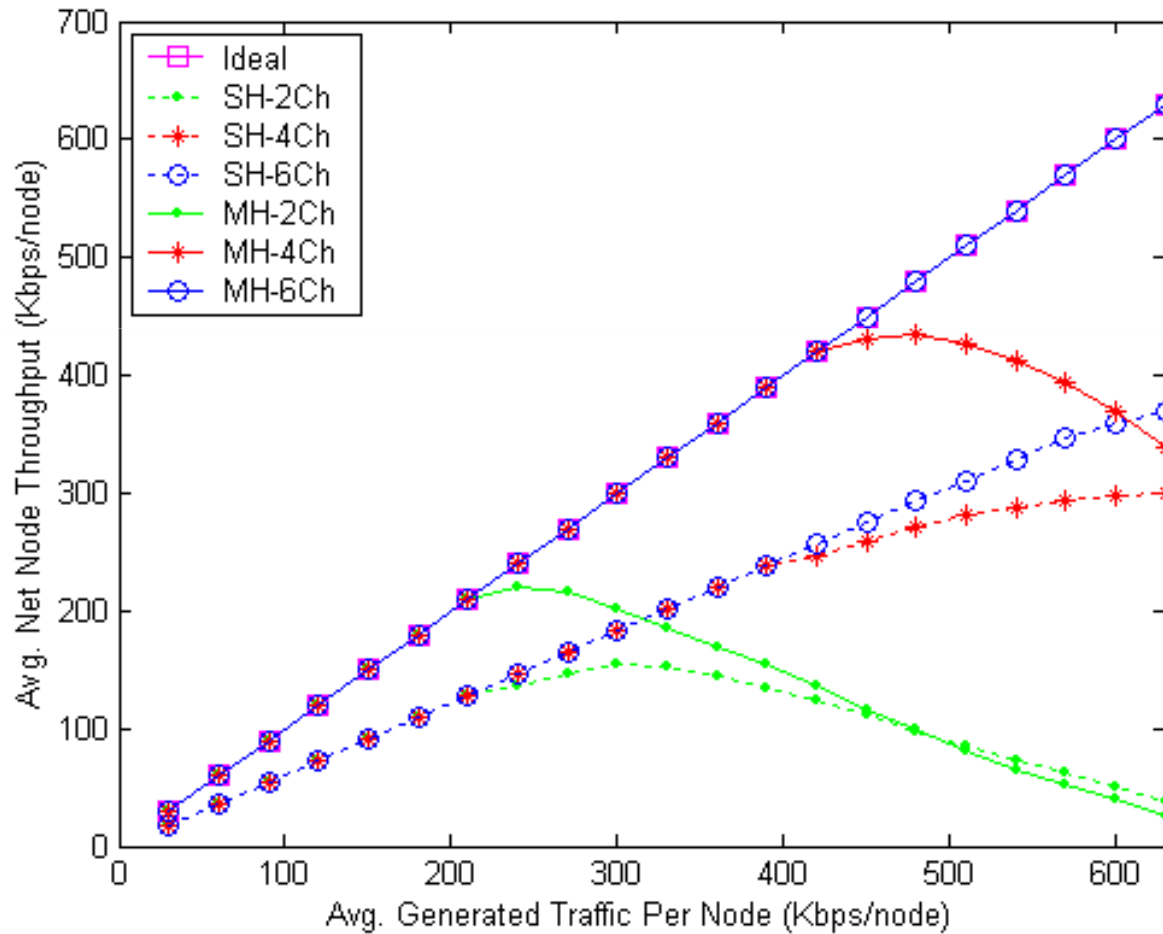
## Connectivity Analysis: MH Network, 4-Channels



## Connectivity Analysis: MH Network, 6-Channels

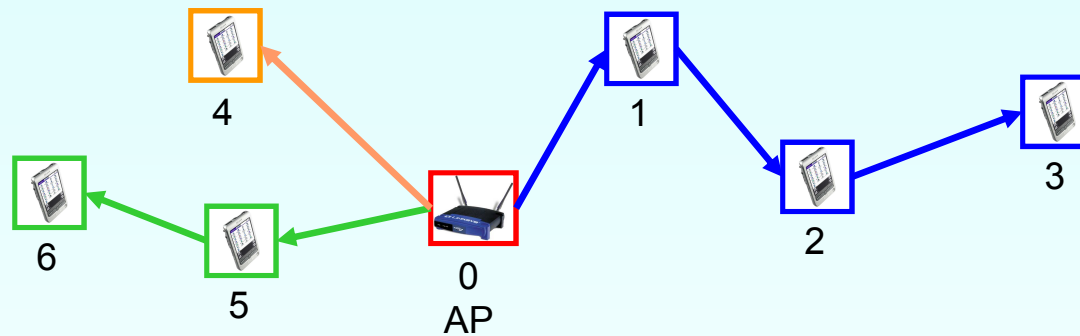


## Net Node Throughput

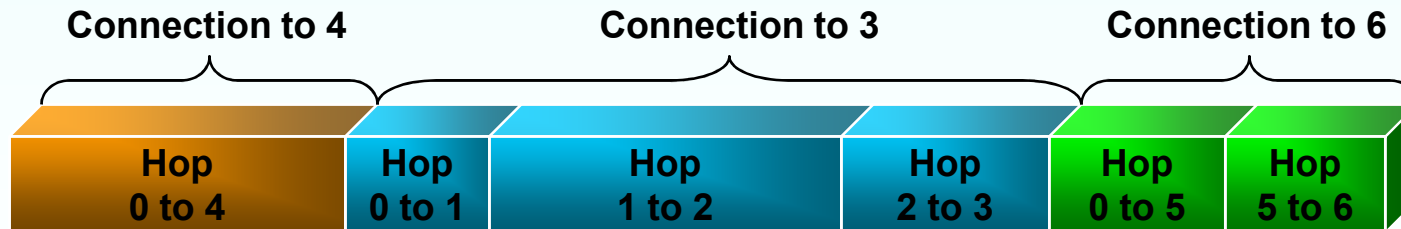


## Example 5: Diversity- and AMC (Adaptive Modulation and Coding)-Aware Routing in Infrastructure-based Multihop Networks

Hares, Yanikomeroglu, Hashem  
VTC'F03 & Globecom'03



Time Domain – MAC Frame

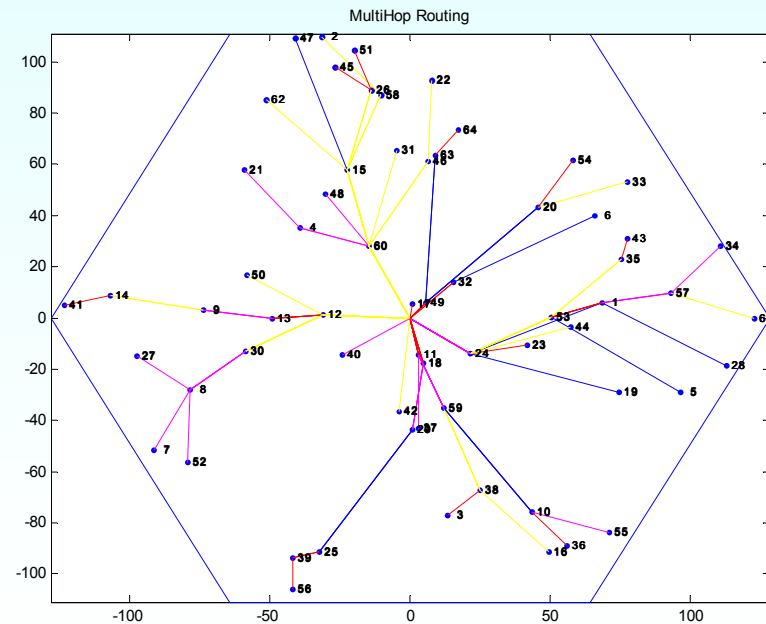
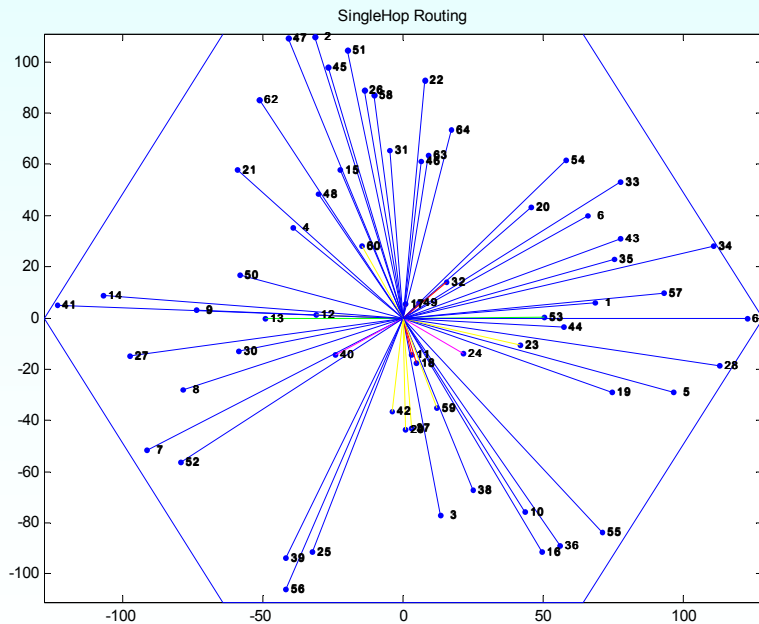


Extra channels are not used. Connections and hops are orthogonal in the time domain.

# Routing

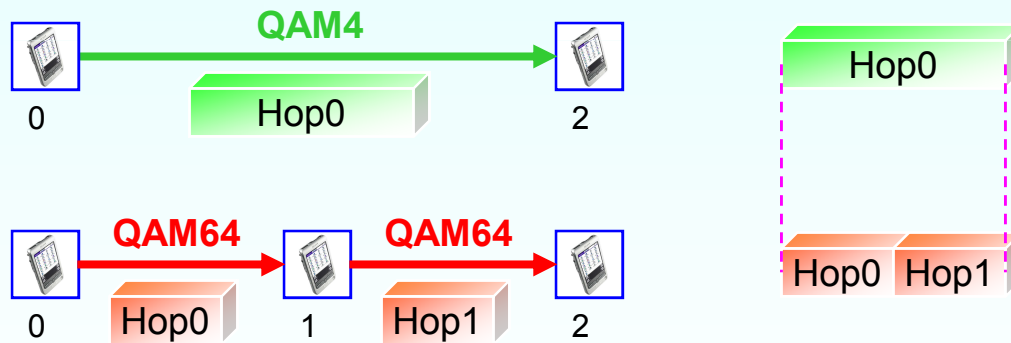
## ◆ Routing objective

- Select relay nodes and hop modulation/coding (MC) to maximize throughput
  - Throughput = (Information Rate bits/sec)\*(1 - Probability of error)
- Increase Info. Rate or decrease end-to-end error rate to increase throughput. How?



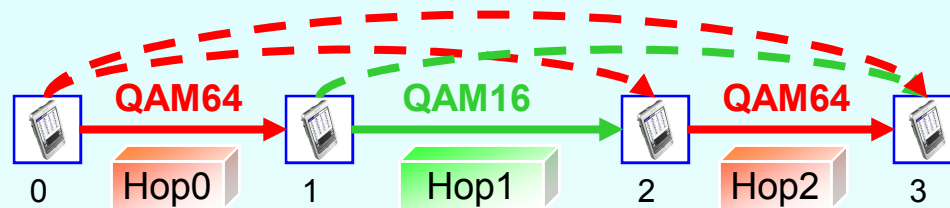
## Frame Allocation for Relaying

- Adaptive modulation and coding (AMC)
- Different MC used on hops
- Amount of data entering and exiting relaying nodes are equal

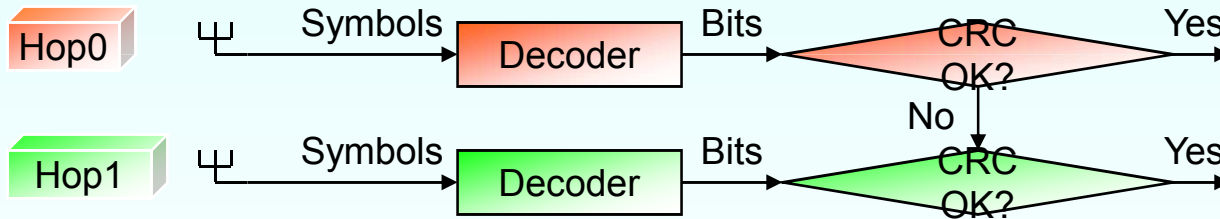


# Multihop Diversity

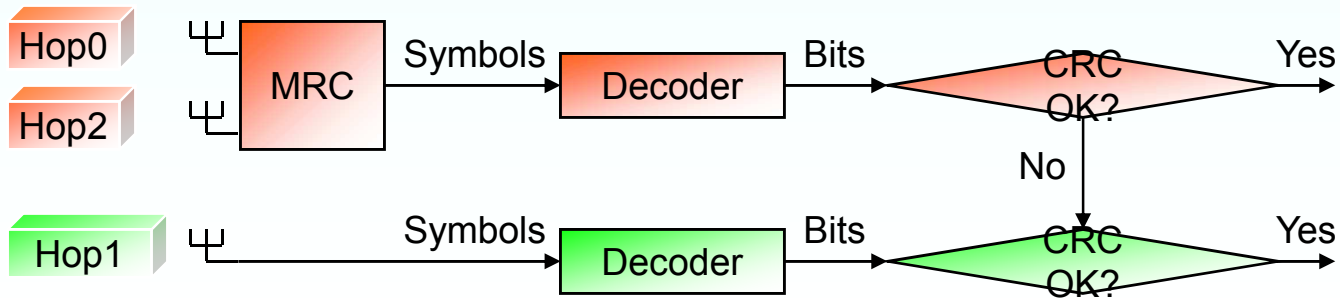
Time Domain



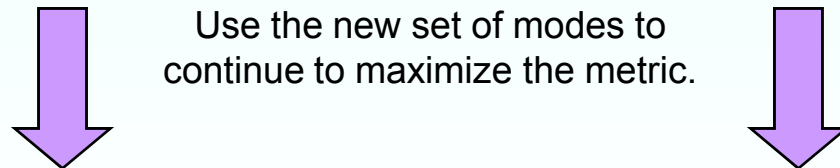
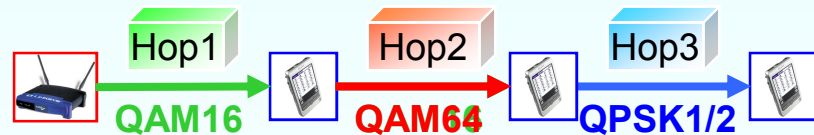
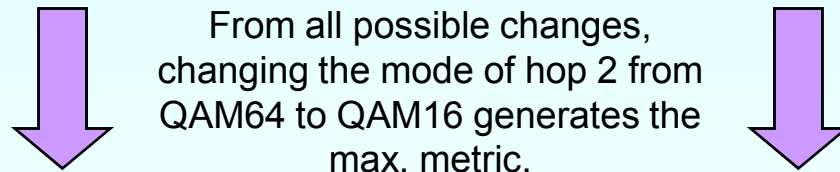
Node 2 Receiver Operation Equivalent



Node 3 Receiver Operation Equivalent



## Adaptive Modulation & Coding Maximization (AMCM)



Stop when mode changes do not increase the connection metric.

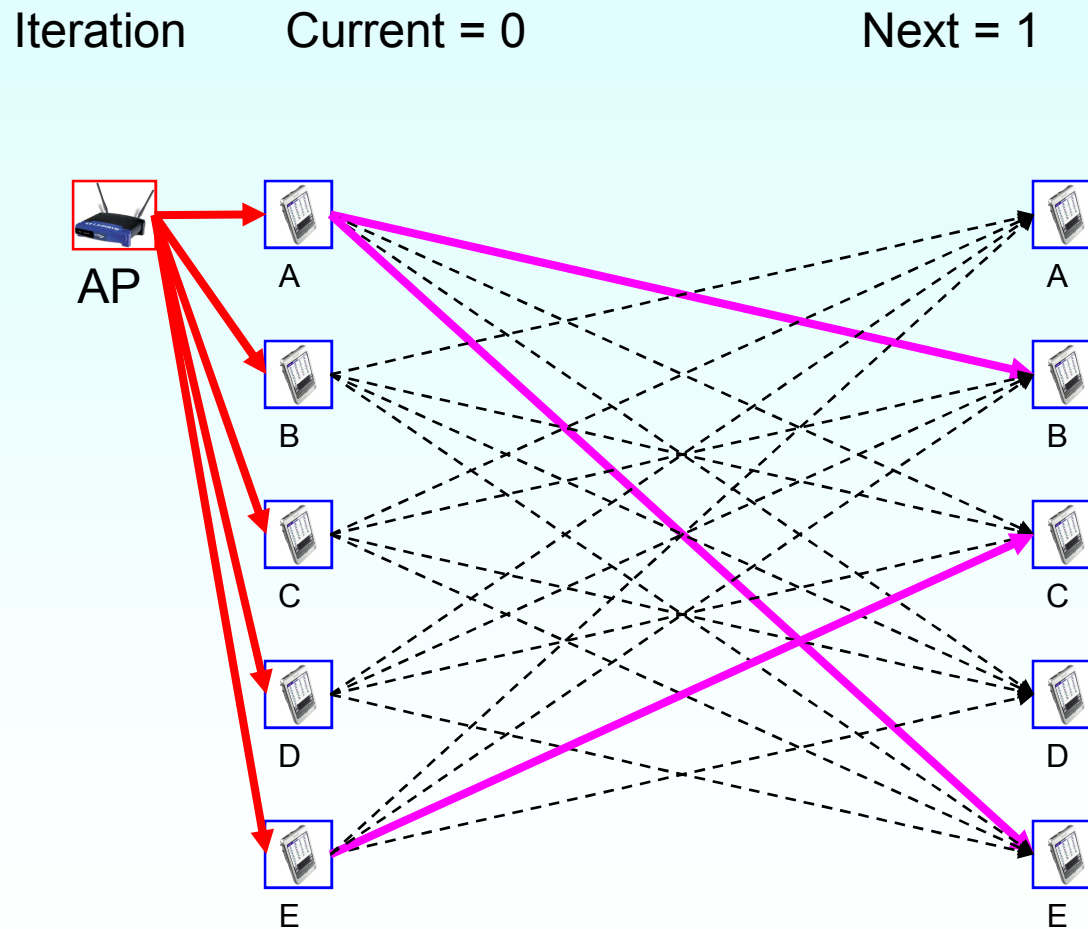
- ◆ Originally, hop modes were selected to maximize data rates on hops.
- ◆ AMCM adapts hop modes to maximize the connection throughput for systems using MRC diversity.
- ◆ Performed after route has been selected.
- ◆ Possible modes a hop can assume is limited to the set of modes used in the connection (i.e. QAM16, QAM64, QPSK1/2).
- ◆ For each iteration, examine all possible modes for all hops.
- ◆ Change a mode for a single hop that generates the maximum metric for the connection.
- ◆ Use the new set of modes for the subsequent iteration.
- ◆ Stop when a mode change does not increase the metric for the connection.



## Routing Types

- ◆ Route Selection Strategies:
  - Single Hop (SH)
  - Multihop (MH)
  - Multihop Selection Combining Diversity (MHSC)
    - Routing metric factors selection combining diversity
  - Multihop MRC Diversity (MHMRC)
    - Routing metric factors MRC diversity
  - Multihop Adaptive Modulation MRC Diversity (MHAMMRC)
    - Routing metric factors MRC diversity
    - Uses AMCM
  - Hybrid Digital and Analog Relaying (HDAR)
    - Nodes relay incorrectly decoded signals as analog signals

## Routing – Example (1)



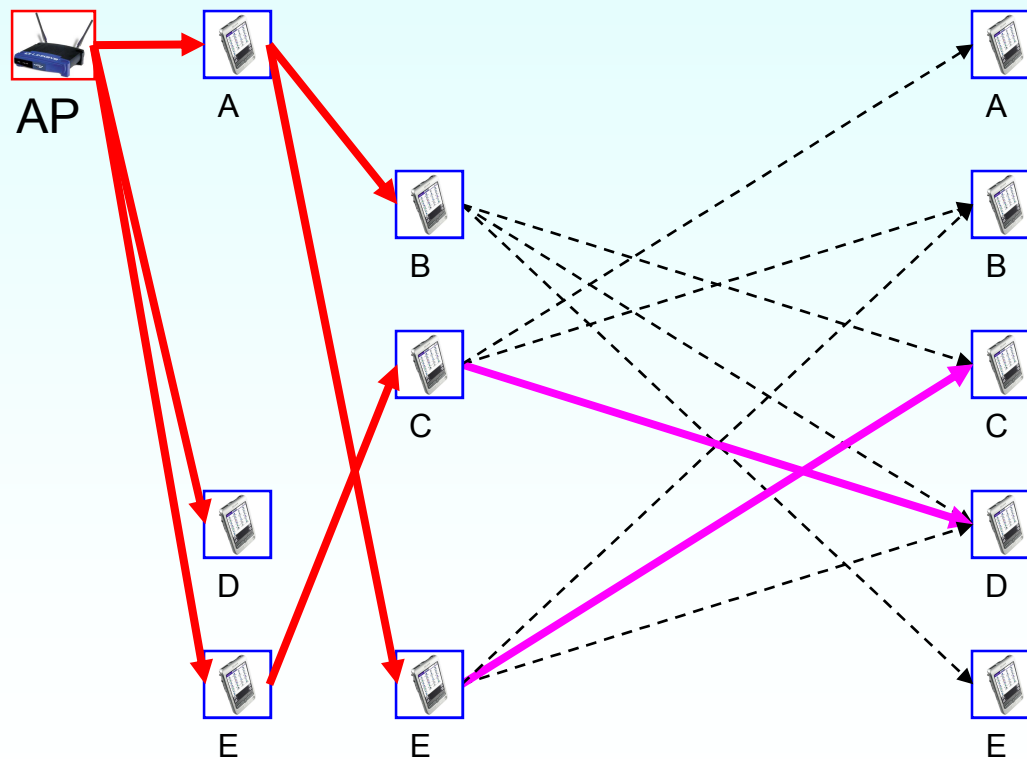
- ◆ Initially, routes only contain the AP and destination node.
- ◆ Examine all next routes.
- ◆ Next routes (black) built off current routes (red).
- ◆ Next routes generating max. metrics are in purple.
- ◆ If metric of next route > metric of current route, on next iteration, current route = next route.
- ◆ Next iteration routes:
  - B: AP-A-B
  - C: AP-E-C
  - E: AP-A-E

## Routing – Example (2)

Iteration

Current = 1

Next = 2



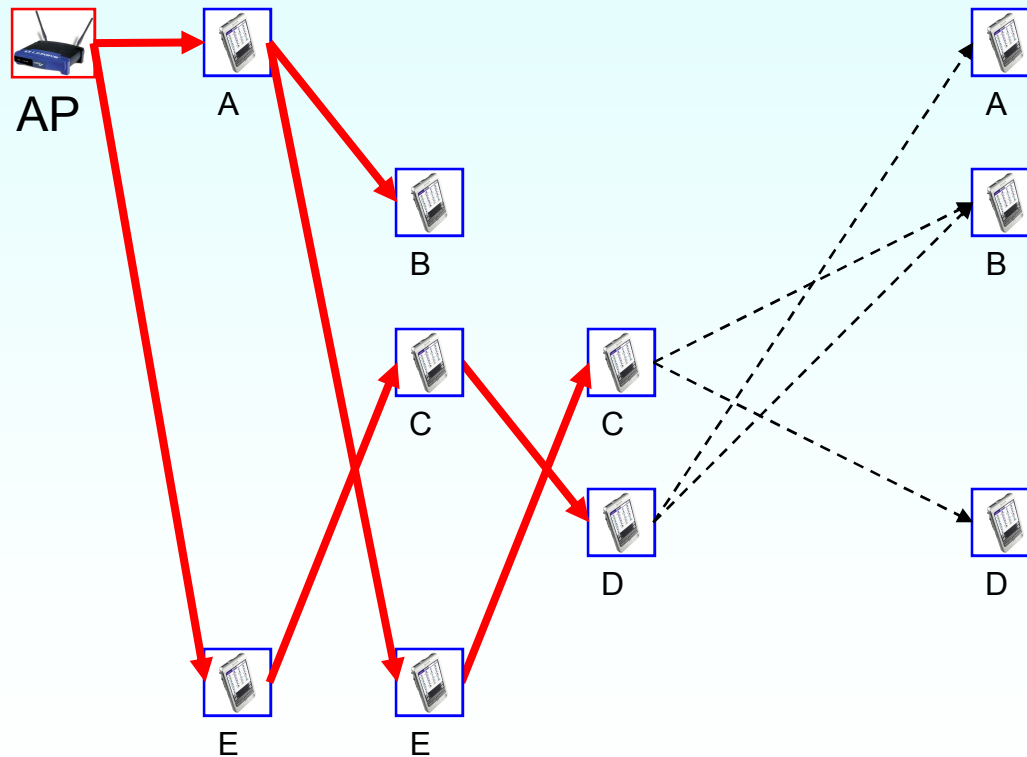
- ◆ Only check routes to nodes not included in current route.
- ◆ Next iteration routes:
  - C: AP-A-E-C
  - D: AP-E-C-D
- ◆ Need to only examine next routes built from routes which changed on previous iteration.

## Routing – Example (3)

Iteration

Current = 2

Next = 3

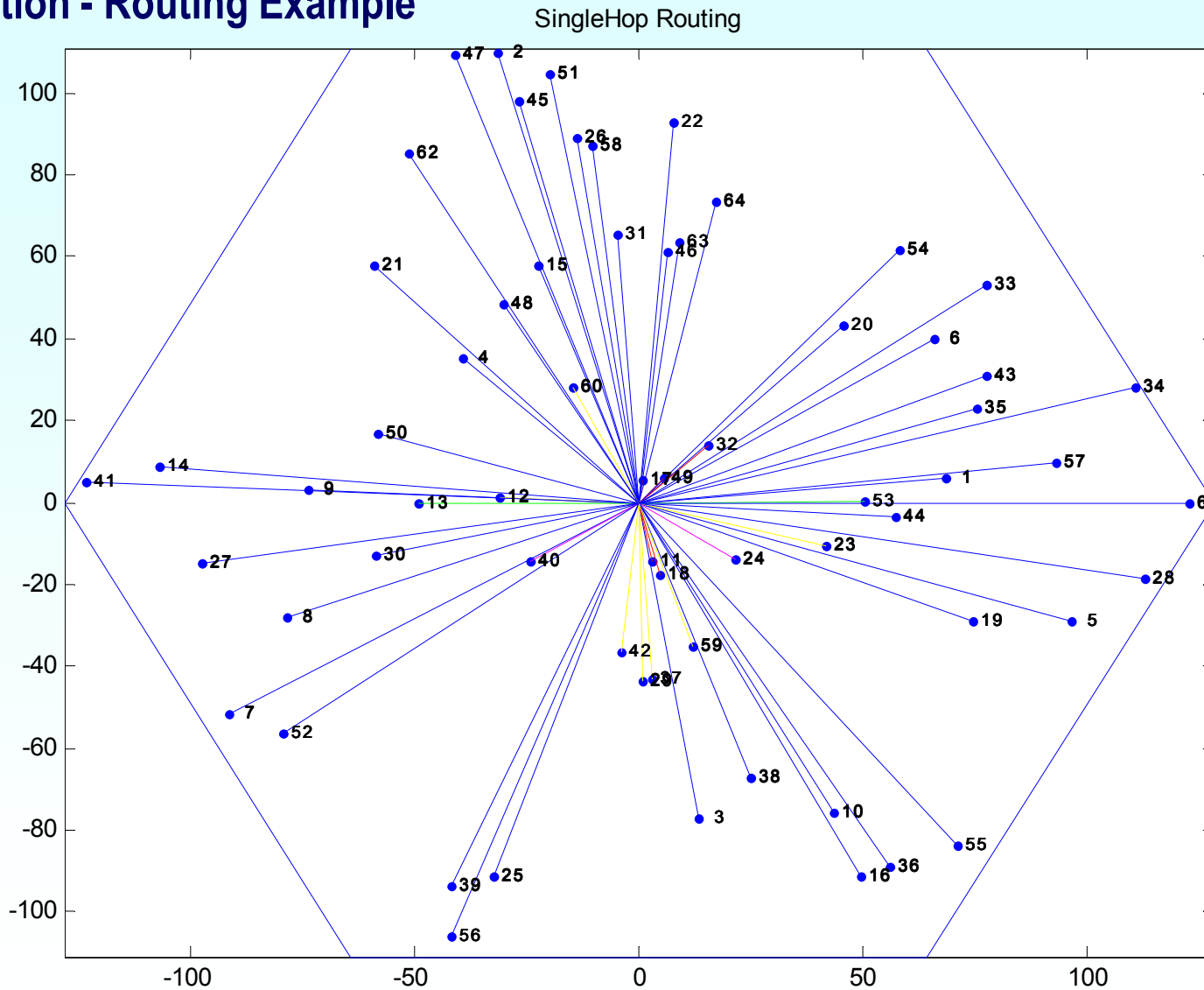


◆ Stop searching when next generation of routes do not yield higher metrics.

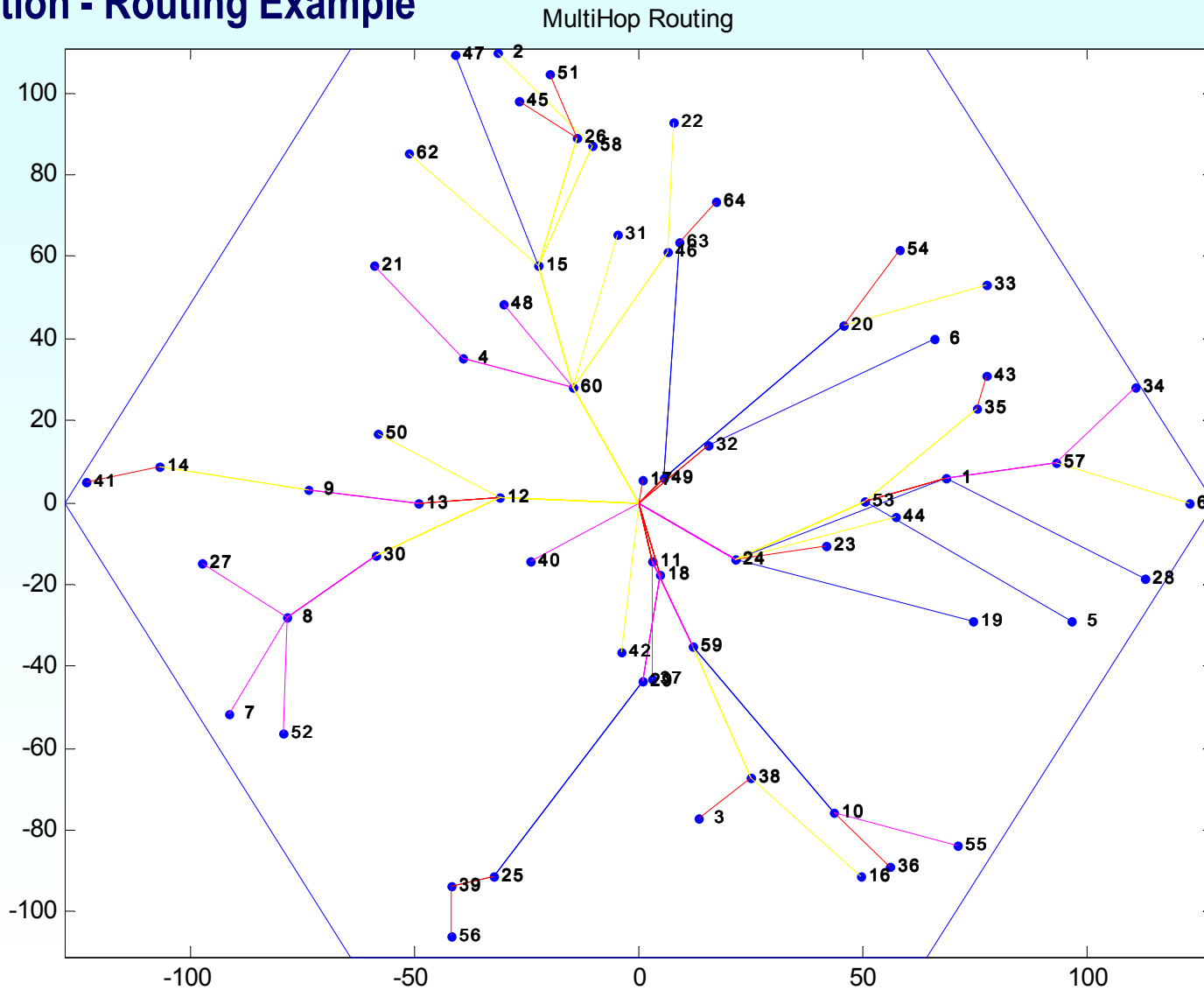
◆ Final connections:

- A: AP-A
- B: AP-A-B
- C: AP-A-E-C
- D: AP-E-C-D
- E: AP-A-E

# Simulation - Routing Example

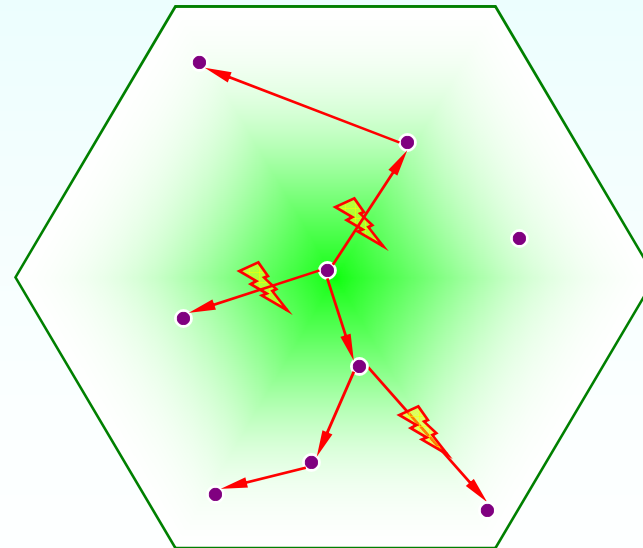


# Simulation - Routing Example

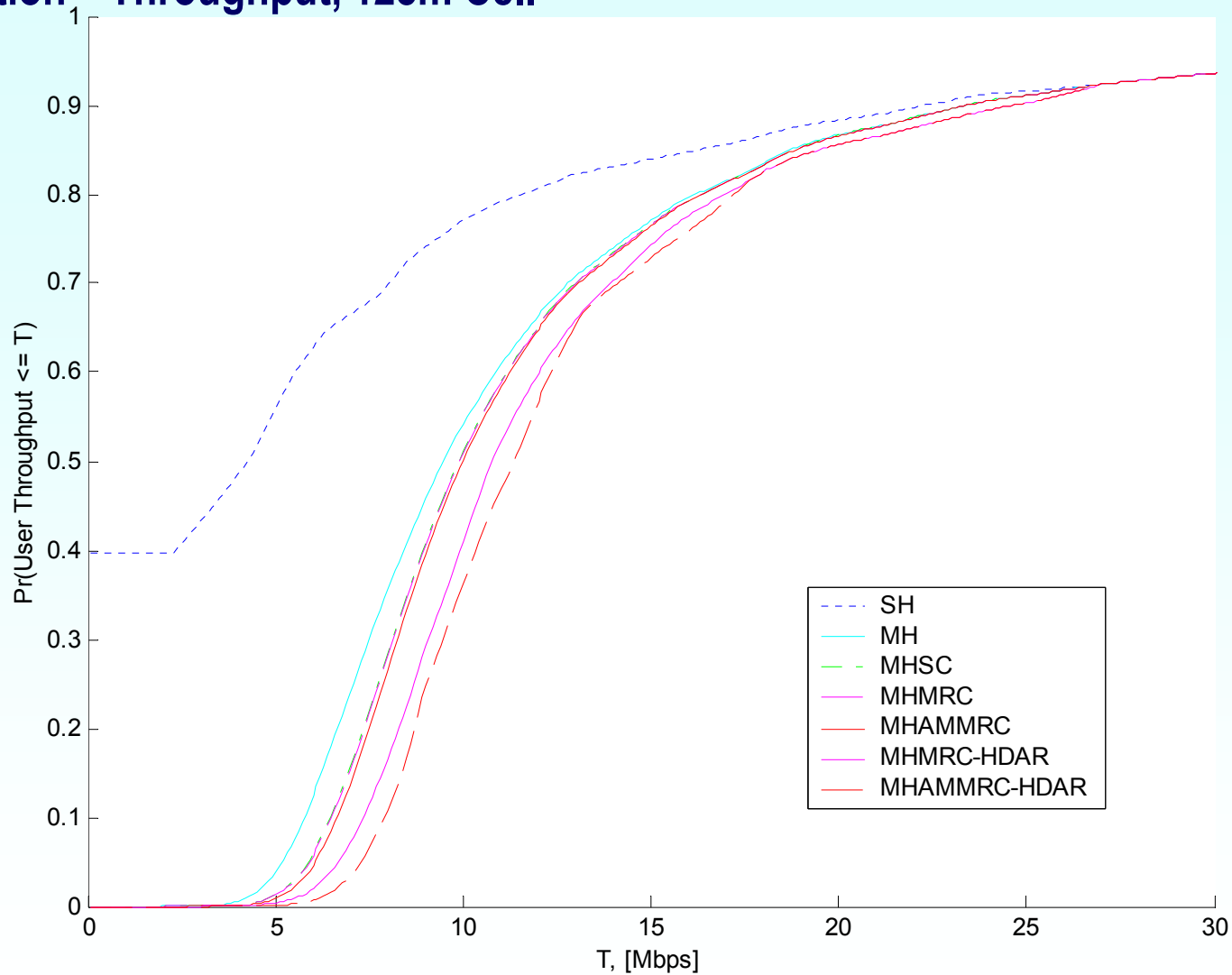


## Simulation - Parameters

- NLOS office environment
- ETSI-A channel model
  - Rayleigh fading 50ns RMS delay spread
- Noise power,  $P_{N0} = -90\text{dBm}$
- Propagation exponent,  $\alpha = 3.4$
- Carrier frequency,  $f_c = 5.3\text{GHz}$
- Shadowing,  $\sigma = 5.1\text{dB}$
- Omni-directional antennas
- Fixed transmit power,  $P_{tx} = 23\text{dBm}$
- Adaptive modulation
- Constant interference
- Hexagonal radius,  $R = 128\text{m}$
- Cluster size,  $N = 12$
- No mobility

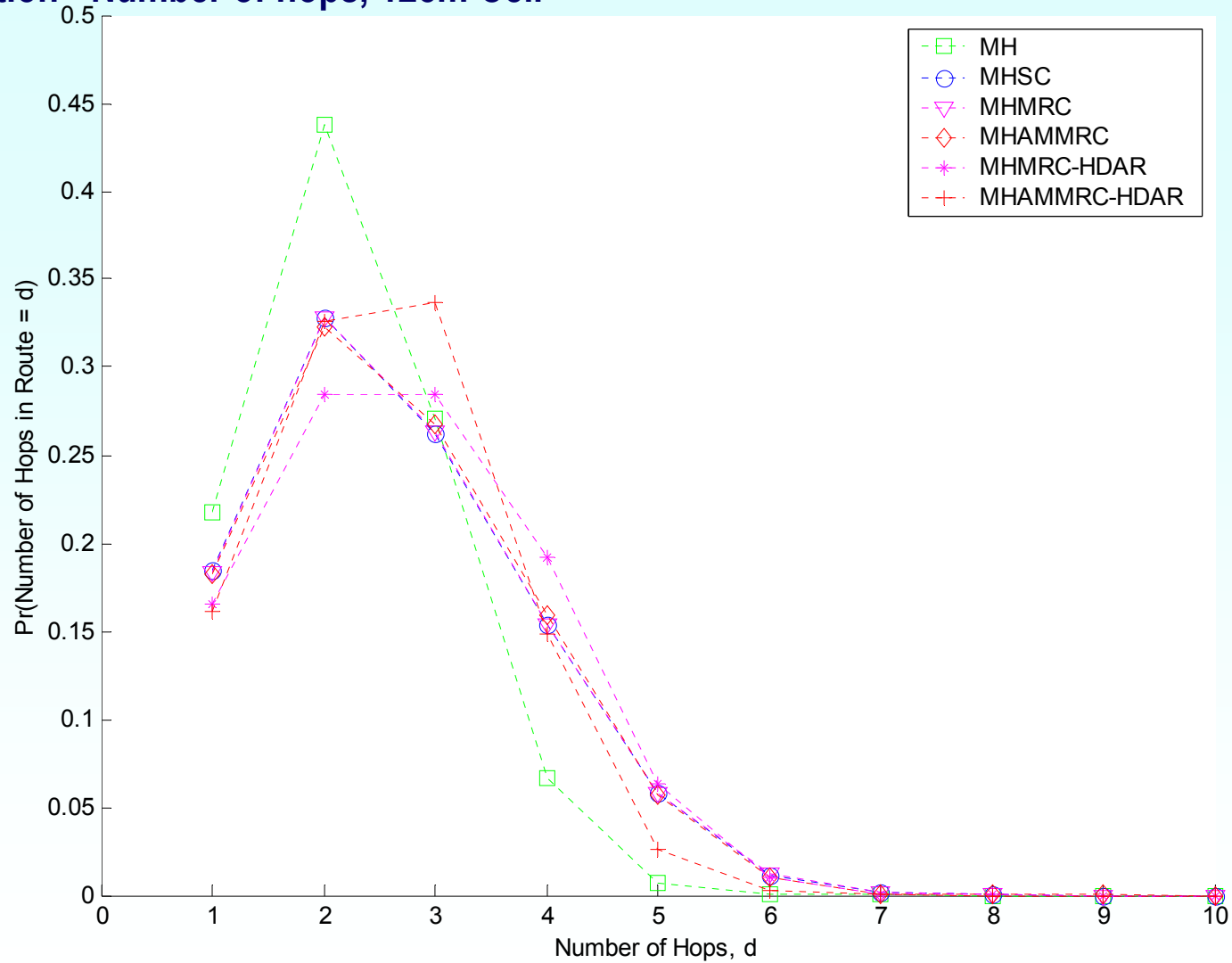


## Simulation – Throughput, 128m Cell

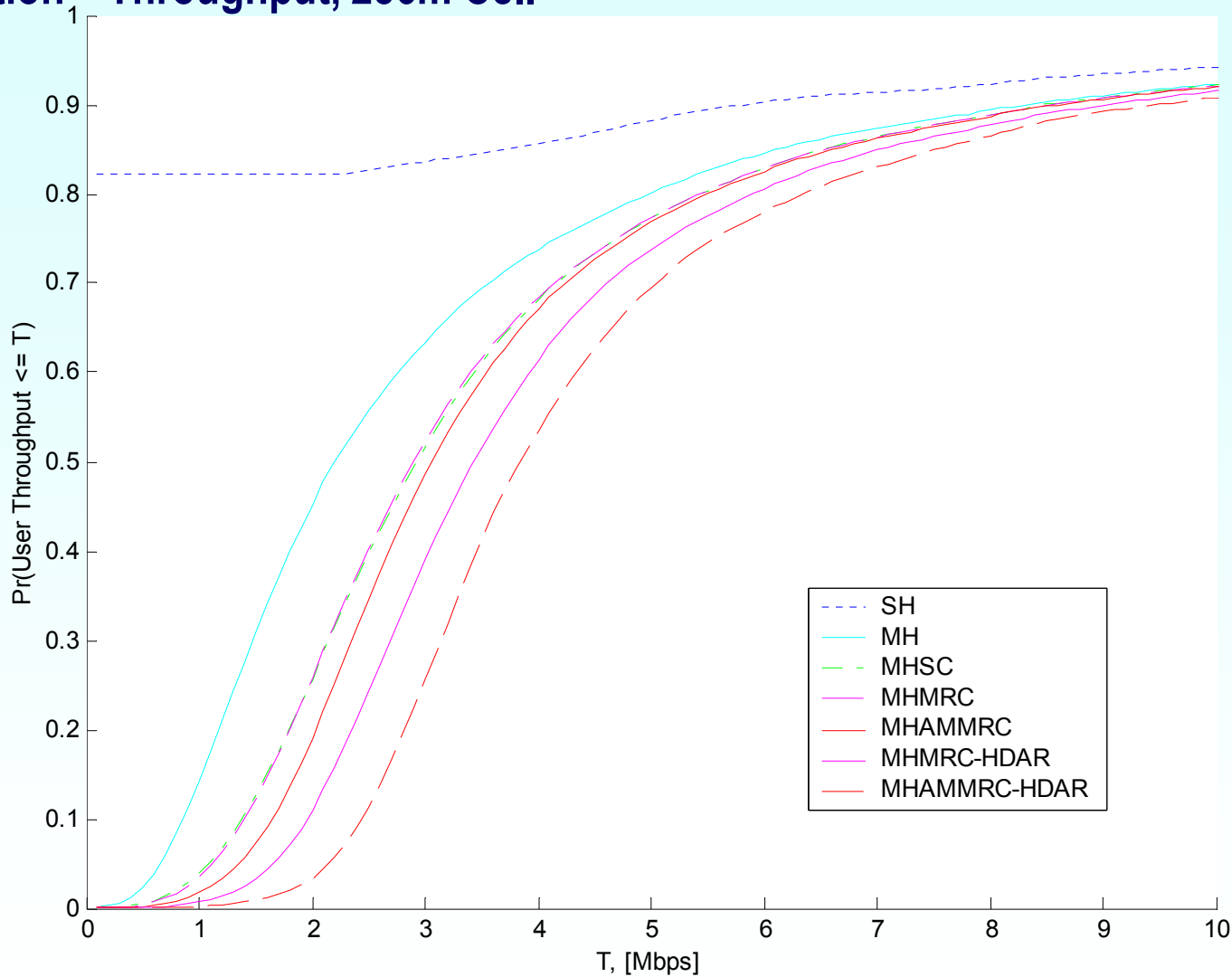




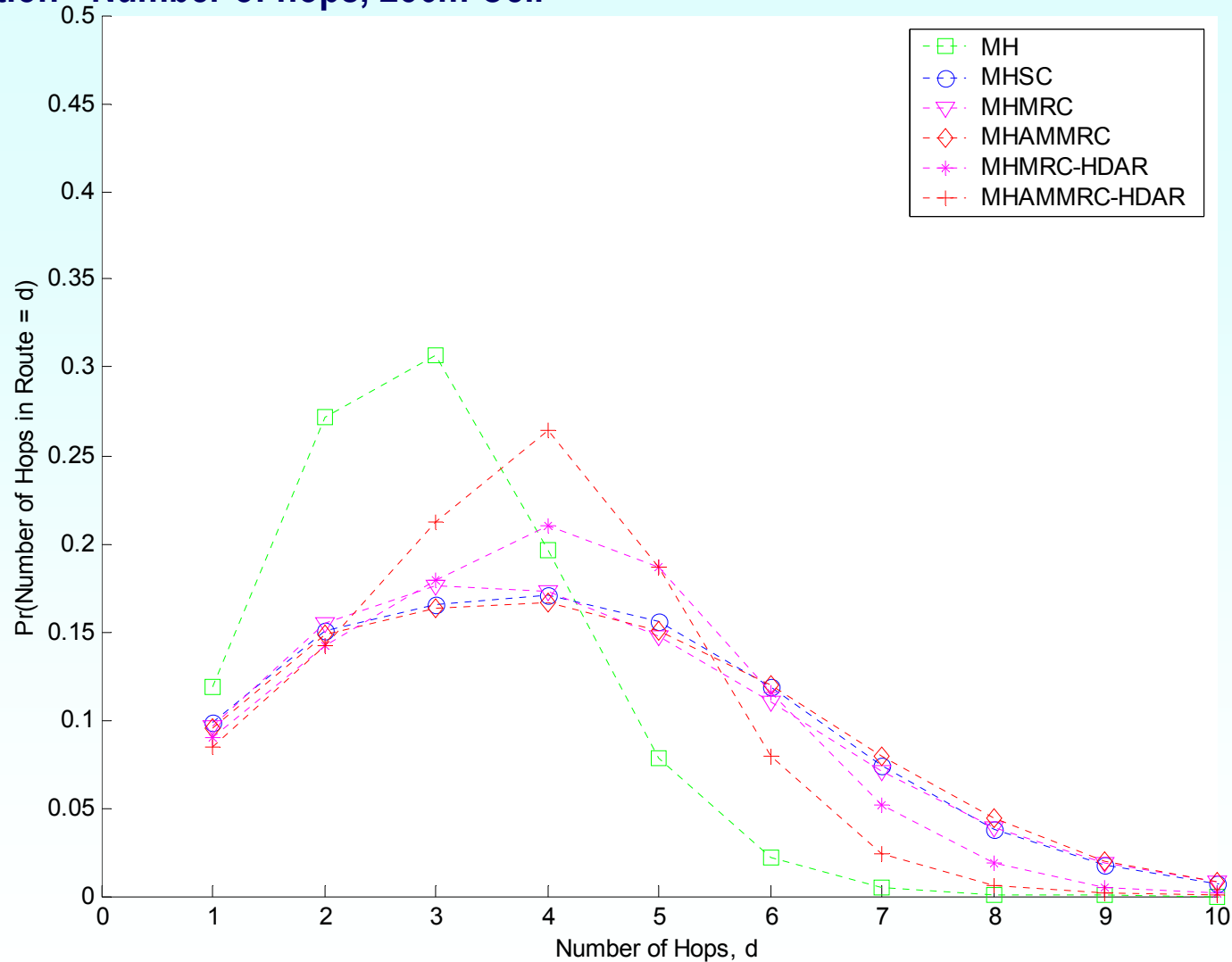
Simulation - Number of hops, 128m Cell



### Simulation – Throughput, 256m Cell



Simulation - Number of hops, 256m Cell



## Simulation Results

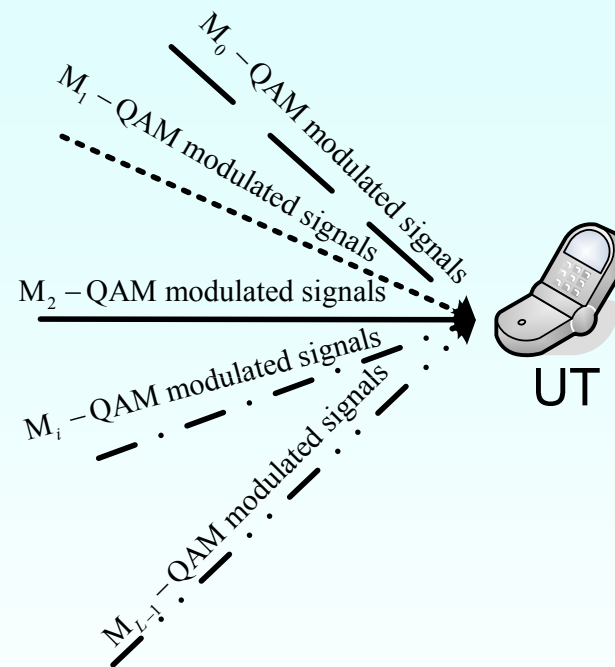
| Routing Type | System Diversity | Avg. Network Throughput [Mbps] |           | Avg. Hops in Route |           |
|--------------|------------------|--------------------------------|-----------|--------------------|-----------|
|              |                  | 128m Cell                      | 256m Cell | 128m Cell          | 256m Cell |
| SH           | None             | 7.75                           | 2.07      | 1                  | 1         |
| MH           | None             | 12.77                          | 4.17      | 2.21               | 2.93      |
| MHSC         | SC               | 13.17                          | 4.70      | 2.64               | 4.17      |
| MHMRC        | MRC              | 13.19                          | 4.70      | 2.62               | 4.14      |
| MHAMMRC      | MRC              | 13.26                          | 4.85      | 2.62               | 4.24      |
| MHAMMRC-HDAR | MRC              | 14.32                          | 5.62      | 2.56               | 3.70      |

- ◆ Routing Type – routing/metric type
- ◆ System Diversity – form of diversity used at nodes
- ◆ SH = singlehop, MH = multihop (routing algorithm)
- ◆ MHAM = multihop adaptive modulation (routing algorithm)

## Observations

- ◆ Multihop routes → Optimal 2-hop routes
- ◆ Diversity techniques: very attractive -- they do not use additional radio resources (power or bandwidth)
- ◆ Routing: incorporates diversity benefits
- ◆ HDAR: increases diversity benefits
- ◆ Average aggregate throughput: increases 2-3X
- ◆ Outage: reduces very significantly (range extension: remarkable)
- ◆ Strategically placed fixed relayers: may be very attractive
- ◆ Can be used in any TDMA network, if
  - PER models are known & channel updates supported
- ◆ For more information:
  - Shoaev Hares, Halim Yanikomeroglu, and Bassam Hashem, "Diversity- and AMC (Adaptive Modulation and Coding)-Aware Routing in TDMA Multihop Networks", *IEEE GLOBECOM 2003*
  - Shoaev Hares, Halim Yanikomeroglu, and Bassam Hashem, "A relaying algorithm for multihop TDMA TDD networks using diversity", *IEEE VTC Fall 2003*

## Example 6: Combining Signals with Different Modulation Levels



## Selection Combining Schemes (1/5)

- Conventional Selection Combining (SC):  
Decodes the signal from the branch that has the Maximum SNR.  
**Drawback:**  
It doesn't account for the difference in reliabilities of different modulation levels.
- BER Selection Combining (BSC):  
Decodes the signal from the branch that has the Minimum BER.

## Selection Combining Schemes (2/5)

### BER performance analysis of SC and BSC

- Rich literature, but limited to similar modulation levels.
- Exact BER of SC:

$$BER = \frac{1}{2} c_{M_0} \left( 1 - \sqrt{\frac{d_{M_0}^2 \bar{\gamma}_0}{1 + d_{M_0}^2 \bar{\gamma}_0}} \right) + \frac{1}{2} c_{M_1} \left( 1 - \sqrt{\frac{d_{M_1}^2 \bar{\gamma}_1}{1 + d_{M_1}^2 \bar{\gamma}_1}} \right) - \frac{1}{2} c_{M_0} \frac{\bar{\gamma}_1}{\bar{\gamma}_0 + \bar{\gamma}_1} \left( 1 - \sqrt{\frac{d_{M_0}^2 \bar{\gamma}_2}{1 + d_{M_0}^2 \bar{\gamma}_2}} \right) - \frac{1}{2} c_{M_1} \frac{\bar{\gamma}_0}{\bar{\gamma}_0 + \bar{\gamma}_1} \left( 1 - \sqrt{\frac{d_{M_1}^2 \bar{\gamma}_2}{1 + d_{M_1}^2 \bar{\gamma}_2}} \right)$$

$$\bar{\gamma}_2 \square \frac{\bar{\gamma}_0 \bar{\gamma}_1}{\bar{\gamma}_0 + \bar{\gamma}_1}$$

$$BER \approx \frac{1}{2} c_{M_0} \left( 1 - \sqrt{\frac{d_{M_0}^2 \bar{\gamma}_0}{1 + d_{M_0}^2 \bar{\gamma}_0}} \right) + \frac{1}{2} c_{M_1} \left( 1 - c_{M_1} \sqrt{\frac{d_{M_1}^2 \bar{\gamma}_1}{1 + d_{M_1}^2 \bar{\gamma}_1}} \right) - \frac{1}{2} \frac{c_{M_0} d_{M_1}^2 \bar{\gamma}_1 + c_{M_1} d_{M_0}^2 \bar{\gamma}_0}{d_{M_0}^2 \bar{\gamma}_0 + d_{M_1}^2 \bar{\gamma}_1} \left( 1 - \sqrt{\frac{\bar{\gamma}_2}{1 + \bar{\gamma}_2}} \right)$$

$$\bar{\gamma}_2 \square \frac{d_{M_0}^2 \bar{\gamma}_0 d_{M_1}^2 \bar{\gamma}_1}{d_{M_0}^2 \bar{\gamma}_0 + d_{M_1}^2 \bar{\gamma}_1}$$

- Very good approximation of BER of BSC:



## Selection Combining Schemes (3/5)

### Asymptotic BER performance analysis of SC and BSC

#### ■ SC:

$$BER \approx (D^{SC} \text{SNR})^{-2}, \text{ as } \text{SNR} \rightarrow \infty$$

$$\text{where } D^{SC} = \frac{4}{\sqrt{3}} \left( \frac{c_{M_0} d_{M_1}^4 + c_{M_1} d_{M_0}^4}{d_{M_0}^4 d_{M_1}^4} \right)^{\frac{1}{2}} \sigma_0 \sigma_1.$$

#### ■ BSC:

$$BER \approx (D^{BSC} \text{SNR})^{-2}, \text{ as } \text{SNR} \rightarrow \infty$$

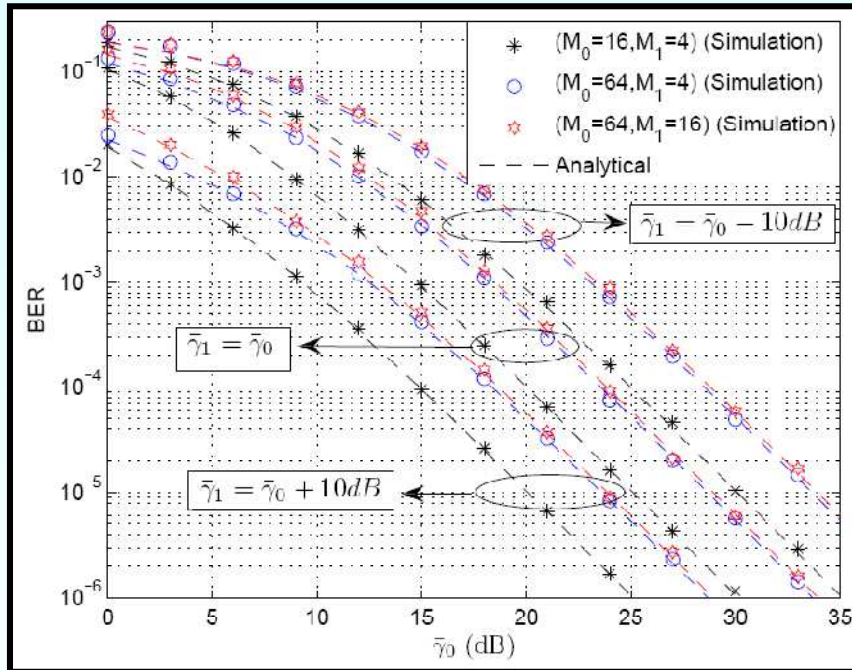
$$\text{where } D^{BSC} = \frac{4}{\sqrt{3}} (c_{M_0} + c_{M_1})^{\frac{1}{2}} d_{M_0} d_{M_1} \sigma_0 \sigma_1.$$

#### ■ Asymptotic Gain (AG):

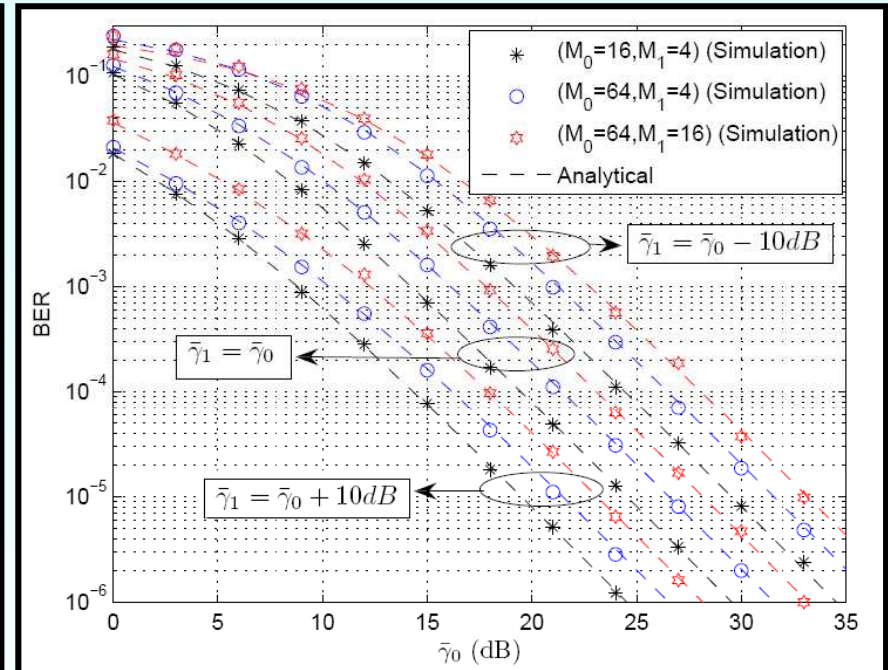
$$AG = 10 \log_{10} \left( \frac{D^{BSC}}{D^{SC}} \right) = 5 \log_{10} \left( \frac{c_{M_0} \frac{d_{M_1}^2}{d_{M_0}^2} + c_{M_1} \frac{d_{M_0}^2}{d_{M_1}^2}}{c_{M_0} + c_{M_1}} \right).$$

$$AG = \begin{cases} 0.57\text{dB}, & \text{combining QPSK and 16-QAM} \\ 2.13\text{dB}, & \text{combining QPSK and 64-QAM} \\ 0.77\text{dB}, & \text{combining 16-QAM and 64-QAM} \end{cases}.$$

## Selection Combining Schemes (4/5)



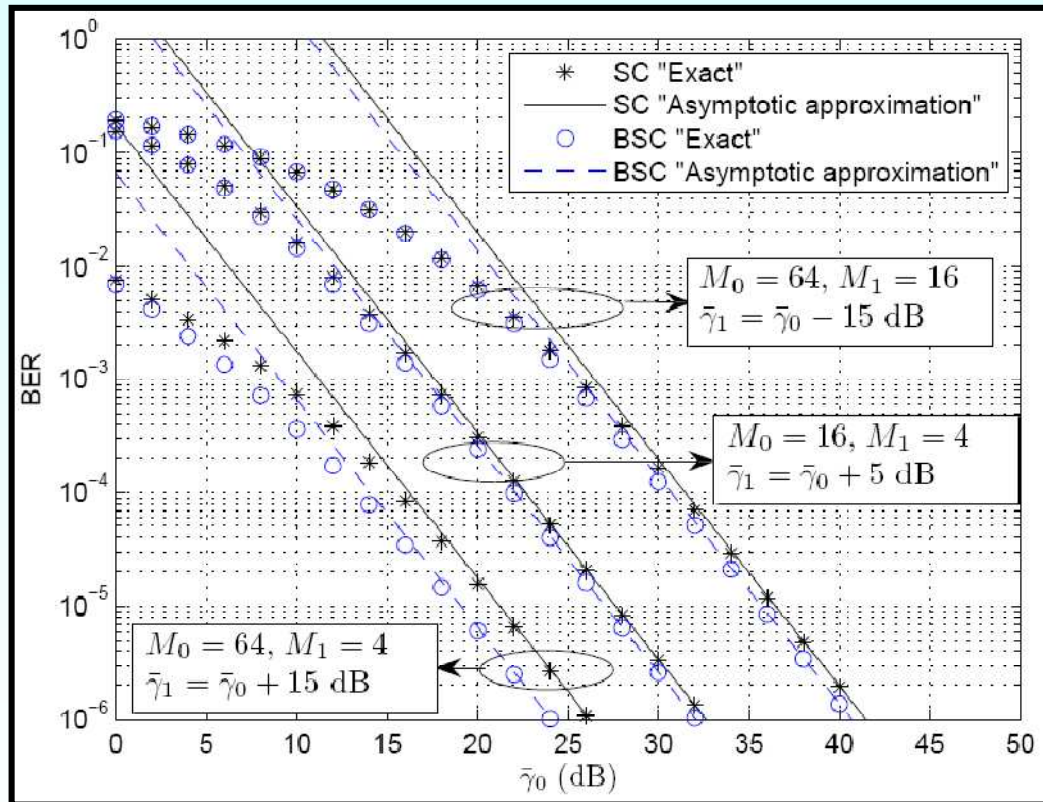
SC



BSC

Excellent agreement between theory and simulation.

## Selection Combining Schemes (5/5)



$$AG = \begin{cases} 0.57\text{dB}, & \text{combining QPSK and 16-QAM} \\ 2.13\text{dB}, & \text{combining QPSK and 64-QAM} \\ 0.77\text{dB}, & \text{combining 16-QAM and 64-QAM} \end{cases}$$

Comparison between SC and BSC.

## Optimal Detector

- Maximum Likelihood Detector (MLD):  
The MLD performs joint detection and utilizes all the branches according to following criterion:

$$[\hat{s}_1, \dots, \hat{s}_C] = \arg \min_{s_1, \dots, s_C} \sum_{i=0}^{L-1} \sum_{j=0}^{T_i-1} |r_{i,j}^{M_i} - \alpha_i S_{i,j}^{M_i}(s_{jK_i+1}, s_{jK_i+2}, \dots, s_{(j+1)K_i})|^2$$

- It achieves Optimum performance.

### Drawback:

It has excessive computational complexity!

For example, for the case of combining 16-QAM and 64-QAM, the MLD decodes  $C = \text{LCM}(\{4, 6\}) = 12$  bits jointly.

This requires  $2^{12}$  computations!

## Soft-bit maximal ratio combining (SBMRC) (1/4)

- In the MLD: different modulation schemes carry different number of bits per symbol →  
**bit by bit (or symbol by symbol) decoding is not possible!**
- To overcome this problem, the received  $M_i$ -QAM soft symbol is mapped into  $K_i$  soft bits.
- Decoding can be preformed on the soft bits, which leads to bit by bit detection, rather than detecting a sequence of bits jointly.



## Soft-bit maximal ratio combining (SBMRC) (2/4)

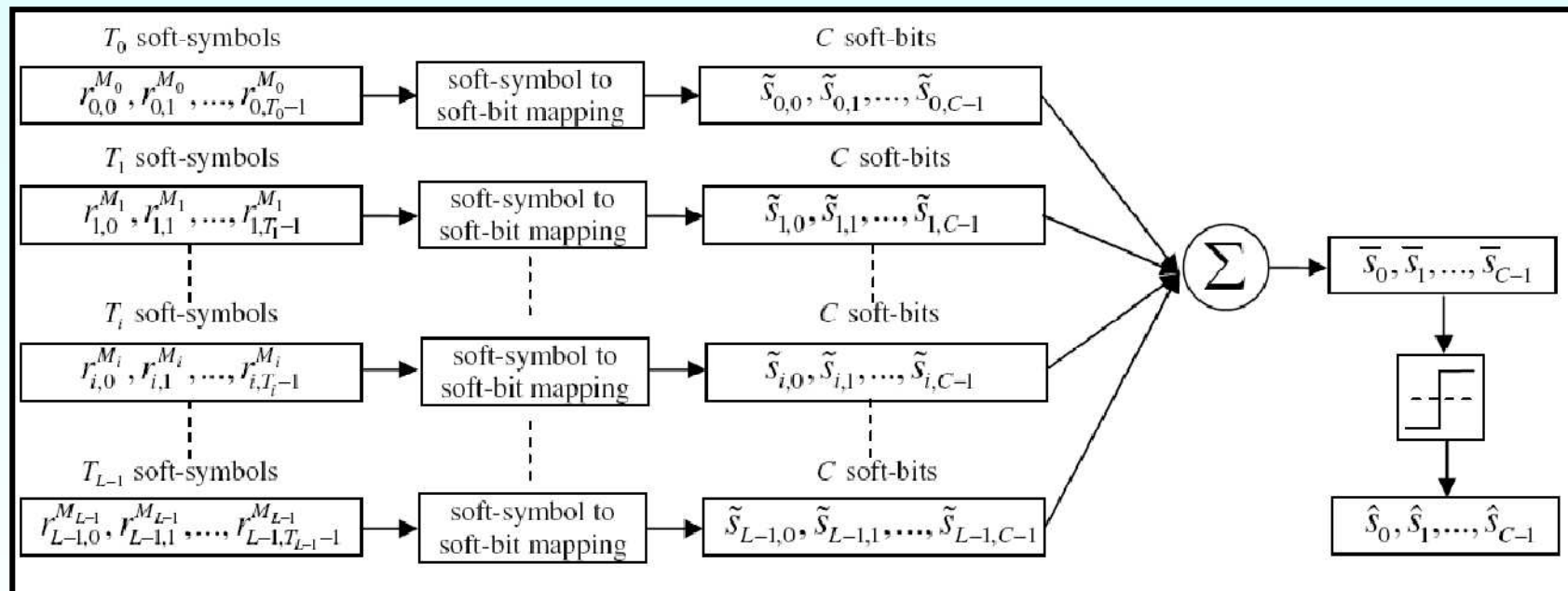
- Log-Likelihood ratio (LLR) can be used as soft-bit metric.
- Adding LLRs will result in the minimum BER (MAP receiver).
- However, calculating LLRs can be pricey!
- Use approximate LLR

$$\tilde{s}_{i,jK_i+k} = \begin{cases} d_{M_i} \mathbf{R} \{ \alpha_i^* r_{i,j}^{M_i} \}, & k = 0 \\ 2^{\frac{K_i}{2}-k} d_{M_i}^2 |\alpha_i|^2 - |\tilde{s}_{i,jK_i+k-1}|, & 0 < k \leq \frac{K_i}{2} - 1 \\ -d_{M_i} \mathbf{I} \{ \alpha_i^* r_{i,j}^{M_i} \}, & k = \frac{K_i}{2} \\ 2^{K_i-k} d_{M_i}^2 |\alpha_i|^2 - |\tilde{s}_{i,jK_i+k-1}|, & \frac{K_i}{2} < k \leq K_i - 1 \end{cases}$$

**One simple calculation  
per bit**

Goff, Glavieux, and Berrou (1994)  
Aue & Nuessgen (2004)

## Soft-bit maximal ratio combining (SBMRC) (3/4)



## Soft-bit maximal ratio combining (SBMRC) (4/4)

### BER performance analysis of SBMRC

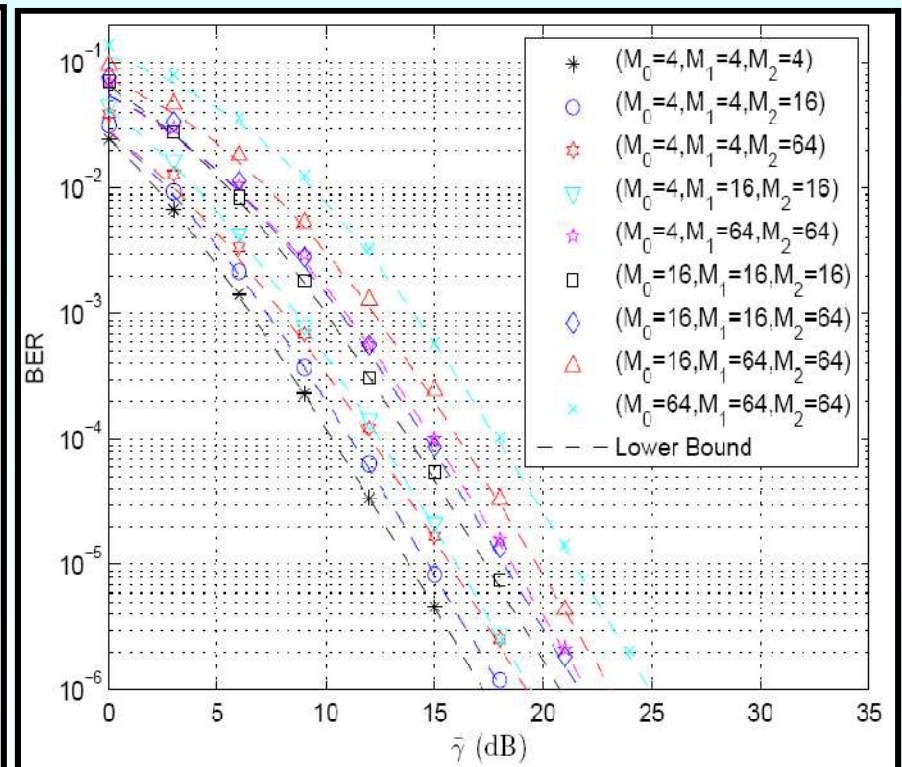
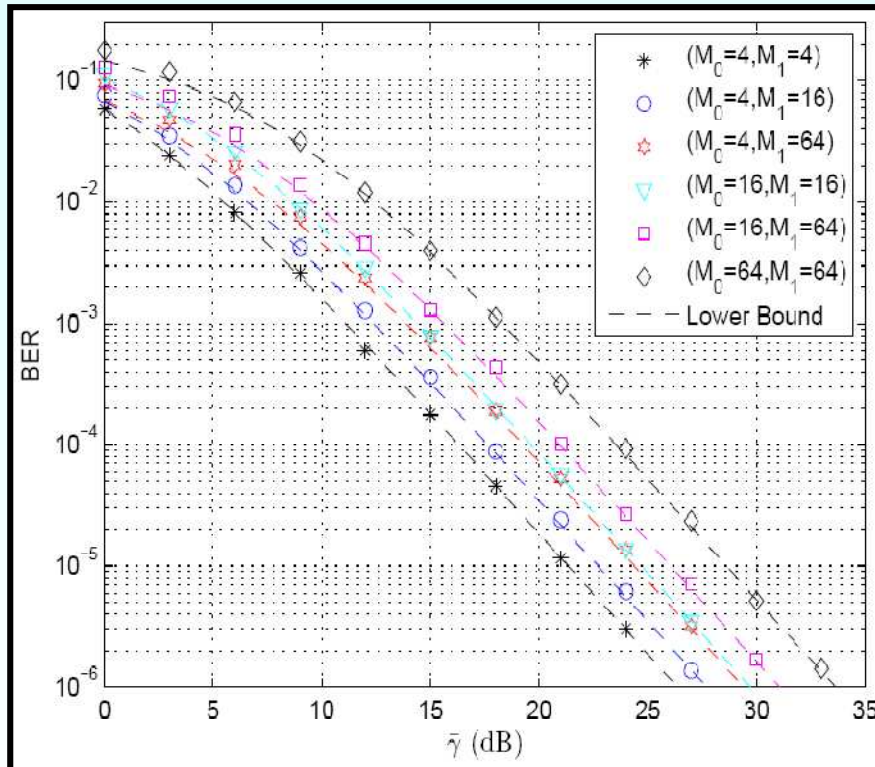
- Very tight lower bound on the BER performance of SBMRC:

$$BER > \frac{1}{2} \left( \frac{1}{C} \sum_{l=0}^{C-1} \frac{1}{N_{\bar{s}_l}} \right) \sum_{i=0}^{L-1} \pi_i \left( 1 - \sqrt{\frac{d_{M_i}^2 \bar{\gamma}_i}{1 + d_{M_i}^2 \bar{\gamma}_i}} \right) \quad \pi_i = \prod_{j=0, j \neq i}^{L-1} \frac{d_{M_i}^2 \bar{\gamma}_i}{d_{M_i}^2 \bar{\gamma}_i - d_{M_j}^2 \bar{\gamma}_i}$$

A. Bin Sediq and H. Yanikomeroglu, "Performance analysis of soft-bit maximal ratio combining in cooperative relay networks", submitted to IEEE Transaction on Wireless Communications.



## BER of SBMRC



- Excellent agreement between theory and simulation.
- Full diversity regardless of the combination.

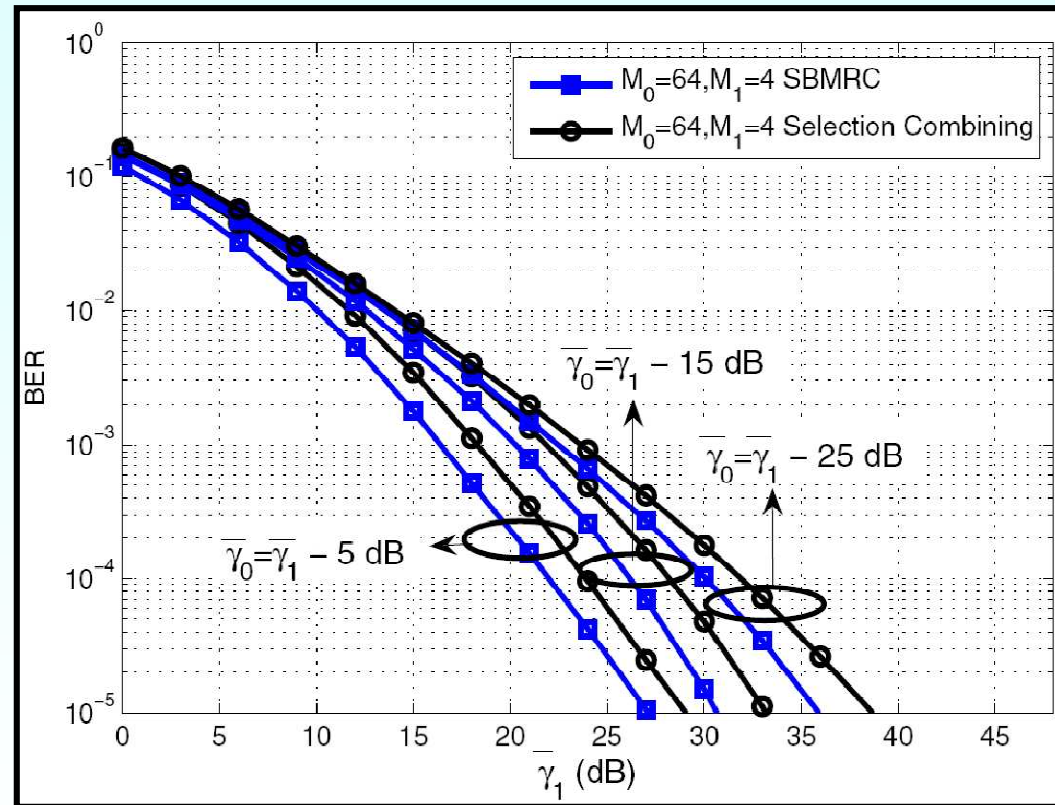
## Comparing SC, BSC, and SBMRC with the optimal MLD

Loss in SNR (dB) at BER= $10^{-3}$  of SC, BSC, and SBMRC compared to the optimum MLD.

| Scheme \ Scenario        | SC   | BSC  | SBMRC |
|--------------------------|------|------|-------|
| $M_0=4, M_1=16$          | 2.30 | 1.62 | 0.02  |
| $M_0=4, M_1=64$          | 4.10 | 1.94 | 0.09  |
| $M_0=16, M_1=64$         | 2.73 | 1.95 | 0.08  |
| $M_0=4, M_1=4, M_2=16$   | 3.49 | 2.70 | 0.07  |
| $M_0=4, M_1=4, M_2=64$   | 6.48 | 3.10 | 0.27  |
| $M_0=4, M_1=16, M_2=16$  | 3.36 | 2.71 | 0.09  |
| $M_0=16, M_1=16, M_2=64$ | 3.93 | 3.12 | 0.13  |
| $M_0=16, M_1=64, M_2=64$ | 3.63 | 2.95 | 0.09  |

**SBMRC has loss that is less than 0.3 dB!**

## Comparing selection combining and SBMRC

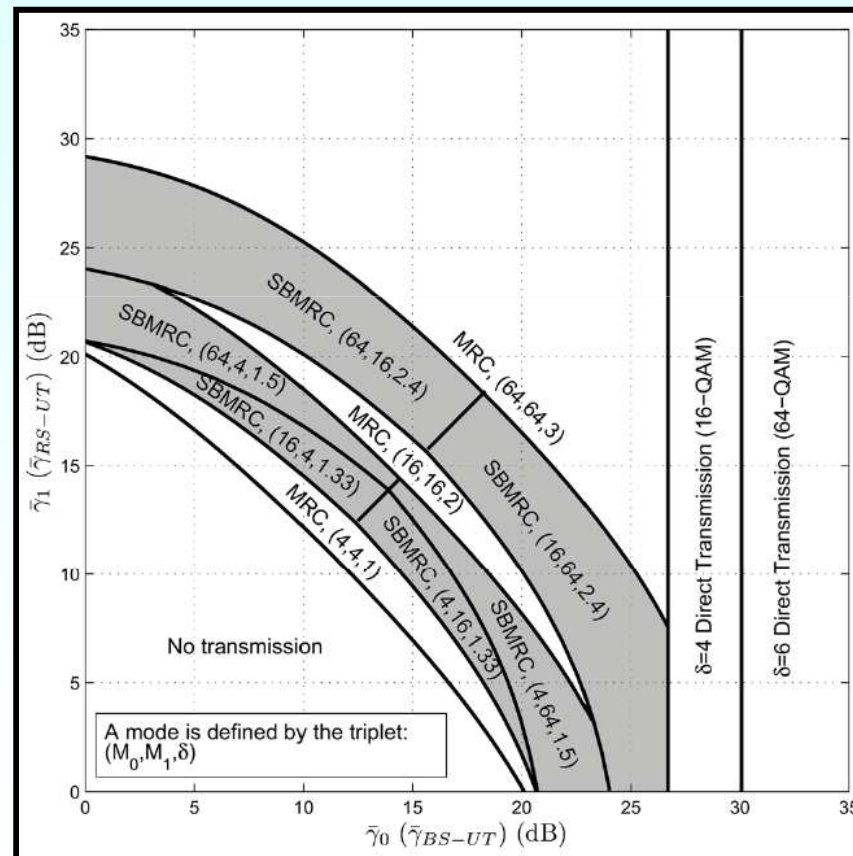


**SBMRC provides a gain of ~ 2 dB!**

## Ongoing Work (1/2)

### Quantifying the Gain in spectral efficiency achieved by using SBMRC

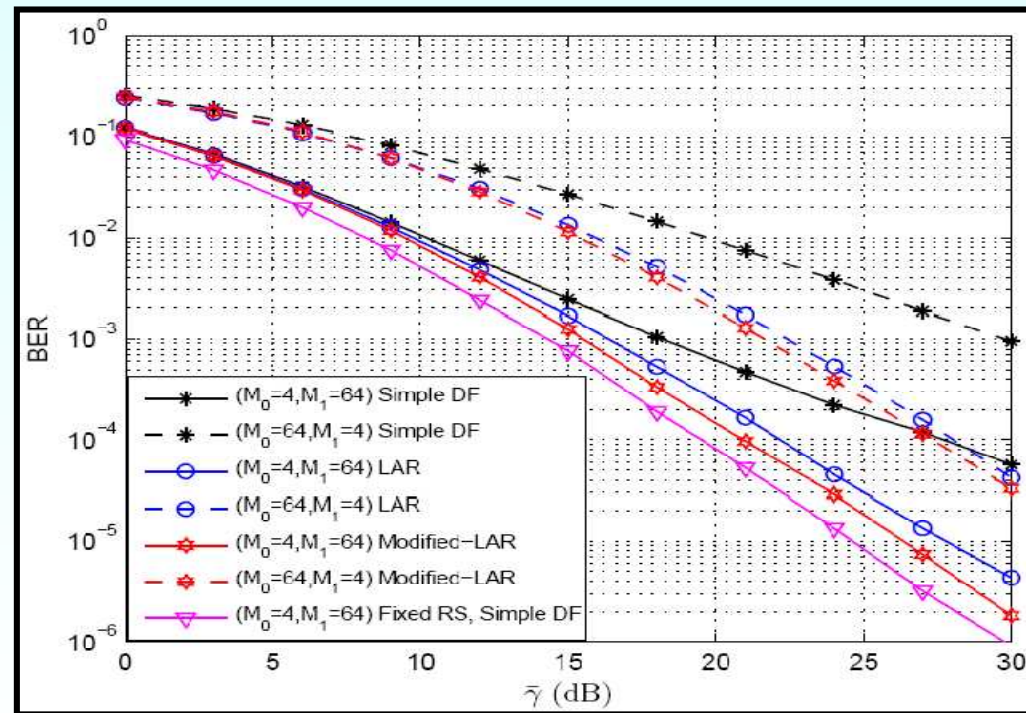
- Assuming average SNRs are available at BS.





## Ongoing Work (2/2)

- Combining signals with different modulation levels in the presence of error propagation.



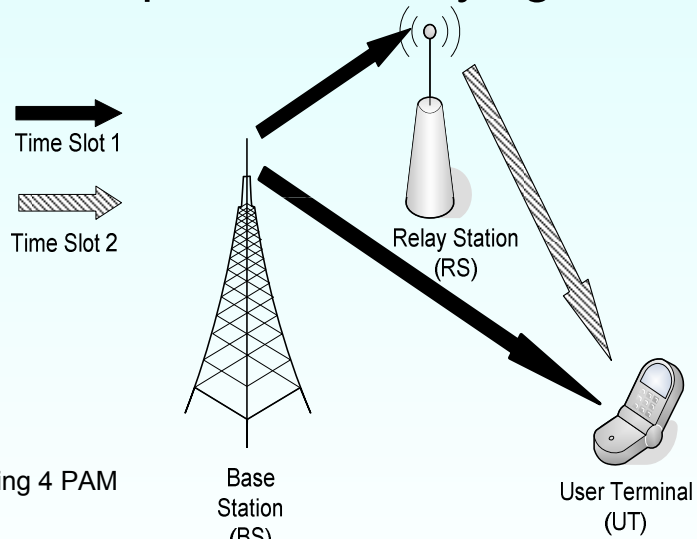
- Full diversity still can be achieved.**
- BS should be assigned lower (or equal) modulation level than that of RS.**

## Summary of Combining Signals with Different Modulation Levels

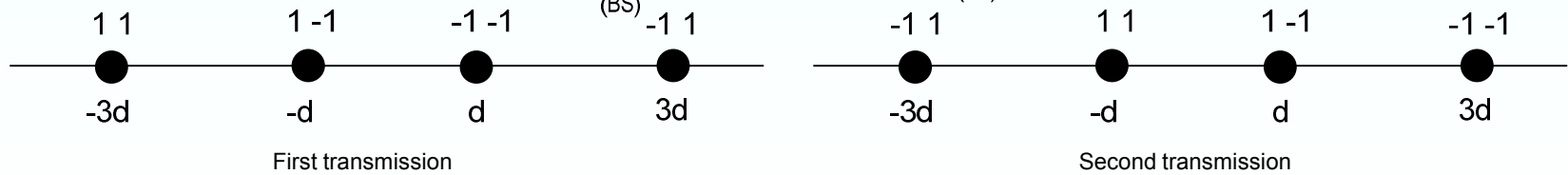
- We have investigated different diversity combining schemes for combining signals with different modulation levels.
- Introduced BSC which significantly outperforms SC. However, its performance is still worse than the optimal MLD.
- The SBMRC is a simple bit by bit detector and slightly inferior to the optimal MLD in performance.
- Comparing the BER performance results of different diversity combining schemes for different scenarios using both simulation and analytical results.
- The SBMRC significantly outperforms both SC and BSC.
- Closed-form BER expressions for SC, BSC, and SBMRC have been developed.
- Introducing modified LAR which significantly outperforms LAR.
- BER performance analysis for LAR and modified LAR.

# Example 7: Constellation Rearrangement

## Cooperative Relaying



Example: Two transmissions, using 4 PAM

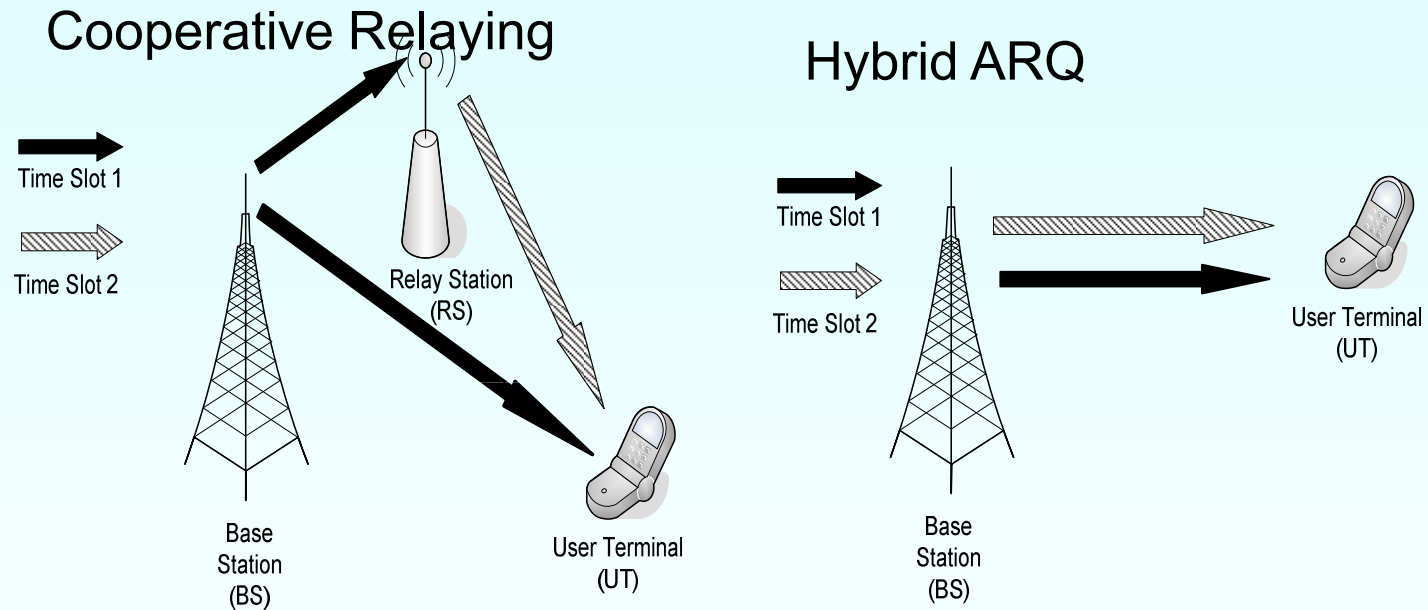


## Relevant Work

- CoRe is originally proposed for HARQ where multiple transmission are needed for the same bits.
  
- **CoRe 1:**
  - Introduced by Wengerter et al. (VTC 2002).
  - The key idea is to average the variations in bit reliabilities inherited in Gray coded multilevel modulation schemes (such as PAM, QAM) .
  - All the transmissions are Gray coded.
  - Yamazaki et al. applied the previous scheme in Cooperative Relaying, for 16-QAM and 64-QAM (VTC 2007).
  
- **CoRe 2:**
  - Introduced by Gidlund et al. (ISCIT 2004).
  - The first transmission is Gray coded, while the rest are not.
  - It maximizes the minimum distance between the symbols in the augmented signal space.

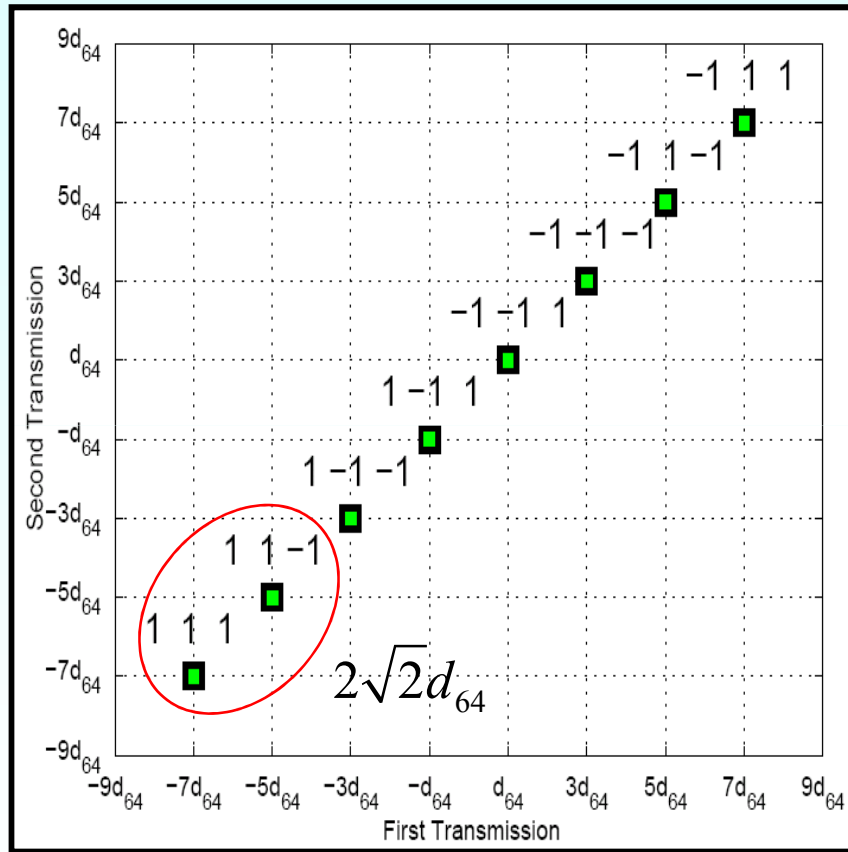


## Differences between HARQ and Cooperative Relaying

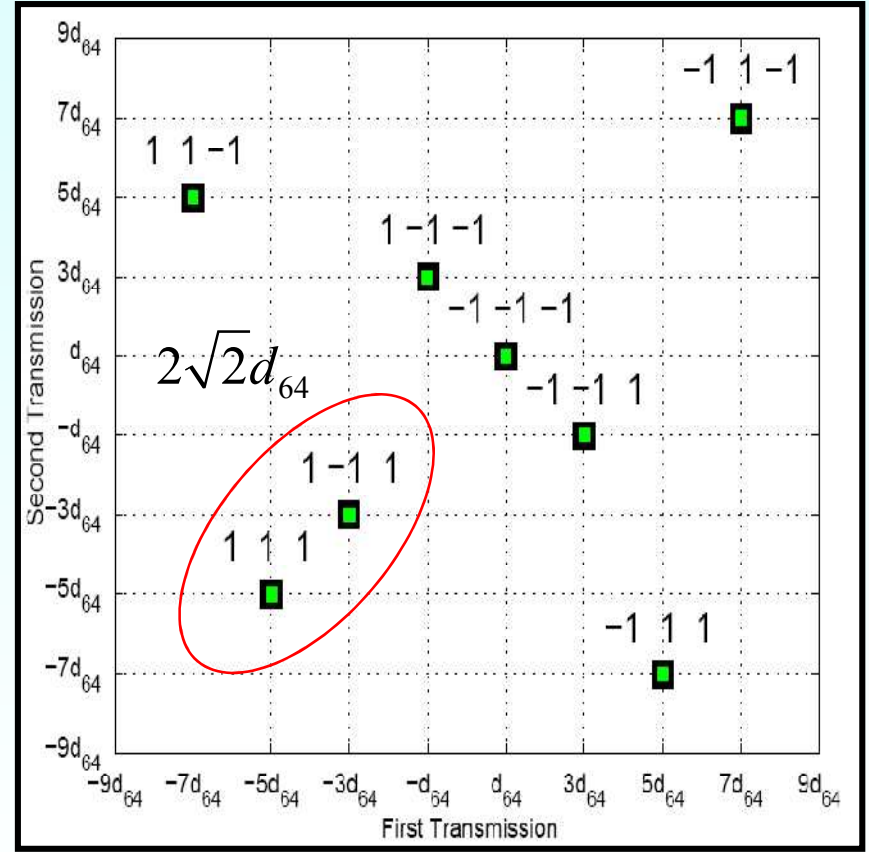


1. In HARQ, the source doesn't know the number of retransmissions, unlike the case of cooperative relaying.  
**No need for Gray-Coding in the first transmission!**
2. Error propagation in cooperative relaying in nomadic relays scenario  
**Will CoRe benefit or harm the performance in this case?**

# Augmented Signal Space (1/2)

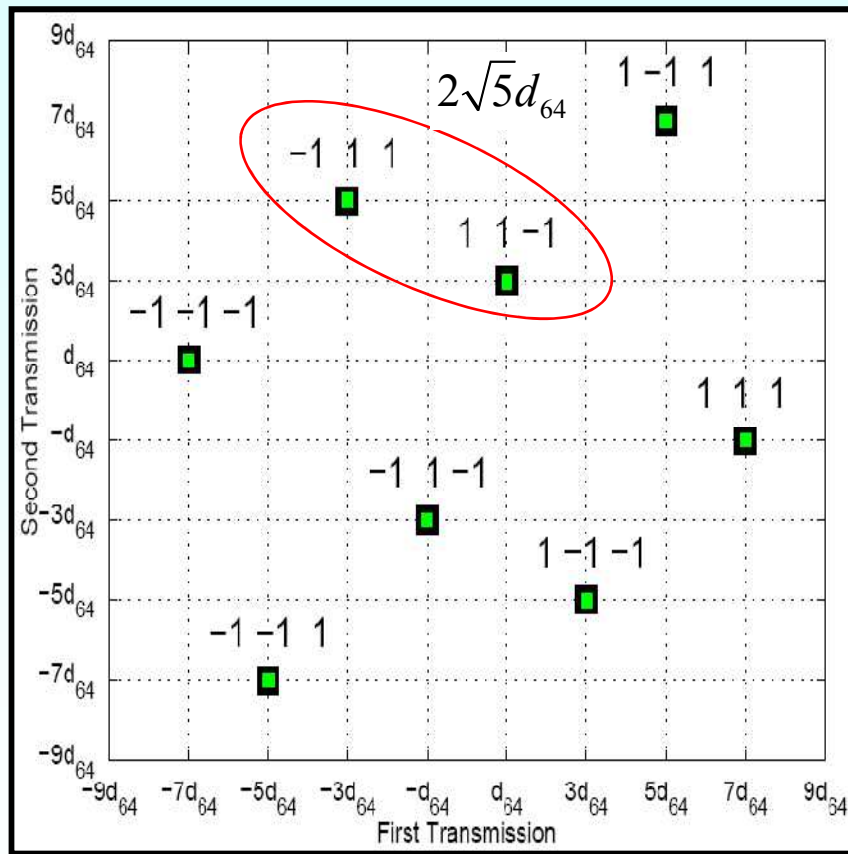


Conventional scheme

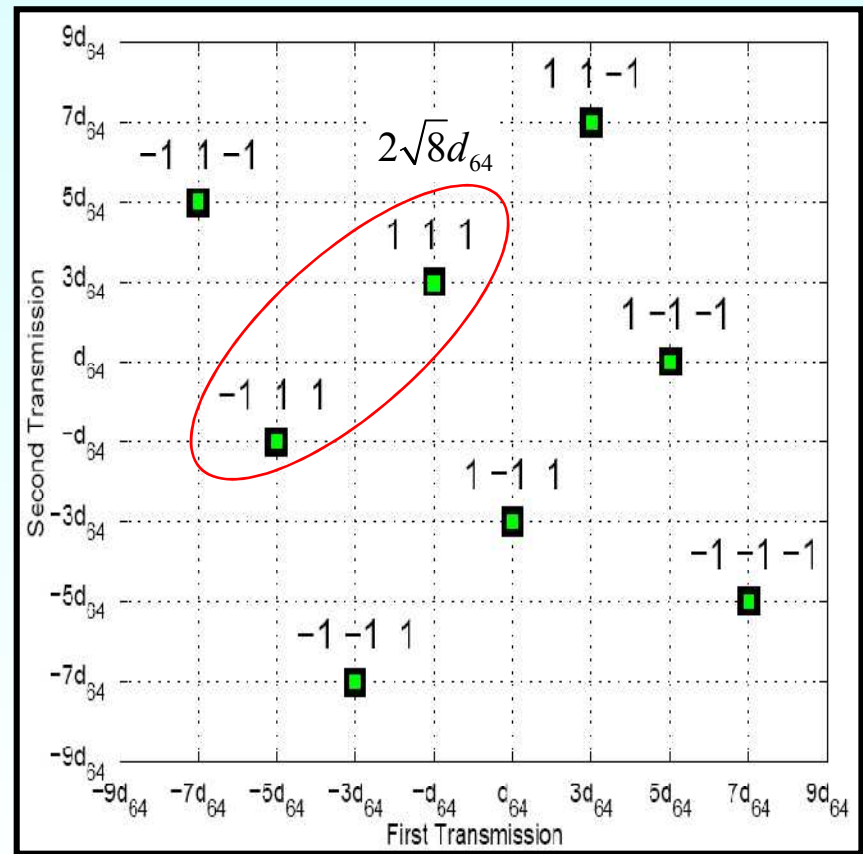


CoRe 1

# Augmented Signal Space (2/2)



CoRe 2

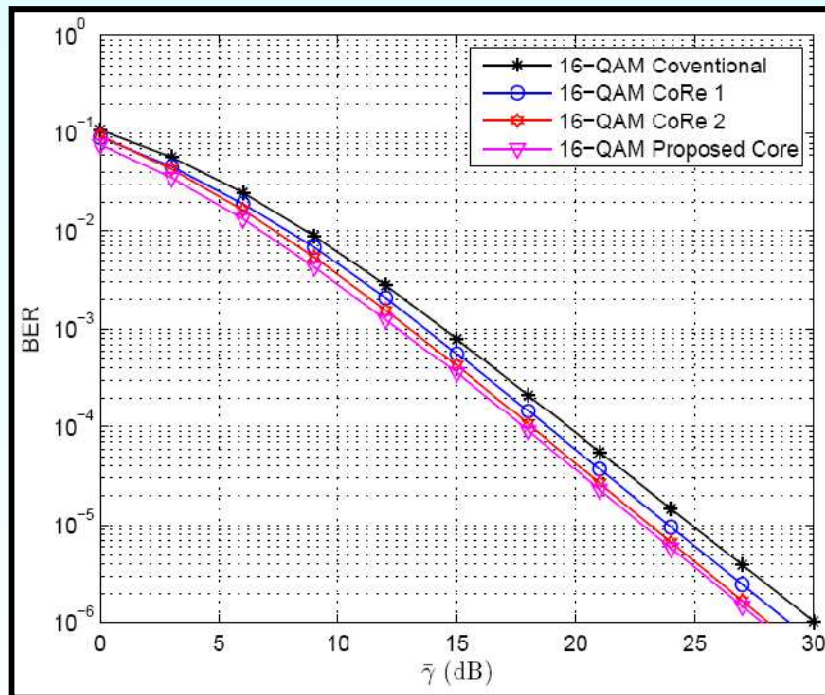


Proposed CoRe

## Results (1/2)

Error Free transmission from BS to RS (Fixed RS)

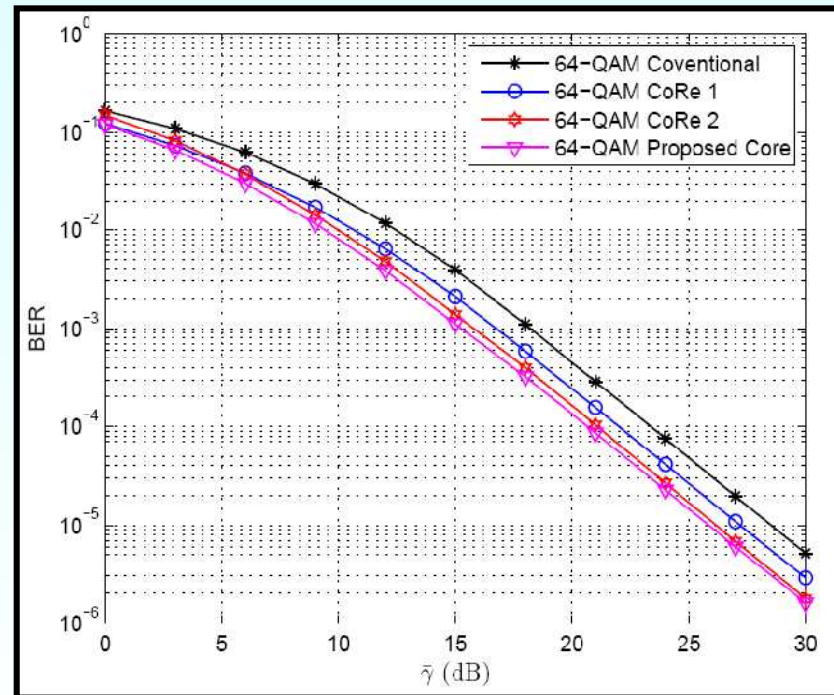
$$\bar{\gamma}_{BS-UT} = \bar{\gamma}_{RS-UT} = \bar{\gamma}$$



Gain over Conventional scheme  $\sim 1.9$  dB

Gain over CoRe 1  $\sim 1.0$  dB

Gain over CoRe 2  $\sim 0.5$  dB



Gain over Conventional scheme  $\sim 2.6$  dB

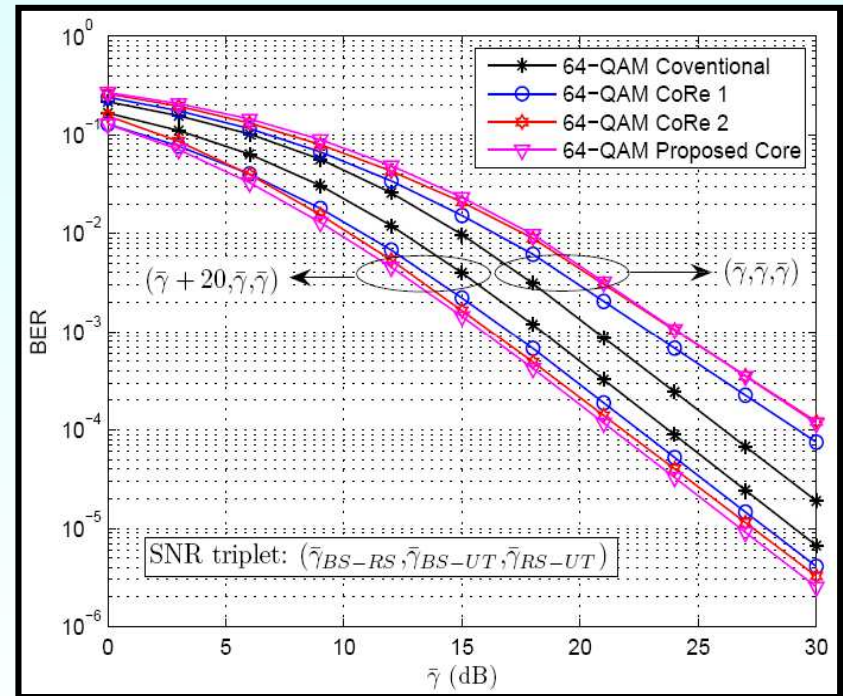
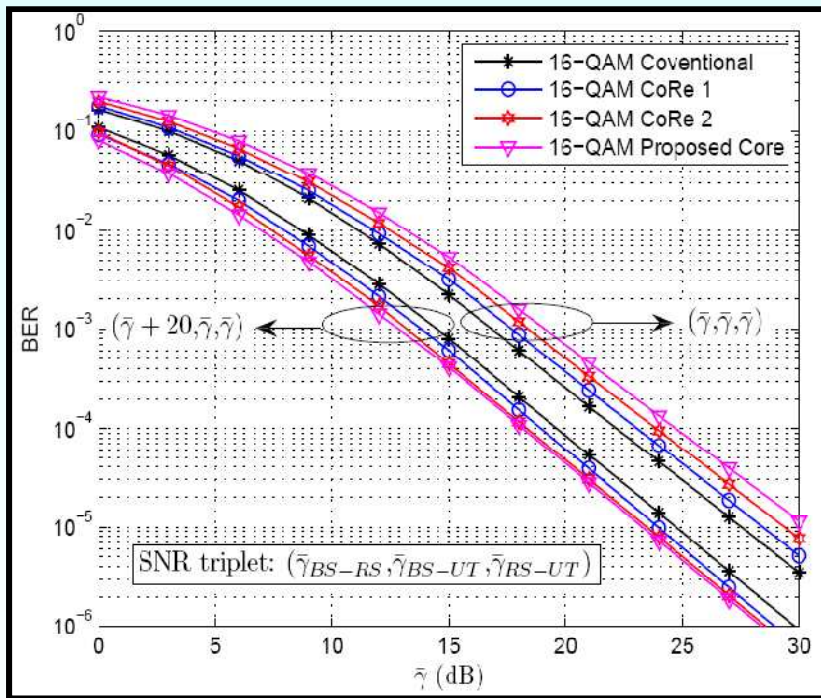
Gain over CoRe 1  $\sim 1.3$  dB

Gain over CoRe 2  $\sim 0.5$  dB



# Results (1/2)

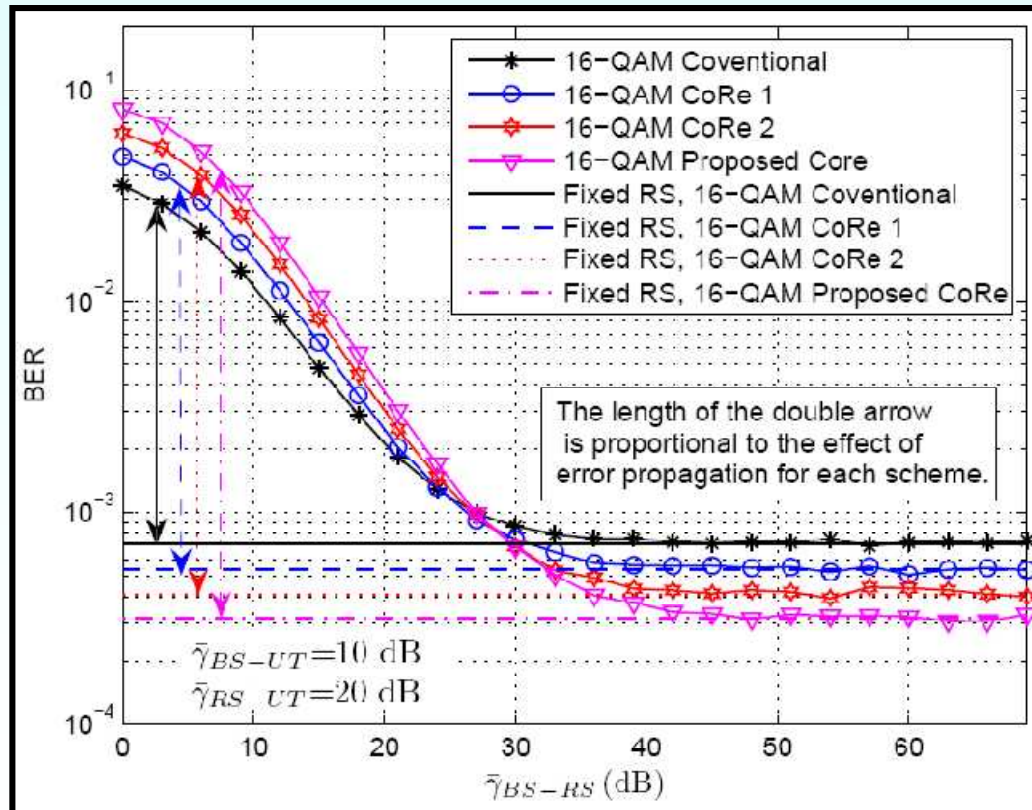
## Error Propagation (Nomadic RS)



## Results (2/2)

Error Propagation (Nomadic RS)

$$\bar{\gamma}_{RS-UT} = 10 \text{ dB}, \bar{\gamma}_{BS-UT} = 20 \text{ dB}$$



## Summary of Constellation Rearrangements

1. Unlike most of the existing CoRe schemes, the proposed CoRe does not use Gray-coding for any transmitting node.
2. In the context of fixed relays, the proposed CoRe shows significant gain compared to the conventional scheme and it outperforms the existing CoRe techniques.
3. If the BS-RS link is not reliable enough, all CoRe techniques perform worse than conventional scheme.
4. In the context of nomadic relays, the proposed CoRe outperforms the existing CoRe techniques, if and only if the BS-RS link is reliable enough.

## Current Interest in Relay/Mesh/Multihop Networks (1)

### Previous Standardization Efforts

- ◆ Opportunity-driven multiple access (ODMA) – 1999, 3GPP
- ◆ HiperLAN/2 (non-contention based multiple access)



## Current Interest in Relay/Mesh/Multihop Networks (2)

- ◆ IEEE 802.11s – WLAN (Wireless Local Area Network) ESS Mesh Networking
- ◆ IEEE 802.15.5 – WPAN (Wireless Personal Area Network) Mesh Networking
  - Aims at determining the necessary mechanisms that must be present in the PHY and MAC layers of WPANs to enable mesh networking.
- ◆ IEEE 802.16 – WMAN (Wireless Metropolitan Area Network)
  - 802.16j
  - 802.16 m
- ◆ IEEE 802.20 – MBWA (Mobile Broadband Wireless Access)
  - Aims at developing the specification of PHY and MAC layers of an air interface for interoperable mobile broadband wireless access systems, operating in licensed bands below 3.5 GHz, optimized for IP-data transport, with peak data rates per user in excess of 1 Mbps.  
<http://grouper.ieee.org/groups/802/11/index.html>
  - Expected to support the mesh architecture. <http://www.802wirelessworld.com>



- ◆ Cellular 4G Networks
  - LTE-Advanced
  - WINNER+
  
- ◆ Propriety solutions by industry
  - Start-ups

## **WINNER – Wireless World Initiative New Radio**

- ◆ Integrated Project funded by European Union under the 6<sup>th</sup> Framework Program (FP6)
- ◆ Objective: “to develop a ubiquitous radio system concept based on global requirements for mobile communication systems beyond 3G. The project covers a full scope from short-range to wide-area scenarios and will provide significant improvement to current systems in terms of performance, efficiency, coverage and flexibility.”

## Caution in Interpreting the Literature!

Literature on cooperative mesh networks: growing very fast  
significant portion: on physical layer → **limitation**  
some literature: (implicit) assumption → **may be misleading!**

- ◆ Infrastructure-less (ad hoc) networks
- ◆ Terminal relaying
- ◆ Diversity benefits

## Future Directions (I)

Infrastructure-based Wireless Relay Networks:  
cost-effective ubiquitous high data rate coverage

- ◆ **Becoming a reality!** (LTE-A, .16j/m, WiMAX 2.0, .11s, .20, .15.5, WINNER, FuTURE,...)
- ◆ Can we do more than mere coverage extension?

## Future Directions (II)

- ◆ Cooperative communications in sensor networks
- ◆ Relaying in heterogeneous networks
- ◆ Relaying in MIMO OFDM and MIMO SC/FDE
- ◆ Above-PHY cooperation
- ◆ Behavior of large wireless networks

## Future Directions (III)

- ◆ 4G wireless networks (1G mesh networks)  
systems level (above PHY or cross-layer) cooperation of fixed relays through  
“BS to relay” & “relay to relay” coordination  
→ **great benefits can be achieved**
  - Subcarrier assignment in OFDMA
  - Dynamic channel allocation
  - Interference management
  - RRM
  - Intelligent routing and load balancing

The banner features a blue background with a globe on the left and a circuit board on the right.

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**Collaboration opportunities?...**

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