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Interference Alignment for Heterogeneous Full-Duplex Cellular Networks

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- Introduction
- System Model
- Main Results
 - Outer bounds on the DoF
 - Optimum Antenna Allocation
 - Achievable scheme
- Conclusion

Cellular Full-duplex Transmission

■ Advantages:

- Reduces the delay in the feedback of control information, channel state information and acknowledgment messages.
- Allows more flexible usage of the spectrum.
- Increases throughput and system capacity.

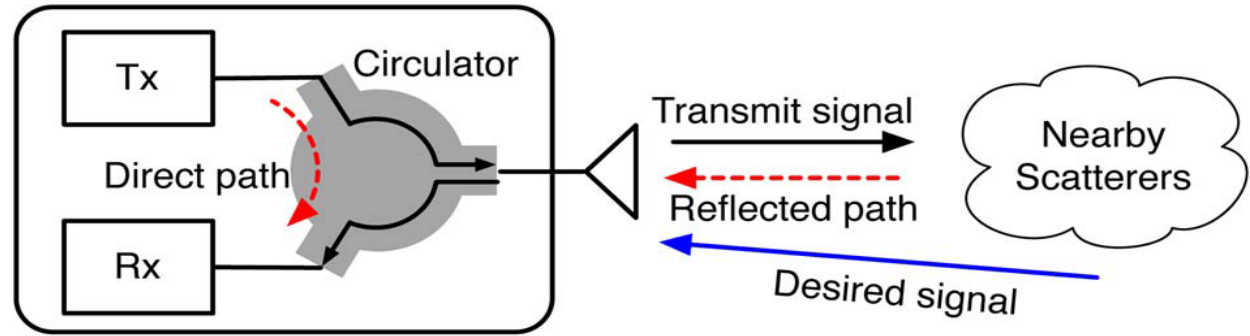
■ Challenges

- Self-interference; over 100 dB suppression is required.
- Inter-user interference; careful design of efficient interference management techniques is required.

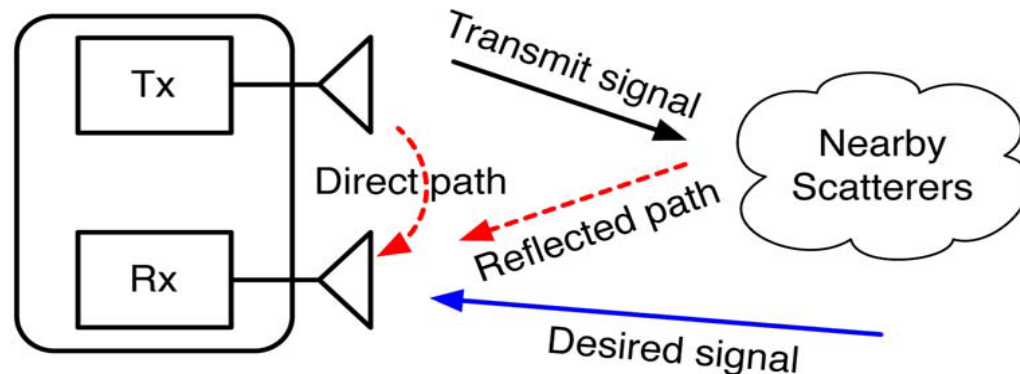
Introduction

■ Implementation

■ Shared antenna



■ Separate antenna



*Fig. 1: Shared- and separate -antenna full-duplex transceivers**

* A. Sabharwal, P. Schniter, Dongning Guo, D.W. Bliss, S. Rangarajan, and R. Wichman, "In-band full-duplex wireless: Challenges and opportunities," *IEEE JSAC*, vol. 32, pp. 1637–1652, September 2014.

- Macro cell

- Full duplex
- BS employs L full-duplex separate antennas
- Perfect self-interference cancellation

- Femto cell

- Half-duplex (only downlink is operational)
- M antennas at BS
- BS transmits with low power

- Each UEs is half-duplex with N antennas.

- We assume that $L \geq M \geq N$

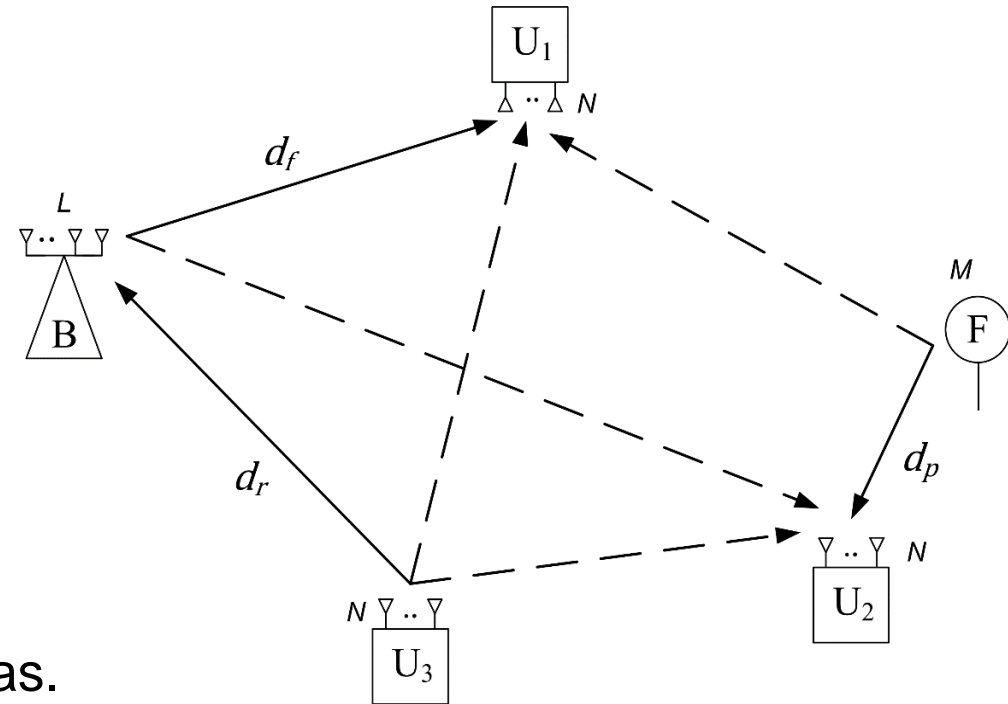


Fig. 2: System Model

What is the optimum antenna allocation at the Macro BS ?

■ Degrees of Freedom

- The total DoF of a network is defined as

$$d_{\Sigma} = \lim_{\text{SNR} \rightarrow \infty} \frac{C(\text{SNR})}{\log(1 + \text{SNR})}$$

- The DoF represents the rate of growth of network capacity with log the SNR.
- In most networks, the DoF represents the number of interference-free streams that can be transmitted in the network.

■ Earlier Work

- Earlier work on the DoF of Full duplex cellular systems considered only 1 cell.
 - [1], [2] considered a shared-antenna BS communicating with K single-antenna full-duplex MSs. The total DoF of the system can be doubled if the number of users is large enough.
 - [3], [4] considered a separate-antenna full duplex BS with M_U receive antennas and M_D transmit antennas. DoF Gain over a half-duplex system employing $\max\{M_U, M_D\}$ antennas. Comparison was not not fair.
 - Two-cell case considered in [5] with separate-antenna full-duplex BSs and MSs. The maximum DoF gain cannot exceed 33% compared to a half-duplex system employing the same total number of antennas.
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- [1] S.H. Chae and S.H. Lim, "Degrees of freedom of cellular networks: Gain from full-duplex operation at a base station," in *Globecom*, December 2014, pp. 4048–4053.
 - [2] A. Sahai, S. Diggavi, and A. Sabharwal, "On degrees-of-freedom of full-duplex uplink/downlink channel," in *ITW*, September 2013, pp. 1–5.
 - [3] K. Kim, S.-W. Jeon, and D.K. Kim, "The feasibility of interference alignment for full-duplex MIMO cellular networks," *IEEE Comm. Lett.*, vol. 19, pp. 1500–1503, September 2015.
 - [4] S.-W. Jeon, S.H. Chae, and S.H. Lim, "Degrees of freedom of full duplex multiantenna cellular networks," in *ISIT*, June 2015, pp. 869–873.
 - [5] A. El-Keyi and H. Yanikomeroglu, "Cooperative versus full-duplex communication in cellular networks: A comparison of the total degrees of freedom," in *VTC*, September 2016.



Main Results

- Full-duplex Macro BS:

$$d_{\Sigma} = \max \left\{ \frac{3N}{2}, \min \left\{ 2N, L, \frac{M}{2} + N \right\} \right\}$$

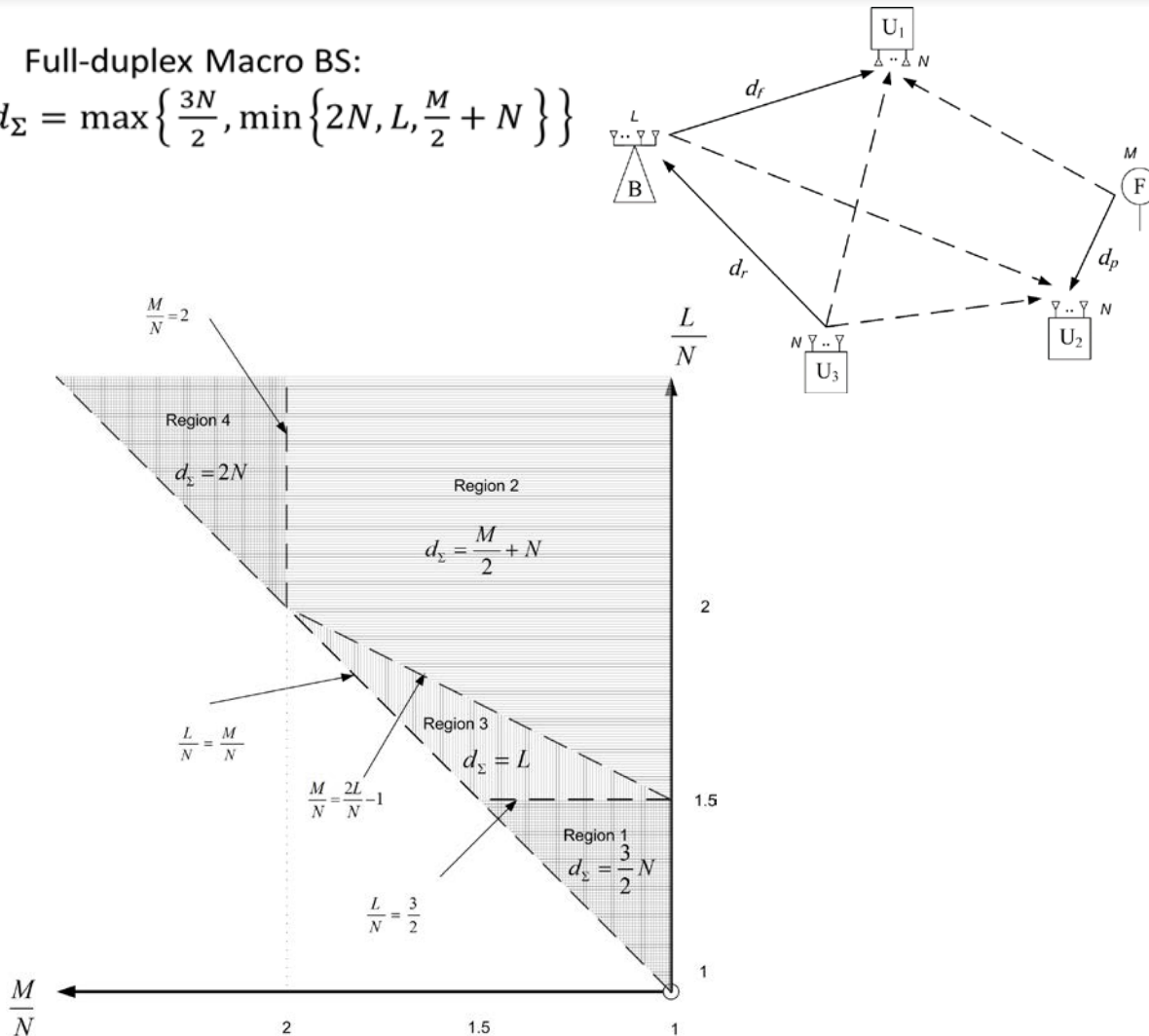


Fig. 3: DoF of the full-duplex system



Main Results

- Full-duplex Macro BS:

$$d_{\Sigma} = \max \left\{ \frac{3N}{2}, \min \left\{ 2N, L, \frac{M}{2} + N \right\} \right\}$$

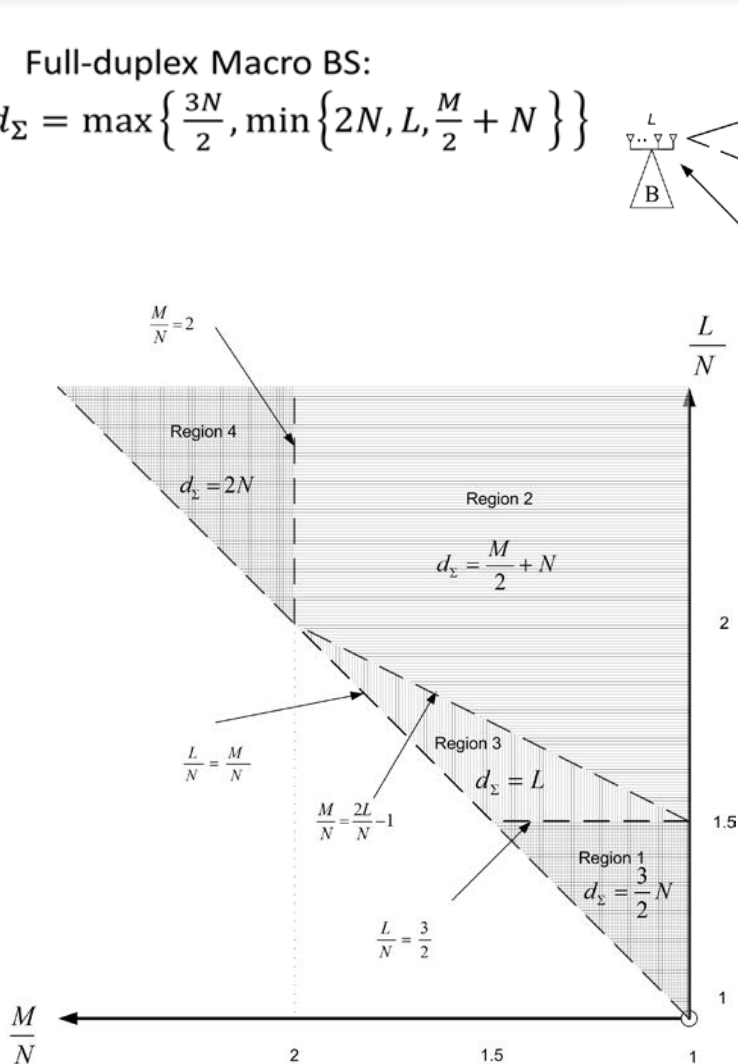


Fig. 3: System DoF with full-duplex macro-BS

- Half-duplex system: $d_{\Sigma} = \min \{ M, 2N \}$

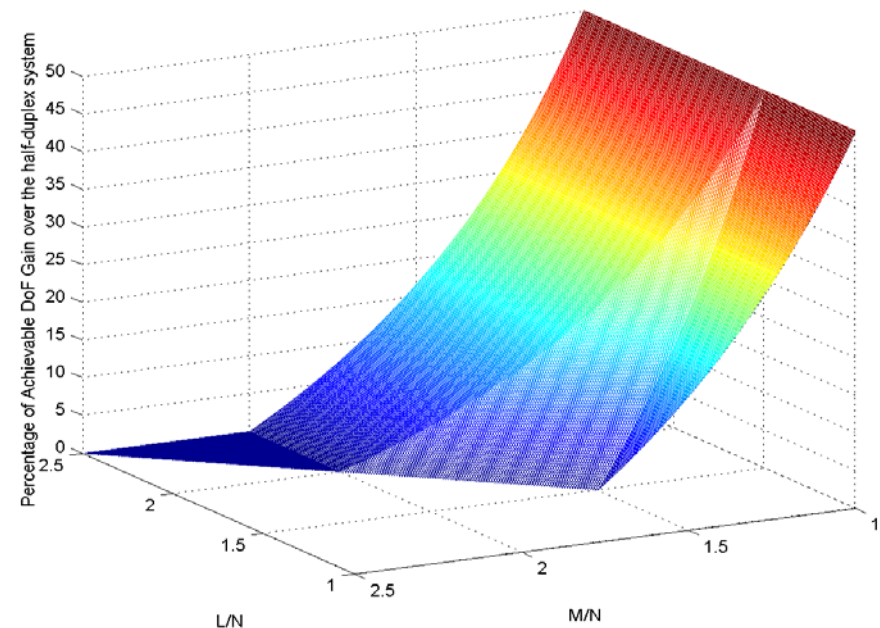
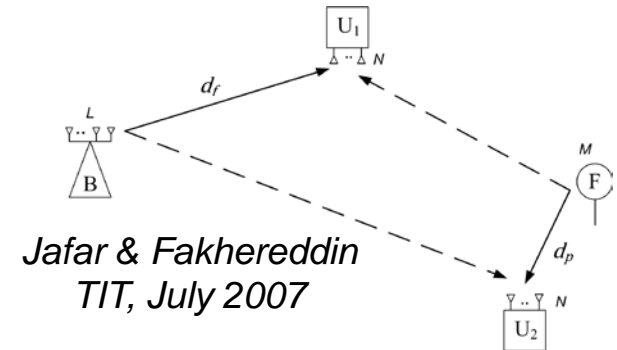
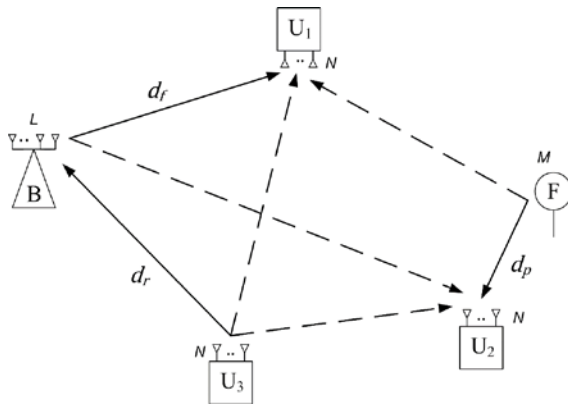


Fig. 4: System DoF gain over half-duplex macro-BS

Main Results

Outer bounds on the DoF of the system



3-user interference channel

- Partly connected
- Message feedback at macro-BS receiver
- Output feedback at macro-BS transmitter

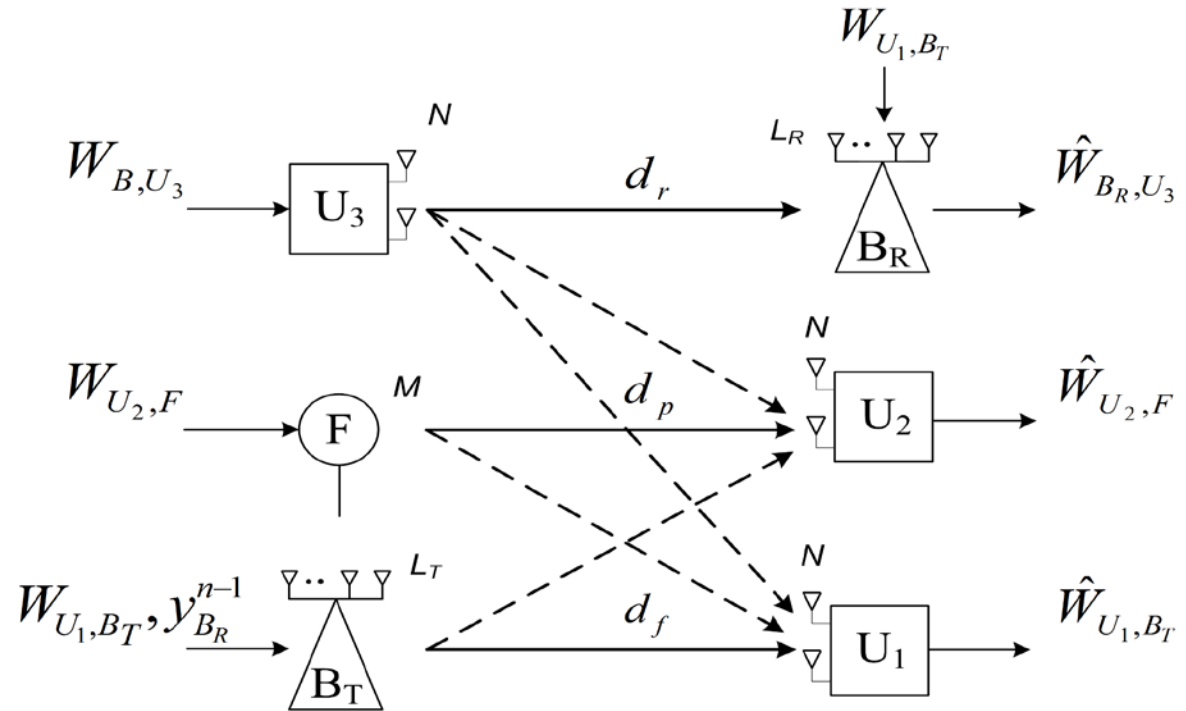


Fig. 5: Equivalent system model

Main Results

- Point-to-Point channel

$$d_r \leq L_R$$

- 2-user interference channel

$$d_f + d_p \leq \min\{2N, M, \max\{L_T, N\}\}$$

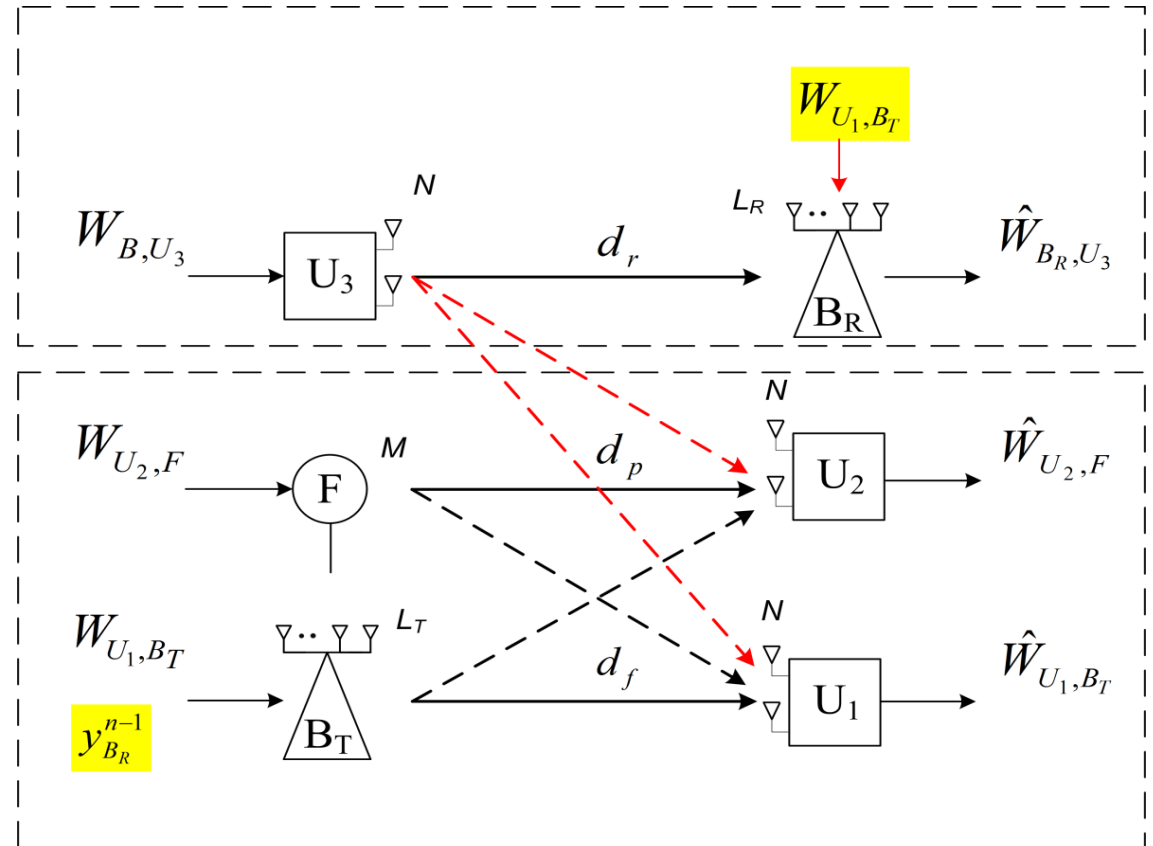
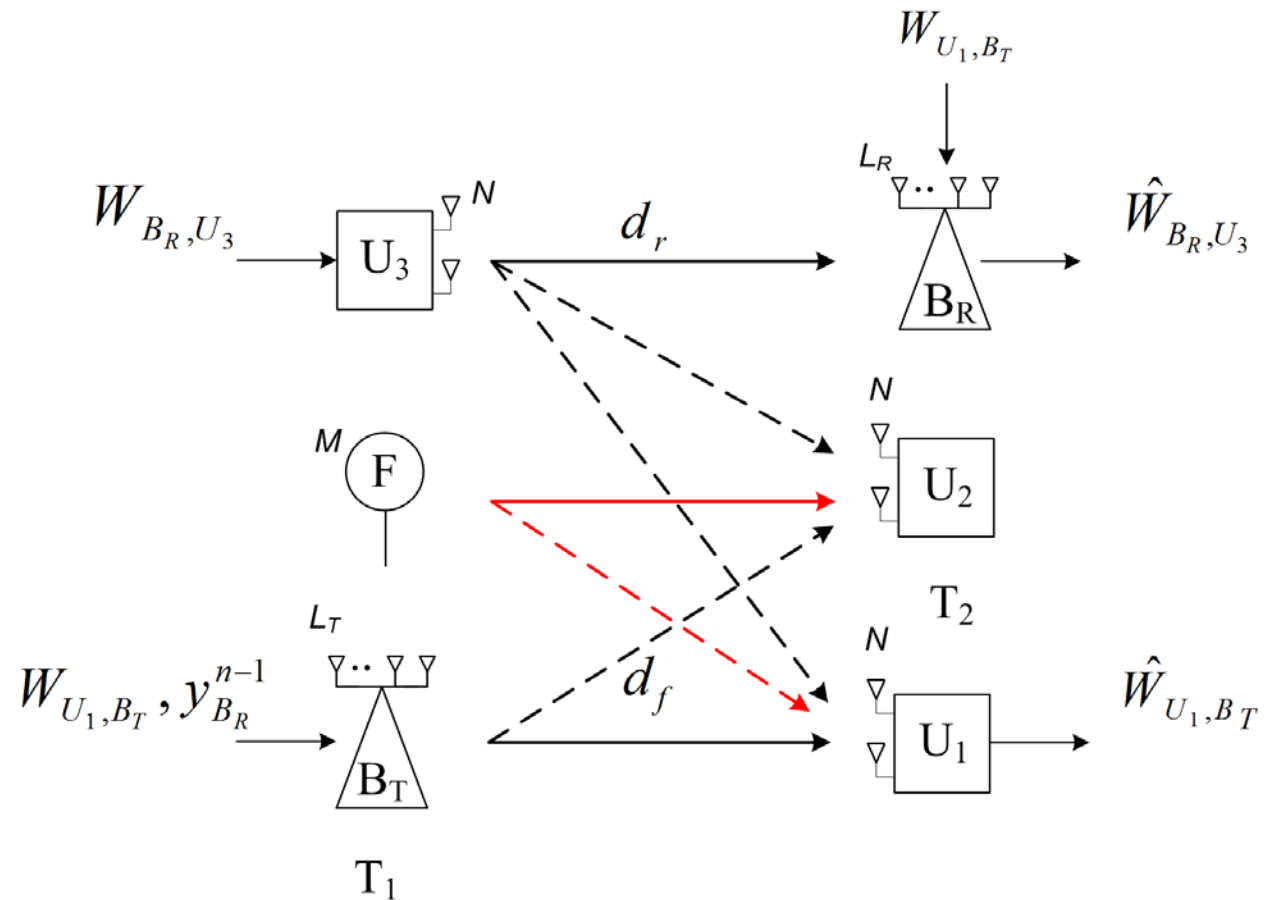


Fig. 6: Resulting system after removing interference links due to U_3

Main results

- Eliminating the message from F

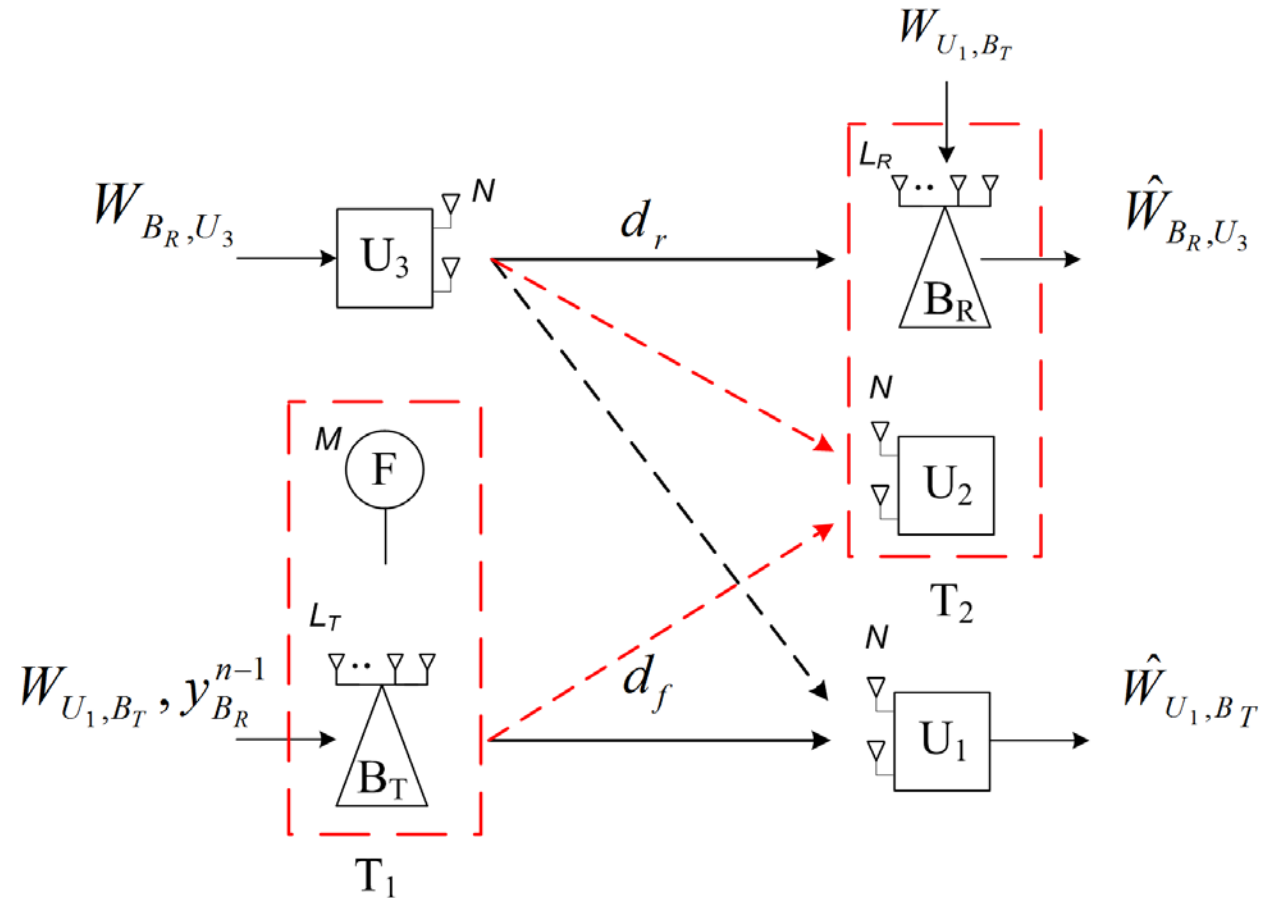


Main results

- Allowing full cooperation between:

F & B_T

U₂ & B_R



Main results

- Resulting system is a Z channel

$$d_f + d_r \leq N$$

Jafar & Shamai
TIT, Jan 2008

Similarly, we can get

$$d_p + d_r \leq N$$

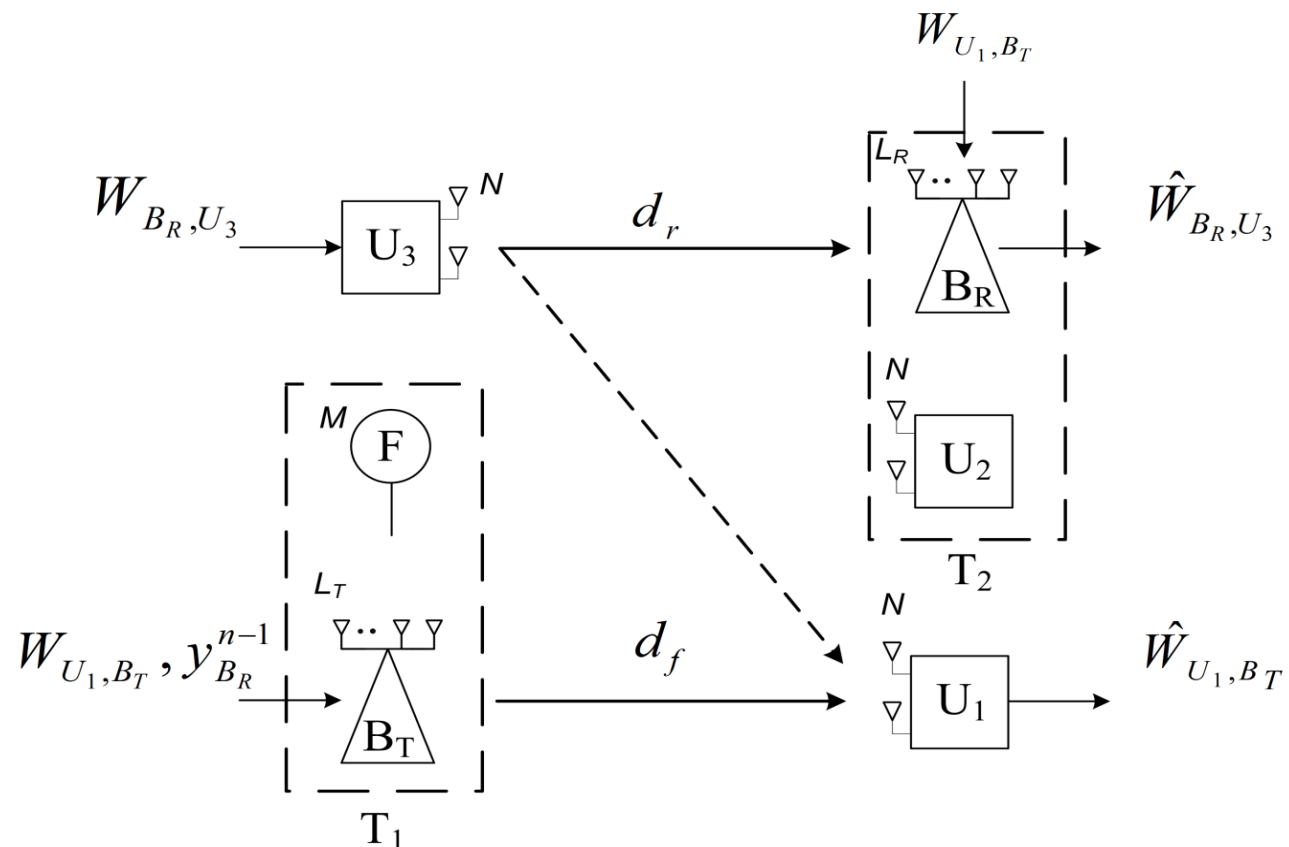


Fig. 7: Resulting system after eliminating the message from F to U_2 and cooperation between terminals

■ Optimal Antenna Allocation

- The optimum antenna allocation for the Macro cell is formulated as

$$\begin{aligned} & \max_{L_T, d_f, d_r, d_p} d_f + d_r + d_p \\ & \text{subject to} \\ & \quad d_f + d_p \leq \min\{2N, M, \max\{L_T, N\}\} \\ & \quad d_r \leq L - L_T \\ & \quad d_f + d_r \leq N \\ & \quad d_p + d_r \leq N \\ & \quad 0 \leq L_T \leq L \end{aligned}$$

- The problem is non-convex yet a closed-form optimum solution can be obtained by decomposing the problem into two convex subproblems.
- It can be shown that

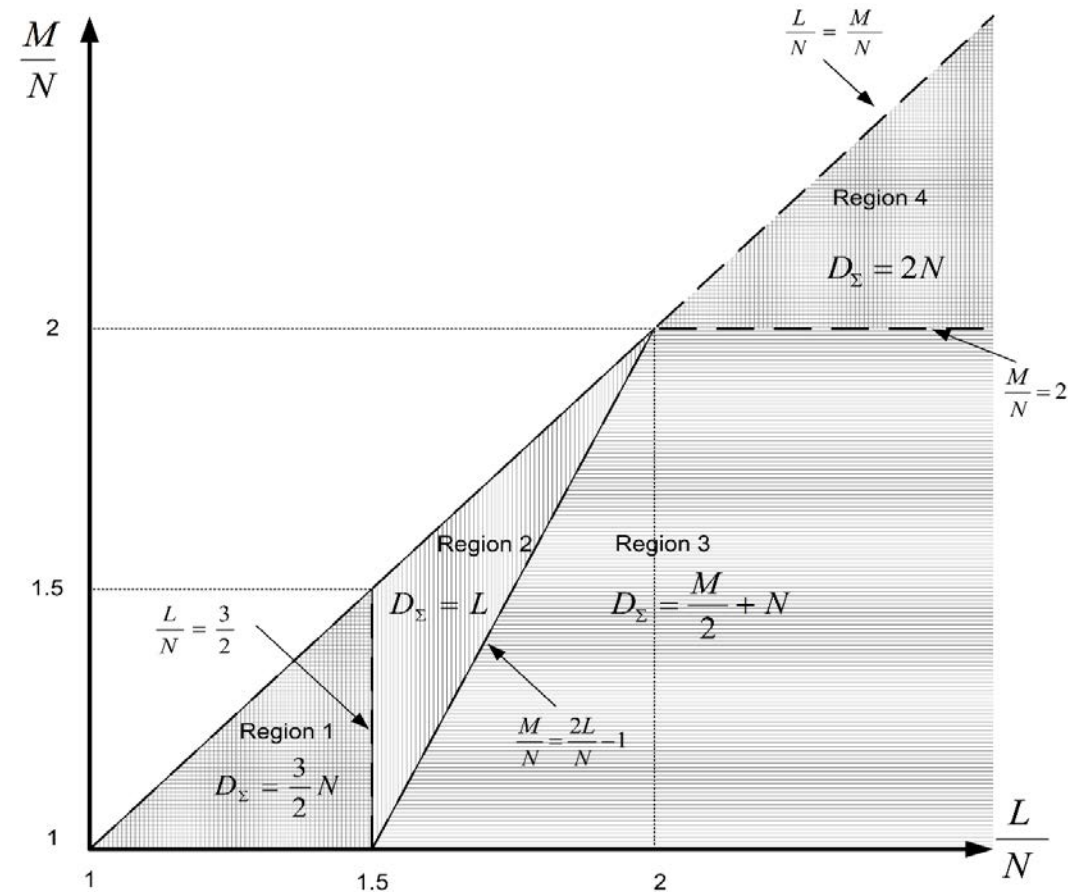
$$d_f + d_r + d_p \leq \max\left\{\frac{3N}{2}, \min\left\{2N, L, \frac{M}{2} + N\right\}\right\}$$



Main Results

■ Achievable scheme

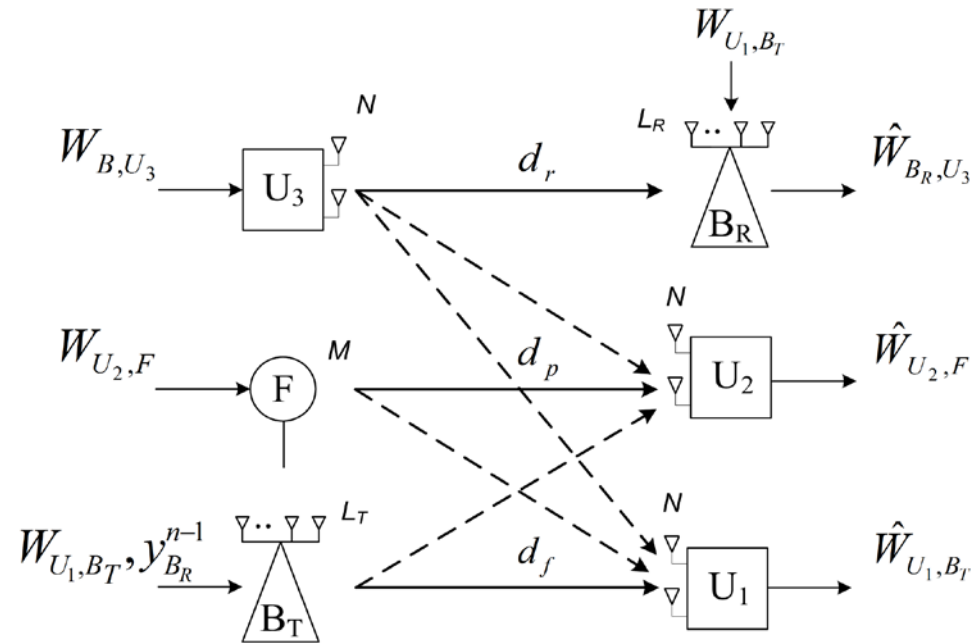
- Achievable scheme depends on the relationship between L , M , and N .
- Four achievability schemes are proposed for Regions 1-4.



Main Results

■ Achievable scheme

- Achievable scheme depends on the relationship between L , M , and N .
- Four achievability schemes are proposed for Regions 1-4.
- Precoder design:
 - U_3 causes interference at U_1 and U_2 .
 - F can transmit in nullspace of channel to U_1 or align its interference with that caused by U_3 at U_1 .
 - B_T can transmit in nullspace of channel to U_2 (if $L_T > M$) or align its interference with that caused by U_3 at U_1 .



Main Results

▪ Achievable scheme

Region 1: $L \leq \frac{3N}{2}$

- Antenna allocation: $L_T = L_R = \frac{N}{2}$
- DoF allocation: $d_f = d_R = d_p = \frac{N}{2}$
- Precoder design:

$$H_{U_2,U_3}^{-1} V_{U_3} = H_{U_2,B_T}^{-1} V_{B_T}$$

$$H_{U_1,U_3}^{-1} V_{U_3} = H_{U_1,F}^{-1} V_F$$

Interference is aligned in $\frac{N}{2}$ dimensional subspace

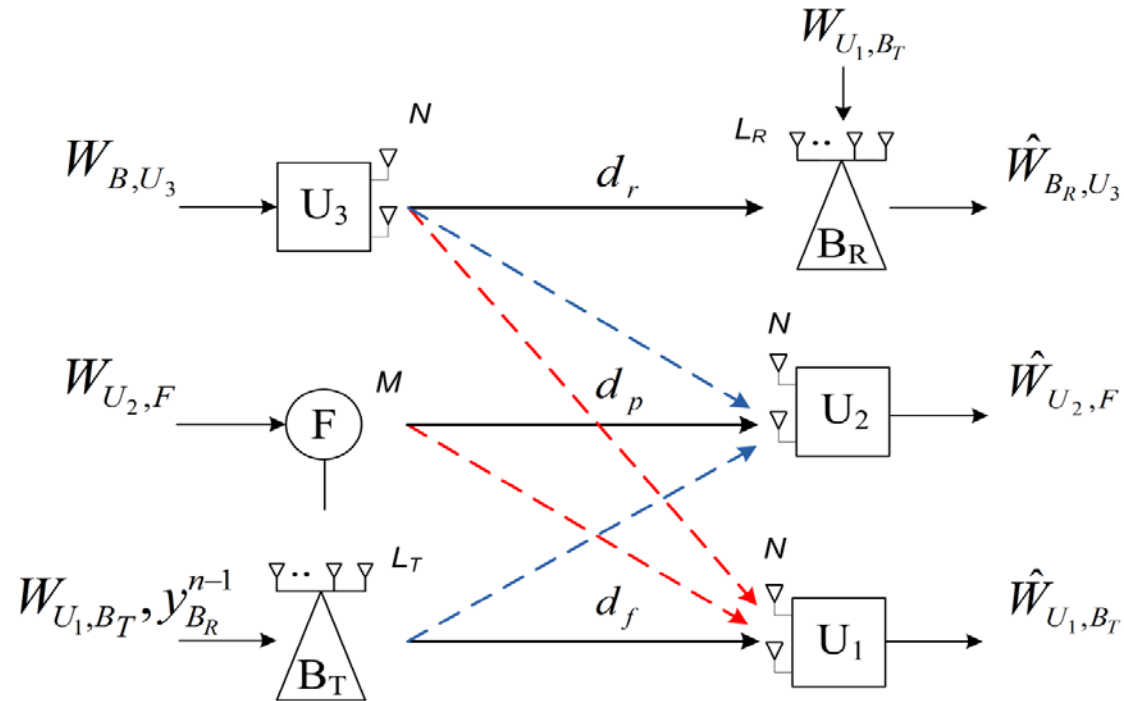


Fig. 8: Achievability through Interference alignment at U_1 and U_2

▪ Achievable scheme

Region 2: $L \geq \frac{M}{2} + N, M \leq 2N$

- Antenna allocation: $L_T = M, L_R = L - M$
- DoF allocation: $d_f = d_p = \frac{M}{2}, d_r = N - \frac{M}{2}$
- Precoder design: Divide the precoders of the BSs into two subprecoders

$$V_{B_T} = [V_{B_T}^{(1)}, V_{B_T}^{(2)}], V_F = [V_F^{(1)}, V_F^{(2)}]$$

- First subprecoder sends $N - \frac{M}{2}$ streams via interference alignment with the precoder of U_3
- Second subprecoder directs $M - N$ streams in the null-space of the non-intended UE, i.e.,

$$V_{B_T}^{(2)} = N\{H_{U_2, B_T}\}, V_F^{(2)} = N\{H_{U_1, F}\}$$

▪ Achievable scheme

Region 3: $L \leq \frac{M}{2} + N, M \leq 2N$

- Antenna allocation: $L_T = M, L_R = L - M$
- DoF allocation: $d_f = d_p = \frac{M}{2}, d_r = L - M$
- Precoder design: Divide the precoders of the BSs into three subprecoders

$$\mathbf{V}_{B_T} = [\mathbf{V}_{B_T}^{(1)}, \mathbf{V}_{B_T}^{(2)}, \mathbf{V}_{B_T}^{(3)}], \mathbf{V}_F = [\mathbf{V}_F^{(1)}, \mathbf{V}_F^{(2)}, \mathbf{V}_F^{(3)}]$$

- First subprecoder sends $L - M$ streams via interference alignment with the precoder of U_3 .
- Second subprecoders directs $M - N$ streams in the null-space of the non-intended UE.
- Third part of the precoders is selected randomly where $N - L + \frac{M}{2}$ streams are allowed to interfere on the UEs.

▪ Achievable scheme

Region 4: $M \geq 2N$

- Antenna allocation: $L_T = 2N, L_R = 0$
- DoF allocation: $d_f = d_p = N, d_r = 0$
- Precoder design
 - Half duplex operation of the macro-BS is optimal.
 - Each Bs transmits N streams and directs its transmission to the null-space of its non-intended UE

Conclusion

- We have characterized the DoF of a heterogeneous network composed of a full-duplex macro-BS and half-duplex femto-cell.
- The optimum antenna allocation for the uplink and downlink of the macro-cell was provided.
- Precoders designed using interference alignment and avoidance techniques.
- Full-duplex inband transmission at the macro-BS can increase the DoF when the number of antennas at the femto-BS is limited.
- DoF gain over half-duplex system reaches 50% when the femtocell has the same number of antennas as the UEs.