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Survey Paper Flying Ad-Hoc Networks (FANETs): A survey

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ABSTRACT

One of the most important design problems for multi-UAV (Unmanned Air Vehicle) systems is the communication which is crucial for cooperation and collaboration between the UAVs. If all UAVs are directly connected to an infrastructure, such as a ground base or a satellite, the communication between UAVs can be realized through the in-frastructure. However, this infrastructure based communication architecture restricts the capabilities of the multi-UAV systems. Ad-hoc networking between UAVs can solve the problems arising from a fully infrastructure based UAV networks. In this paper, Flying Ad-Hoc Networks (FANETs) are surveyed which is an ad hoc network connecting the UAVs. The differences between FANETs, MANETs (Mobile Ad-hoc Networks) and VANETs (Vehicle Ad-Hoc Networks) are clarified first, and then the main FANET design challenges are introduced. Along with the existing FANET protocols, open research issues are also discussed.

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39 1. Introduction

40 As a result of the rapid technological advances on elec-41 tronic, sensor and communication technologies, it has been 42 possible to produce unmanned aerial vehicle (UAV) systems, which can fly autonomously or can be operated 43 remotely without carrying any human personnel. Because 44 45 of their versatility, flexibility, easy installation and rela-46 tively small operating expenses, the usage of UAVs prom-47 ises new ways for both military and civilian applications. 48 such as search and destroy operations [1], border surveil-49 lance [2], managing wildfire [3], relay for ad hoc networks 50 [4,5], wind estimation [6], disaster monitoring [7], remote 51 sensing [8] and traffic monitoring [9]. Although single-UAV 52 systems have been in use for decades, instead of develop-53 ing and operating one large UAV, using a group of small UAVs has many advantages. However, multi-UAV systems 54 55 have also unique challenges and one of the most

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1570-8705/\$ - see front matter @ 2013 Published by Elsevier B.V. http://dx.doi.org/10.1016/j.adhoc.2012.12.004 prominent design problems is communication. In this paper, Flying Ad-Hoc Network (FANET), which is basically ad hoc network between UAVs, is surveyed as a new network family. The differences between Mobile Ad-hoc Network (MANET), Vehicular Ad-hoc Network (VANET) and FANET are outlined, and the most important FANET design challenges are introduced. In addition to the existing solutions, the open research issues are also discussed.

Along with the progress of embedded systems and the miniaturization tendency of microelectromechanical systems, it has been possible to produce small or mini UAVs at a low cost. However, the capability of a single small UAV is limited. Coordination and collaboration of multiple UAVs can create a system that is beyond the capability of only one UAV. The advantages of the multi-UAV systems can be summarized as follows:

- Cost: The acquisition and maintenance cost of small UAVs is much lower than the cost of a large UAV [10].
- Scalability: The usage of large UAV enables only limited amount of coverage increases [11]. However, multi-UAV systems can extend the scalability of the operation easily.
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ADHOC 851 8 January 2013

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• Survivability: If the UAV fails in a mission which is operated by one UAV, the mission cannot proceed. However, if a UAV goes off in a multi-UAV system, the operation can survive with the other UAVs.

- Speed-up: It is shown that the missions can be completed faster with a higher number of UAVs [12].
- Small radar cross-section: Instead of one large radar
 cross-section, multi-UAV systems produce very small
 radar cross-sections, which is crucial for military appli cations [13].

Although there are several advantages of multi-UAV 89 systems, when compared to single-UAV systems, it has 90 91 also unique challenges, such as communication. In a single-UAV system, a ground base or a satellite is used for 92 93 communication. It is also possible to establish a communication link between the UAV and an airborne control sys-94 95 tem. In all cases, single-UAV communication is 96 established between the UAV and the infrastructure. While 97 the number of UAVs increases in unmanned aerial systems, 98 designing efficient network architectures emerges as a vi-99 tal issue to solve.

100 As in a single UAV system, UAVs can also be linked to a 101 ground base or to a satellite in a multi-UAV system. There 102 may be variants of this star topology based solution [14]. While some UAVs communicate with a ground base, the 103 104 others can communicate with satellite/s. In this approach, UAV-to-UAV communication is also realized through the 105 106 infrastructure. There are several design problems with this infrastructure based approach. First of all, each UAV must 107 108 be equipped with an expensive and complicated hardware to communicate with a ground base or a satellite. Another 109 handicap about this network structure is the reliability of 110 111 the communication. Because of the dynamic environmental conditions, node movements and terrain structures, 112 113 UAVs may not maintain its communication link. Another problem is the range restriction between the UAVs and 114 115 the ground base. If a UAV is outside the coverage of the 116 ground base, it becomes disconnected. An alternative com-117 munication solution for multi-UAV systems is to establish 118 an ad hoc network between UAVs, which is called FANET. While only a subset of UAVs can communicate with the 119 120 ground base or satellite, all UAVs constitute an ad hoc network. In this way, the UAVs can communicate with each 121 122 other and the ground base.

FANET can be viewed as a special form of MANET and VANET. However, there are also certain differences between FANET and the existing ad hoc networks:

- Mobility degree of FANET nodes is much higher than
 the mobility degree of MANET or VANET nodes. While
 typical MANET and VANET nodes are walking men
 and cars respectively, FANET nodes fly in the sky.
- Depending on the high mobility of FANET nodes, the topology changes more frequently than the network topology of a typical MANET or even VANET.
- The existing ad hoc networks aim to establish peer-to-peer connections. FANET also needs peer-to-peer connections for coordination and collaboration of UAVs.
 Besides, most of the time, it also collects data from the environment and relays to the command control

center, as in wireless sensor networks [15]. Consequently, FANET must support peer-to-peer communication and converge cast traffic at the same time.

- Typical distances between FANET nodes are much longer than in the MANETs and VANETs [16]. In order to establish communication links between UAVs, the communication range must also be longer than in the MANETs and VANETs. This phenomenon accordingly affects the radio links, hardware circuits and physical layer behavior.
- Multi-UAV systems may include different types of sensors, and each sensor may require different data delivery strategies.

The main motivation of this paper is to define FANET as a separate ad hoc network family and to introduce unique challenges and design constraints. Although, there exists a few studies that address some specific issues of networked UAVs [17,18,14], to the best of our knowledge, this is the first comprehensive survey about FANETs.

The paper is organized as follows. In Section 2, we pres-
ent several FANET application scenarios and introduce FA-
NET design characteristics in Section 3. We provide an
extensive review of the existing communication protocols
and the open research issues in Section 4. We also present
the existing multi-UAV test beds and simulation environ-
ments in Section 5. We conclude the paper in Section 6.158

2. FANET application scenarios

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In this section, different FANET application scenarios 166 are discussed. 167

2.1. Extending the scalability of multi-UAV operations

If a multi-UAV communication network is established 169 fully based on an infrastructure, such as a satellite or a 170 ground base, the operation area is limited to the communi-171 cation coverage of the infrastructure. If a UAV cannot com-172 municate with the infrastructure, it cannot operate. On the 173 other hand, FANET is based on the UAV-to-UAV data links 174 instead of UAV-to-infrastructure data links, and it can ex-175 tend the coverage of the operation. Even if a FANET node 176 cannot establish a communication link with the infrastruc-177 ture, it can still operate by communicating through the 178 other UAVs. This scenario is illustrated in Fig. 1. 179

There are several FANET designs developed for extending the scalability of multi-UAV applications. In [19], a FA-NET design was proposed for the range extension of multi-UAV systems. It was stated that forming a link chain of UAVs by utilizing multi-hop communication can extend the operation area.

It should be noticed that the terrain also affects the communication coverage of the infrastructure. There may be some obstacles on the terrain, such as mountains, walls or buildings, and these obstacles may block the signals of the infrastructures. Especially in urban areas, buildings and constructions block the radio signals between the ground base and UAVs. FANET can also help to operate

behind the obstacles, and it can extend the scalability ofmulti-UAV applications [20].

195 2.2. Reliable multi-UAV communication

196 In most of the cases, multi-UAV systems operate in a 197 highly dynamic environment. The conditions at the begin-198 ning of a mission may change during the operation. If there 199 is no opportunity to establish an ad hoc network, all UAVs 200 must be connected to an infrastructure, as illustrated in Fig. 2a. However, during the operation, because of the 201 202 weather condition changes, some of the UAVs may be disconnected. If the multi-UAV system can support FANET 203 204 architecture, it can maintain the connectivity through the other UAVs, as it is shown in Fig. 2b. This connectivity fea-205 206 ture enhances the reliability of the multi-UAV systems [16].

207 2.3. UAV swarms

Small UAVs are very light and have limited payload 208 209 capacity. In spite of their restricted capabilities, the swarm 210 behavior of multiple small UAVs can accomplish complex 211 missions [21]. Swarm behavior of UAVs requires coordi-212 nated functions, and UAVs must communicate with each 213 other to achieve the coordination. However, because of the limited payloads of small UAVs, it may not be possible 214 215 to carry heavy UAV-to-infrastructure communication hardware. FANET, which needs relatively lighter and 216 217 cheaper hardware, can be used to establish a network between small UAVs. By the help of the FANET architectures, 218 219 swarm UAVs can prevent themselves from collisions, and the coordination between UAVs can be realized to com-220 221 plete the mission successfully.

222 In [22], Cooperative Autonomous Reconfigurable UAV Swarm (CARUS) is proposed with FANET communication 223 224 architecture. The objective of CARUS is the surveillance of 225 a given set of points. Each UAV operates in an autonomous 226 manner, and the decisions are taken by each UAV in the air 227 rather than on the ground. Ben-Asher et al. have intro-228 duced a distributed decision and control mechanism for 229 multi-UAV systems using FANET [23]. In [24], a FANET based UAV swarm architecture is proposed to convey UAVs 230 231 to a target location with cooperative decision-making. Quaritsch et al. have developed another FANET based 232

UAV swarm application for disaster management [25]. 233 During a disaster situation, rescue teams cannot rely on 234 fixed infrastructures. The aim of the project is to provide 235 quick and accurate information from the affected area. 236

2.4. FANET to decrease payload and cost

The payload capacity problem is not valid only for small 238 UAVs. Even High Altitude Low Endurance (HALE) UAVs 239 must consider payload weights. The lighter payload means 240 the higher altitude and the longer endurance [16]. If the 241 communication architecture of a multi-UAV system is fully 242 based on UAV-to-infrastructure communication links, each 243 UAV must carry relatively heavier communication hard-244 ware. However, if it uses FANET, only a subset of UAVs 245 use UAV-to-infrastructure communication link, and the 246 other UAVs can operate with FANET, which needs lighter 247 communication hardware in many cases. In this way, FA-248 NET can extend the endurance of the multi-UAV system. 249

3. FANET design characteristics

Before discussing the characteristics of FANETs, we pro-251vide a formal definition of FANET and a brief discussion252about the definition to understand FANET clearly.253

FANET can be defined as a new form of MANET in which the nodes are UAVs. According to this definition, single-UAV systems cannot form a FANET, which is valid only for multi-UAV systems. On the other hand, not all multi-UAV systems form a FANET. The UAV communication must be realized by the help of an ad hoc network between UAVs. Therefore, if the communication between UAVs fully relies on UAV-to-infrastructure links, it cannot be classified as a FANET.

In the literature, FANET related researches are studied 263 under different names. For example, aerial robot team is 264 a collaborative and autonomous multi-UAV system, and 265 generally, its network architecture is ad hoc [26]. In this 266 sense, ad hoc based aerial robot teams can also be viewed 267 as a FANET design. However, aerial robot team studies 268 mostly concentrate on the collaborative coordination of 269 multi-UAV systems, not on the network structures, algo-270 rithms or protocols [27]. Another FANET related topic is 271



Fig. 1. A FANET scenario to extend the scalability of multi-UAV systems.

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Fig. 2. A FANET application scenario for reliable multi-UAV communication network.

272 aerial sensor network [28-30]. Aerial sensor network is a 273 very specialized mobile sensor and actor network so that 274 the nodes are UAVs. It moves around the environment, senses with the sensors on the UAVs and relays the col-275 lected data to the ground base. In addition, it can act with 276 277 its actors on the UAVs to realize its mission. It is a percep-278 tion issue to name the problem as flying ad hoc network or aerial sensor network. The basic design challenges of a tra-279 280 ditional sensor network are energy consumption and node 281 density [31], and none of them is related with multi-UAV systems. Generally, UAVs have enough energy to support 282 283 its communication hardware, and node density of a mul-284 ti-UAV system is very low when it is compared to tradi-285 tional sensor networks. Under the light of these 286 discussions, it is better to classify the multi-UAV commu-287 nication system based on UAV-to-UAV links as a special-288 ized ad hoc network, instead of a specialized sensor network. UAV ad hoc network [32] is another topic, which 289 290 is closely related to FANETs. In fact, there is no significant 291 difference between the existing UAV ad hoc network re-292 searches and the above FANET definition. However, FANET term immediately reminds that it is a specialized form of 293 MANET and VANET. Therefore, we prefer calling it as Flying 294 Ad-Hoc Network, FANET. 295

3.1. Differences between FANET and the existing ad-hoc 296 networks 297

298 Wireless ad hoc networks are classified according to 299 their utilization, deployment, communication and mission 300 objectives. By definition, FANET is a form of MANET, and there are many common design considerations for MANET 301 and FANET. In addition to this, FANET can also be classified 302 as a subset of VANET, which is also a subgroup of MANET. 303 304 This relationship is illustrated in Fig. 3. As an emerging research area, FANET shares common characteristics with 305 these networks, and it also has several unique design chal-306 307 lenges. In this subsection, the differences between FANET 308 and the existing wireless ad hoc networks are explained 309 in a detailed manner.

3.1.1. Node mobility 310

Node mobility related issues are the most notable dif-311 312 ference between FANET and the other ad hoc networks.

MANET node movement is relatively slow when it is com-313 pared to VANET. In FANET, the node's mobility degree is 314 much higher than in the VANET and MANET. According 315 to [16], a UAV has a speed of 30-460 km/h, and this situa-316 tion results in several challenging communication design 317 problems [33]. 318

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3.1.2. Mobility model

While MANET nodes move on a certain terrain, VANET nodes move on the highways, and FANET nodes fly in the sky. MANETs generally implement the random waypoint mobility model [34], in which the direction and the speed of the nodes are chosen randomly. VANET nodes are restricted to move on highways or roads. Therefore, VANET mobility models are highly predictable.

In some multi-UAV applications, global path plans are 327 preferred. In this case, UAVs move on a predetermined 328 path, and the mobility model is regular. In autonomous 329 multi-UAV systems, the flight plan is not predetermined. 330 Even if a multi-UAV system uses predefined flight plans, 331 because of the environmental changes or mission updates, 332 the flight plan may be recalculated. In addition to the flight 333 plan changes, the fast and sharp UAV movements and dif-334 ferent UAV formations directly affect the mobility model of 335 multi-UAV systems. In order to address this issue, FANET 336 mobility models are proposed. In [35], Semi-Random Cir-337 cular Movement (SRCM) mobility model is presented, 338 and the approximate node distribution function is derived 339 within a two dimensional disk region. In [36], two new 340 mobility models are proposed for multi-UAV systems. In 341 random UAV movement model, UAVs move indepen-342 dently. Each UAV decides on its movement direction, 343 according to a predefined Markov process. In the second 344 model, the UAVs maintain a pheromone map, and the 345 pheromones guide their movements. Each UAV marks the 346 areas that it scans on the map, and shares the pheromone 347 map with broadcasting. In order to maximize the coverage, 348 UAVs prefer the movement through the areas with low 349 pheromone smell. It was shown that the use of a typical 350 MANET mobility model may result in undesirable path 351 plans for cooperative UAV applications. It was also ob-352 served that the random model is remarkably simple, but 353 it leads to ordinary results. However, the pheromone based 354 model has very reliable scanning properties. 355

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Fig. 3. MANET, VANET and FANET.

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356 3.1.3. Node density

Node density can be defined as the average number of
nodes in a unit area. FANET nodes are generally scattered
in the sky, and the distance between UAVs can be several
kilometers even for small multi-UAV systems [37]. As a result of this, FANET node density is much lower than in the
MANET and VANET.

363 3.1.4. Topology change

364 Depending on the higher mobility degree, FANET topology also changes more frequently than MANET and VANET 365 366 topology. In addition to the mobility of FANET nodes, UAV platform failures also affect the network topology. When a 367 UAV fails, the links that the UAV has been involved in also 368 369 fail, and it results in a topology update. As in the UAV failures. UAV injections also conclude a topology update. An-370 371 other factor that affects the FANET topology is the link 372 outages. Because of the UAV movements and variations 373 of FANET node distances, link quality changes very rapidly, 374 and it also causes link outages and topology changes [38].

375 3.1.5. Radio propagation model

376 Differences between FANET and the other ad hoc net-377 work operating environments affect the radio propagation 378 characteristics. MANET and VANET nodes are remarkably 379 close to the ground, and in many cases, there is no line-380 of-sight between the sender and the receiver. Therefore, radio signals are mostly affected by the geographical struc-381 382 ture of the terrain. However, FANET nodes can be far away 383 from the ground and in most of the cases, there is a line-ofsight between UAVs. 384

385 3.1.6. Power consumption and network lifetime

Network lifetime is a key design issue for MANETs, 386 which especially consist of battery-powered computing 387 devices. Developing energy efficient communication proto-388 389 cols is the goal of efforts to increase the network lifetime. 390 Especially, while the battery-powered computing devices 391 are getting smaller in MANETs, system developers have 392 to pay more attention to the energy efficient communica-393 tion protocols to prolong the lifetime of the network. How-394 ever, FANET communication hardware is powered by the energy source of the UAV. This means FANET communica-395 396 tion hardware has no practical power resource problem as in MANET. In this case, FANET designs may not be power sensitive, unlike most of the MANET applications. However, it must be stated that power consumption still can be a design problem for mini UAVs [39]. 400

3.1.7. Computational power

In ad hoc network concept, the nodes can act as routers. 402 Therefore, they must have certain computation capabilities 403 to process incoming data in real-time. Generally, MANET 404 nodes are battery powered small computers such as 405 laptops, PDAs and smart phones. Because of the size and 406 energy constraints, the nodes have only limited computa-407 tional power. On the other hand, both in VANETs and 408 FANETs, application specific devices with high computa-409 tional power can be used. Most of the UAVs have enough 410 energy and space to include high computational power. 411 The only limitation about the computational power is the 412 weight. By the help of the hardware miniaturization ten-413 dency, it is possible to put powerful computation hardware 414 in UAV platforms. However, the size and weight limitation 415 can still constitute serious constraints for mini UAVs, that 416 have very limited payload capacity. 417

3.1.8. Localization

Accurate geospatial localization is at the core of mobile419and cooperative ad hoc networks [40]. Existing localization420methods include global positioning system (GPS), beacon421(or anchor) nodes, and proximity-based localization [41].422

In MANET, GPS is generally used to receive the coordinates of a mobile communication terminal, and most of the time, GPS is sufficient to determine the location of the nodes. When GPS is not available, such as in dense foliage areas, beacon nodes or proximity-based techniques can also be used.

In VANET, for a navigation-grade GPS receiver, there is about 10–15 m accuracy, which can be acceptable for route guidance. However, it is not sufficient for cooperative safety applications, such as collision warnings for cars. Some researchers use assisted GPS (AGPS) or differential GPS (DGPS) by using some type of ground-based reference stations for range corrections with accuracy about 10 cm [42,43].

Because of the high speed and different mobility models of multi-UAV systems, FANET needs highly accurate

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439 localization data with smaller time intervals. GPS provides 440 position information at one-second interval, and it may not 441 be sufficient for certain FANET protocols. In this case, each 442 UAV must be equipped with a GPS and an inertial measure-443 ment unit (IMU) to offer the position to the other UAVs at any time. IMU can be calibrated by the GPS signal, and 444 445 thus, it can provide the position of the UAV at a quicker 446 rate [44,45].

447 Because of the above-mentioned differences between 448 FANET, MANET and VANET; we prefer to investigate FANET 449 as a separate ad hoc network family. The differences be-450 tween MANET, VANET and FANET are outlined in Table 1.

451 3.2. FANET design considerations

The distinguishing features of FANET impose unique de-452 453 sign considerations. In this subsection, the most prominent 454 FANET design considerations; adaptability, scalability, latency, UAV platform constraints, and bandwidth require-455 456 ment are discussed.

457 3.2.1. Adaptability

There are several FANET parameters that can change 458 during the operation of a multi-UAV system. FANET nodes 459 460 are highly mobile and always change their location. Be-461 cause of the operational requirements, the routes of the UAVs may be different, and the distance between UAVs 462 463 cannot be constant.

464 Another issue that must be considered is the UAV fail-465 ures. Consequent to a technical problem or an attack 466 against multi-UAV system, some of the UAVs may fail dur-467 ing the operation. While UAV failures decrease the number 468 of UAVs, UAV injections may be required to maintain the multi-UAV system operation. UAV failures and UAV injec-469 470 tions change the FANET parameters.

Environmental conditions can also affect FANET. If the 471 472 weather changes unexpectedly, FANET data links may not 473 survive. FANET should be designed so that it should be able 474 to continue to operate in a highly dynamic environment.

475 The mission may also be updated during the multi-UAV 476 system operation. Additional data or new information about the mission may require a flight plan update. For 477 example, while a multi-UAV system is operated for a 478 479 search and rescue mission; after the arrival of a new 480 intelligence report, the mission may be concentrated on a

certain area, and the flight plan update also affects FANET parameters.

FANET design should be developed so that it can adjust itself against any changes or failures. FANET physical laver should adapt according to the node density, distance between nodes, and environmental changes. It can scan the parameters and choose the most appropriate physical layer option. The highly dynamic nature of FANET environment also affects network layer protocols. Route maintenance in an ad hoc network is closely related to the topology changes. Thus, the performance of the system depends on the routing protocol in adapting to link changes. Transport layer should also be adapted according to the status of FANET.

3.2.2. Scalability

Collaborative work of UAVs can improve the performance of the system in comparison to a single-UAV system. In fact, this is the main motivation to use multi-UAV based systems. In many applications, the performance enhancement is closely related with the number of UAVs. For example, the higher number of UAVs can complete a search and rescue operation faster [12]. FANET protocols and algorithms should be designed so that any number of UAVs can operate together with minimal performance degradation.

3.2.3. Latency

Latency is one of the most important design issues for all types of networks, and FANET is not an exception. FA-NET latency requirement is fully dependent on the application. Especially for real-time FANET applications, such as military monitoring, the data packets must be delivered within a certain delay bound. Another low latency requirement is valid for collision avoidance of multiple UAVs [14,46].

In [47], an analysis of one-hop packet delay was conducted for IEEE 802.11 based FANETs. Each node was modeled as M/M/1 queue and the mean packet delay was derived analytically. The numerical results were verified with a simulation analysis. Based on the data collected from the simulation analysis, it was observed that packet delay can be approximated with Gamma distribution. Zhai et al. studied packet delay performance of IEEE 802.11 for traditional wireless LANs, and stated that the MAC layer

Table 1

The comparison of MANET, VANET and FANET.

	MANET	VANET	FANET
Node mobility	Low	High	Very high
Mobility model	Random	Regular	Regular for predetermined paths, but special mobility models for autonomous multi-UAV systems
Node density	Low	High	Very low
Topology change	Slow	Fast	Fast
Radio propagation model	Close to ground,	Close to ground,	High above the ground,
	LoS is not available for all cases	LoS is not available for all cases	LoS is available for most of the cases
Power consumption and network lifetime	Energy efficient protocols	Not needed	Energy efficiency for mini UAVs, but not needed for small UAVs
Computational power	Limited	High	High
Localization	GPS	GPS, AGPS, DGPS	GPS, AGPS, DGPS, IMU

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İ. Bekmezci et al./Ad Hoc Networks xxx (2013) xxx-xxx

packet service time can be approximated by an exponentially distributed random variable [48]. It also shows that
the packet delay behaviors are different for MANETs and
FANETs, and the protocols developed for MANET may not
satisfy the latency requirements of FANET. New FANET
protocols and algorithms are needed for delay sensitive
multi-UAV applications.

531 3.2.4. UAV platform constraints

532 FANET communication hardware must be deployed on the UAV platform, and this situation imposes certain con-533 534 straints. The weight of the hardware is an important issue 535 for the performance of the UAVs. Lighter hardware means lighter payload, and it extends the endurance. Another 536 537 opportunity that comes with the lighter communication 538 hardware is to deploy additional sensors on the UAV. If 539 the total payload is assumed as constant and the communication hardware is lighter, more advanced sensors and 540 541 other peripherals can be deployed.

542 Space limitation is another UAV platform related con-543 straints for FANET designs. Especially for mini UAVs, the 544 space limitation is very important for communication 545 hardware that must be fitted into the UAV platform [39].

546 3.2.5. Bandwidth requirement

In most of the FANET applications, the aim is to collect 547 548 data from the environment and to relay the collected data 549 to a ground base [25]. For example, in surveillance, monitoring or rescue operations; the image or video of the tar-550 551 get area must be relayed from the UAV to the command 552 control center with a very strict delay bound, and it re-553 guires high bandwidth. In addition, by the help of the tech-554 nological advancements on sensor technologies, it is 555 possible to collect data with very high resolution, and this 556 makes the bandwidth requirement much higher. The col-557 laboration and coordination of multiple UAVs also need additional bandwidth resource. 558

559 On the other hand, there are many constraints for the 560 usage of available bandwidth such as:

- capacity of the communication channel,
 - speed of UAVs,

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- error-prone structure of the wireless links,
- lack of security with broadcast communication.

566 A FANET protocol must satisfy the bandwidth capacity 567 requirement so that it can relay very high resolution 568 real-time image or video under several constraints.

569 4. Communication protocols for FANETs

In this section, the FANET communication protocols and
the open research issues are presented. We survey the
existing FANET protocols proposed for the physical layer,
medium access control (MAC) layer, network layer, transport layer, and their cross-layer interactions.

4.1. Physical layer

The physical layer deals with the basic signal transmission technologies, such as modulation or signal coding. Various data bit sequences can be represented with different waveforms by varying the frequency, amplitude and phase of a signal. Overall, in the physical layer, the data bits are modulated to sinusoidal waveforms and transmitted into the air by utilizing an antenna.

MANET system performance is highly dependent on its physical layer, and the extremely high mobility puts extra problematic issues on FANET. In order to develop robust and sustainable data communication architectures for FANET, the physical layer conditions have to be wellunderstood and well-defined. Recently, UAV-to-UAV and UAV-to-ground communication scenarios have been broadly studied in both simulation and real-time environments. Radio propagation models and antenna structures are investigated as the key factors that influence FANET physical layer design.

4.1.1. Radio propagation model

Electromagnetic waves radiate from the transmitter to the receiver through wireless channels. The characterization of radio wave propagation is expressed as a mathematical function, which is called radio propagation modeling [49]. FANET environment has several unique challenges in terms of radio propagation when compared to the other types of wireless networks. Some of the challenges are summarized as follows:

- Variations in communication distance.
- Direction of the communicating pairs in the antenna radiation pattern.
- Ground reflection effects.
- Shadowing resulting from the UAV platform and onboard electronic equipment.
- The effect of aircraft attitude (pitch, roll, yaw etc.) on the wireless link quality.
- Environmental conditions.
- Interferences and hostile jamming.

Because of the above-mentioned factors, communication links exhibit varying quality over time in FANETS [50].

Ahmed et al. studied the characterization of UAV-to-UAV, UAV-to-ground, and ground-to-UAV communication links [51]. In this study, free space and two-ray ground approximation models are compared for each link type, and the presence of gray regions is observed, when the UAVs are close to the ground. Gray regions showed that the radio propagation model of UAV-to-UAV links is similar to two-ray ground model, and FANET protocol designers must be aware of the presence of the gray zones due to fading.

Zhou et al. investigated the channel modeling problem626for UAV-to-UAV communications [52]. In this study, it627was observed that the error statistics of the wireless chan-628nels between UAVs are non-stationary. Depending on the629changes of the distance between UAVs, a two-state Markov630model was proposed to incorporate the effects of Rician631fading, which is suitable for strong line-of-sight path, as632

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İ. Bekmezci et al./Ad Hoc Networks xxx (2013) xxx-xxx

633 in FANET. The simulation results showed that the proposed 634 model is able to simulate packet dropouts with non-sta-635 tionary error statistics.

636 A Nakagami-m based radio propagation model was also 637 proposed for FANET communication in [53]. Nakagami-m 638 suitably agrees with the empirical data measured for VA-639 NET networks [54,55]. This model estimated the received 640 signal strength for a multi-path environment with cover-641 ing fading effects, and it was represented as a function of 642 two parameters: the average received radio signal strength 643 and the fading intensity. A mathematical expression for the 644 outage probability over Nakagami-m fading channel has 645 been derived for a cooperative UAV network.

646 In [56], the performance analysis of multi-carrier relay based UAV network was modeled analytically over fading 647 648 channels. A general analytical formula was provided for the outage probability of UAV-to-UAV and UAV-to-ground 649 650 link. It was stated that fading channel model should be 651 chosen according to the operation environment. For exam-652 ple, while Rayleigh fading can be more suitable for low alti-653 tude crowded area applications, Nakagami-m and Weibull 654 fading with high fading parameters best fit for high alti-655 tude open space missions.

4.1.2. FANET antenna structure 656

657 The antenna structure is one of the most crucial factors for an efficient FANET communication architecture. The 658 659 distance between UAVs is longer than typical node distance of MANETs and VANETs, and it directly affects the 660 FANET antenna structure. More powerful radios can be 661 used to overcome this problem, but high link loss and var-662 iation could still arise at longer distances. In order to over-663 664 come this phenomenon, multiple receiver nodes can be deployed to boost packet delivery rates by exploiting the 665 spatial and temporal diversity of the wireless channel 666 [57]. It is shown that UAV receiver nodes exhibit poor 667 668 packet reception correlation at short time scales, which ultimately necessitates the usage of multiple transmitters 669 670 and receivers to improve packet delivery rates.

Antenna type is another factor that affects the FANET 671 performance. In the literature, there are two types of 672 673 antennas deployed for FANET applications: directional and omnidirectional. While omnidirectional antennas radi-674 ate the power in all directions, directed antenna can send 675 676 the signal through a desired direction.

677 In highly mobile environments, as in FANET, the node 678 locations change frequently and omnidirectional antennas have a natural advantage to transmit and receive signals. In 679 omnidirectional antennas, node location information is not 680 needed. However, directional antennas also have several 681 advantages when compared to omnidirectional antennas. 682 683 Firstly, the transmission range of a directed antenna is longer than the transmission range of an omnidirectional 684 685 antenna [58]. It can be an important advantage for FANET, 686 where the distance between nodes is longer than the dis-687 tance between typical MANET nodes [37]. The longer 688 transmission range decreases hop count, and it can en-689 hance the latency performance [59]. Especially, in real time 690 FANET applications, such as military monitoring, latency is 691 one of the most dominant design factors.

There is a trade-off between communication range and spatial reuse for omnidirectional antennas [60]. Directional antenna based systems can handle communication range and spatial reuse problem for FANETs, at the same time. While it can increase communication range, it does not limit spatial reuse [61]. Depending on the higher spatial reusability, the capacity of a network with directed antenna is higher than the capacity of a network with omnidirectional antenna.

Security is another issue that can be enhanced by the help of the directed antennas. Omnidirectional antenna based systems are more prone to jamming than the directed antenna based systems [62]. A brief comparison of omnidirectional and directional antennas is provided in Table 2.

4.1.3. Open research issues

The characteristics of the physical layer affect the design of the other layers and the overall FANET performance directly. The existing FANET physical layer related studies, which are summarized in Table 3, concentrate on the radio propagation models and antenna structures.

Although the nodes are located in a 3D environment in real FANET applications, most of the existing studies assume 2D FANET topology structures. The FANET studies have shown that the antenna behaviors in 3D can be different from the antenna behaviors in 2D [51], and it can affect the physical layer directly. The performance analysis of the existing physical layer protocols and developing new physical layer designs for 3D are largely unexplored issues for FANETS.

4.2. MAC layer	
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Although MANET, VANET and FANET have different challenges and characteristics, they have also several common design considerations. Basically, FANET is a special subset of MANET and VANET. In this sense, the first FANET examples use IEEE 802.11 with omnidirectional antennas [34,32], which is one of the most commonly used MAC layers for MANETs. By the help of the request-to-send (RTS) and clear-to-send (CTS) signal exchange mechanism, IEEE 802.11 can handle the hidden node problem [63].

4.2.1. Challenges of FANET MAC layer

High mobility is one of the most distinctive properties of FANET, and it presents new problems for the MAC layer. Because of the high mobility and the varying distances between nodes, link quality fluctuations take place in FANETs 736

The comparison of omnidirectional and directional antennas for FANETs.

Attribute	Omnidirectional	Directional
Signal direction	All	Desired
Node orientation	Not needed	Needed
Transmission range	Shorter	Longer
Latency	Higher	Lower
Spatial reusability	Lower	Higher
Capacity	Lower	Higher
Prone to jamming	Higher	Lower

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An overview of physical layer related studies for FANETS

Physical layer study	Short description	
Characterization of FANET communication links [51]	The gray regions were observed in FANET experiments and it showed that the radio propagation model of UAV-to-UAV links is similar to two-ray ground model, rather than free space model	
Channel modeling of FANET links [52]	Rician fading based two-state Markov model was developed to model wireless channel between UAVs. The simulations showed that the proposed model can simulate packet dropouts	
Nakagami-m based FANET radio propagation model [54,55,53]	A mathematical expression for the link outage probability over Nakagami-m fading channel was derived for FANETs	
General link outage model for FANETs [56]	A general analytical formula was provided for the outage of UAV-to-UAV and UAV-to-ground links over various fading channels. Rayleigh, Nakagami-m, and Weibull models were studied as fading channels	
Multiple transmitters and receivers [57]	UAV receiver nodes can achieve poor packet reception correlation at short time scales. The usage of multiple transmitters and receivers improves packet delivery rates dramatically	

737 frequently. Link quality changes and link outages directly affect FANET MAC designs. Packet latency is another design 738 739 problem for FANET MAC layer design. Especially for real 740 time applications, packet latency must be bounded and it 741 imposes new challenges. Fortunately, there are new tech-742 nologies that can be used to meet the FANET requirements 743 in MAC layer. Directional antenna and full-duplex radio 744 circuits with multi-packet reception are some examples 745 of promising technological advancements that can be used in FANET MAC layer [58,64]. 746

747 4.2.2. Directional antenna based FANET MAC layer

Directional antennas have several advantages over
omnidirectional antennas for FANETs, as it is provided in
the physical layer subsection. Besides the advantages of
directional antennas, it also brings unique design problems, especially for the MAC layer. An extensive survey
about directional antenna based MAC protocols can be
found in [65].

While most of the existing directional antenna based 755 756 MAC layers are proposed for MANET and VANET, there are also a few researches about FANET MAC laver design 757 758 with directional antennas. In [66], Alshbatat and Dong have proposed Adaptive MAC Protocol Scheme for UAVs 759 760 (AMUAV) [66]. While AMUAV sends its control packages 761 (RTS, CTS, and ACK) with its omnidirectional antenna. 762 DATA package is sent by directional antennas. It is proved 763 that directed antenna based AMUAV protocol can improve 764 throughput, end-to-end delay and bit error rate for multi-765 UAV systems.

4.2.3. MAC layer with full-duplex radio and multi-packetreception

768 In traditional wireless communication, reception and 769 transmission cannot be performed at the same time. With the recent advancements on the radio circuits, it is now 770 possible to realize full-duplex wireless communication on 771 the same channel [58]. Another restriction of the tradi-772 tional wireless communication is about the packet recep-773 774 tion. If there is more than one sender, the receiver cannot 775 receive the data correctly. Fortunately, data reception from 776 more than one source is possible by the help of the multi-777 packet reception (MPR) radio circuits [64]. Full-duplex and 778 MPR radio circuits have significant impacts on the FANET 779 MAC layer.

Channel state information (CSI) is one of the mostimportant parameters for full-duplex radios, and it is

almost impossible to determine the perfect CSI, in highly 782 dynamic environments, as in FANETs. In [67], a new to-783 ken-based FANET MAC layer was proposed with full-du-784 plex and multi-packet reception (MPR) radios. It aims 785 frequent CSI update so that UAVs can have the latest CSI 786 information at any time. Token-based structure of CSI up-787 dates eliminates packet collisions. Performance results 788 have shown the effectiveness of the proposed MAC layer, 789 even if the resulting channel knowledge is imperfect. 790

4.2.4. Open research issues

Providing a robust FANET MAC layer necessitates to address and overcome some unique challenging tasks such as link quality variations caused by high mobility, and longer distance between nodes. Although the first FANET test beds have used IEEE 802.11 with omnidirectional antennas, it cannot respond to the requirements of FANETs. There are only a few studies about FANET MAC layers which are presented in Table 4.

In order to overcome the unique challenges of FANET, directed antenna technology, which can send the signal to a desired direction, is a promising technology. Location estimation of the nodes and sharing this information are vital issues for directed antenna based MAC layers, and they are more challenging for FANETs, where the nodes are highly mobile. Most of the existing directed antenna based MAC layers assume that the location information is maintained by the upper layers and cannot offer a robust and integrated solution in the MAC layer [65]. Localization service can be integrated in the MAC layer to find the locations of the other UAVs that are constantly changing their coordinates.

Although there are several unique challenges of FANET, it has also a number of opportunities for MAC layer design. In most of the MANET designs, energy is one of the most considerable constraints. However, FANET protocols have to work on UAVs and there is no practical energy restriction on UAVs. FANET nodes can include and operate more advanced hardware than the MANET nodes. This opportunity can be used to develop more efficient FANET MAC layers.

4.3. Network layer

The initial FANET studies and experiments are designed 823 with the existing MANET routing protocols. 824

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Table 4			
An overview	of FANET	MAC layer	protocols

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MAC layer protocol	Short description
Adaptive MAC protocol scheme for UAVs (AMUAV) [57]	It sends its control packages (RTS, CTS, and ACK) with its omnidirectional antenna, and DATA package is sent by directional antennas. It can improve throughput, end-to-end delay and bit error rate for multi-UAV systems
Token-MAC [57]	It is based on a token-based technique to update channel information and update link states. It eliminates code collision problem with its token-based structure. It can also decrease the latency and improve the throughput with the usage of full-duplex and MPR radio circuit

825 One of the first flight experiments with FANET architecture is performed in SRI International [68]. In this research, 826 827 Topology Broadcast based on Reverse-Path Forwarding 828 (TBRPF) [69], which is basically a proactive protocol, is 829 used as the network layer to minimize the overhead. In [70], Brown et al. developed another FANET test bed with 830 831 Dynamic Source Routing (DSR) [71] protocol. The main 832 motivation to choose DSR is its reactive structure. The source tries to find a path to a destination, only if it has 833 data to send. There are also some other FANET studies that 834 835 use DSR. Khare et al. stated that DSR is more appropriate than proactive methods for FANETs, where the nodes are 836 837 highly mobile, and the topology is unstable [72].

838 Because of the high mobility of the FANET nodes, main-839 taining a routing table, as in proactive methods, is not opti-840 mal. However, repetitive path finding before each packet 841 delivery, as in reactive routing, can also be exhaustive. A 842 routing strategy only based on the location information 843 of the nodes can satisfy the requirements of FANET. In 844 [73], proactive, reactive and position-based routing solutions are compared for FANETs. It was shown that Greedy 845 846 Perimeter Stateless Routing (GPSR) [74], which is a posi-847 tion-based protocol, outperformed proactive and reactive 848 routing solutions. Shirani et al. developed a simulation 849 framework to study the position-based routing protocols 850 for FANETs [75]. It was stated that greedy geographic for-851 warding based routing protocols can be used for densely 852 deployed FANETs. However, the reliability can be a serious 853 problem in case of sparse deployments. A combination of other methods, like face routing, should be used for the 854 855 applications that require 100% reliability.

Although the first FANET implementations have used the existing MANET routing strategies, most of the MANET routing algorithms are not ideal for FANETs, because of the UAV specific issues such as rapid changes in the link quality and very high node mobility [32]. Therefore, FANET specific routing solutions are developed in recent years.

862 Alshbatat et al. proposed a novel FANET routing protocol with directional antenna called Directional Optimized 863 Link State Routing Protocol (DOLSR) [76]. This protocol is 864 based on the well-known Optimized Link State Routing 865 866 Protocol (OLSR) [77]. One of the most important factors that affect the OLSR performance is to choose multipoint 867 868 relay (MPR) nodes. The sender node chooses a set of MPR nodes so that the MPR nodes can cover two hop neighbors. 869 Through the use of MPRs, the message overheads can be 870 871 reduced, and the latency can be minimized. One of the 872 most decisive design parameters for OLSR is the number 873 of MPRs, which affects the delay dramatically. Simulation 874 studies showed that DOLSR can reduce the number of MPRs with directional antennas, and it results in lower end-to-end latency, which is an important design issue for FANETs.

Time-slotted on-demand routing protocol is proposed in [78] for FANETs. It is basically time-slotted version of Ad-hoc On-demand Distance Vector Routing (AODV) [79]. While AODV sends its control packets on random-access mode, time-slotted on-demand protocol uses dedicated time slots in which only one node can send data packet. Although it reduces the usable network bandwidth, it mitigates the packet collisions and increases the packet delivery ratio.

Geographic Position Mobility Oriented Routing (GPMOR) was proposed for FANETs in [80]. The traditional position-based solutions only rely on the location information of the nodes. However, GPMOR predicts the movement of UAVs with Gaussian-Markov mobility model, and it uses this information to determine the next hop. It is reported that this approach can provide effective data forwarding in terms of latency and packet delivery ratio compared to the existing position-based MANET routing protocols.

Another set of routing solutions for FANETs is the hierarchical protocols, which are developed to address the network scalability problem. Here, the network consists of a number of clusters in different mission areas. Each cluster has a cluster head (CH), and all the nodes in a cluster are within the direct transmission range of the CH. The CH is in connection with the upper layer UAVs or satellites directly or indirectly as they represent the whole cluster. On the other hand, CH can also disseminate data by broadcasting to its cluster members. This model can produce better performance results when the mission area is large, and the number of UAVs is higher as depicted in Fig. 4.

One of the most crucial design issues for hierarchic routing is the cluster formation. Mobility prediction clustering is a cluster formation algorithm developed for FA-NET [81]. The high mobility structure of FANET nodes results in frequent cluster updates, and the mobility prediction clustering aims to solve this problem with the prediction of the network topology updates. It predicts the mobility structures of UAVs by the help of the dictionary Trie structure prediction algorithm [82] and link expiration time mobility model. It takes a weighted sum of these models and the UAV with the highest weight among its neighbors is selected as the CH. The simulation studies showed that this CH selection scheme can increase the stability of the clusters and the CHs.

In [83], a clustering algorithm for UAV networking is proposed. It first constructs the clusters on the ground,

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01 925 and then updates it during the operation of the multi-UAV 926 system. Ground clustering planning calculates the cluster-927 ing plan, and then chooses the CHs according to the geo-928 graphical information. After the deployment of UAVs, the 929 cluster structure is adjusted according to the mission infor-930 mation. Simulation studies showed that it can effectively

931 increase the stability and guarantee the ability of dynamic 932 networking.

933 Data-centric routing algorithms can also be used for FA-934 NETs. UAVs are regularly produced for application-specific missions, and it is difficult to adapt the multi-UAV system 935 936 for different missions. Data-centric routing solutions can be used in FANETs for different types of applications on 937 938 the same multi-UAV system. Publish-subscribe model is typically used for this type of communication architecture 939 [84,85]. It automatically connects data producers, which 940 are called publishers, with data consumers, which are 941 942 called subscribers. Data-centric solutions are needed to 943 perform in-network data aggregation. Unlike flooding, it only dispatches the registered data types/contents to the 944 945 subscribers. In this case, point-to-multipoint data trans-946 mission can be preferred to point-to-point data transmis-947 sion. Data-centric communications are decoupled in three 948 dimensions:

- Space decoupling: Communicating parties can be 949 950 anywhere.
- Time decoupling: Data can be dispatched to the sub-951 952 scribers immediately or later.
- Flow decoupling: Delivery can be performed reliably. 953

This model can be preferred when the system includes a 955 956 limited number of UAVs on a predetermined path plan, 957 which requires minimum cooperation.

958 4.3.1. Open research issues

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959 Routing is one of the most challenging issues for FA-960 NETs. Because of the unique FANET challenges, the existing 961 MANET routing solutions cannot satisfy all the FANET 962 requirements. The existing FANET routing solutions are 963 presented in Table 5.

964 Peer-to-peer communication is essential for collabora-965 tive coordination and collision avoidance of multi-UAV systems. However, it is also possible to use FANET to col-966 967 lect information from the environment as in wireless sen-968 sor networks, which generate different traffic pattern. All the data are routed to a limited set of UAVs that are 969



Fig. 4. Hierarchical routing in FANET.

directly connected to an infrastructure. Developing new routing algorithms that can support peer-to peer communication and converge cast traffic is still an open issue.

Data centric routing is a promising approach for FA-NETs. By the help of the publish-subscribe architecture of data centric algorithms, it can be possible to produce multi-UAV systems that can support different applications. To the best of our knowledge, data centric FANET algorithms are totally unexplored.

4.4. Transport layer

The success of FANET designs is closely related to the reliability of the communication architecture, and setting 981 up a reliable transport mechanism is essential, especially in a highly dynamic environment.

The main responsibilities of a FANET transport protocol are as follows:

- Reliability: Reliability has always been the primary responsibility of transport protocols in communication networks. Messages should be reliably delivered to the destination node to ensure proper functionalities. Data may be simple text/binary in which 100% reliability is required, or it may be multimedia streams in which low reliability is acceptable. FANET transport protocol should support different reliability levels for different FANET applications.
- · Congestion control: The typical consequences of a congested network are the decrease in packet delivery ratio and the increase in latency. If a FANET is congested, collaboration and collision avoidance between UAVs cannot be performed properly. A congestion control mechanism is necessary to achieve an efficient and reliable FANET design.
- Flow control: Because of a fast sender or multiple senders, the receiver may be overloaded. Flow control can be a serious problem especially for heterogeneous multi-UAV systems.

The first FANET systems were implemented based on the existing transport protocols. Elston et al. developed a multi-UAV system with FANET communication architecture. It was operated on IP-based addressing, and the transport layer of the system supported both TCP and UDP transport schemes [86]. However, TCP performs poorly in MANET environments and it is also unsuited for FANETs [87,88]. TCP flow control functionality is based on the framing mechanism and its window size changes constantly. An accurate estimation of the round trip time is a challenging issue.

Joint Architecture for Unmanned Systems (JAUS) is an 1018 emerging standard for messaging between unmanned sys-1019 tems [89]. Although JAUS was firstly produced for ground 1020 systems, as Joint Architecture for Unmanned Ground Sys-1021 tems, it was later generalized to all kinds of unmanned 1022 vehicles (aerial, ground, surface-of-water and undersea 1023 vehicles). AS5669a [90] defines data communications for 1024 JAUS, and it enables the use of efficient transport protocols, 1025 which have their own packet formats and semantics. In 1026 AS5669a, JTCP/JUDP is designed on top of the TCP/UDP as 1027

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Table 5

An overview of network layer protocols for FANETs.

Network layer related algorithms	Routing type	Short description	
DOLSR [76]	Proactive	It utilizes directed antennas in OLSR [77] to enhance packet delivery ratio and to decrease average latency	
Time-slotted on-demand routing [78]	Reactive	It embeds time-slotted reservation schema into AODV [79] to eliminate collisions	
GPMOR [80]	Geographic	It predicts the movement of UAVs with Gauss–Markov mobility model, and uses this information to determine the next hop	
Mobility prediction clustering [81]	Hierarchical	It utilizes the dictionary Trie structure prediction algorithm and link expiration time mobility model to predict network topology updates. In this way, it can construct more stable cluster formations	
Clustering algorithm of UAV networking [83]	Hierarchical	It constructs the clusters on the ground, and then updates the clusters during the operation of the multi-UAV system	

1028a wrapper. JAUS also suggests JSerial protocol for data-1029transparent transports, which support variable length data1030packets when low bandwidth serial links are employed.

1031 NATO has also a Standardization Agreement (STANAG 1032 4586), which defines a common transport protocol for net-1033 work centric operations/warfare between nodes in a multinational UAV network [91]. STANAG 4586, depicted in 1034 1035 Fig. 5, was aimed to promote interoperability between 1036 one or more Ground Control Stations, UAVs and C4I (Command, Control, Communication, Computer and Intelli-1037 gence) network, particularly in joint operational settings 1038 1039 [92]. Unlike JAUS, STANAG 4586 is specifically developed for supporting UAV systems. 1040

1041 4.4.1. Open research issues

1042 Contrary to the wired networks and MANETS, FANETS 1043 are characterized by highly mobile nodes and wireless 1044 communication links with high bit error rates. They have 1045 frequent link outages according to the positions of UAVs 1046 and ground stations. Reliability is a critical issue for FANET 1047 transport layers.

1048 FANET applications use different types of data such as target images, acoustic signals, or video captures of a mov-1049 1050 ing target. These applications require different reliability 1051 levels. While typical data communication requires 100% 1052 reliable transport protocol, multimedia application reli-1053 ability requirement is lower. On the other hand, multime-1054 dia data traffic has some other strict requirements on 1055 delay, bandwidth, and jitter. Therefore, new transport layer solutions must be developed to address the requirements 1056 of different FANET applications. To the best of our knowl-1057 1058 edge, there is no transport layer specially designed for FANETs. Many aspects of FANETs, which affect the reliable 1059 and efficient data transfer protocol, are still unexplored. 1060

1061 *4.5. Cross-layer architectures*

Although layered architectures have served well for 1062 1063 wired networks, they are not suitable for many wireless communication applications [93]. Cross-layer architec-1064 1065 tures are proposed to overcome the performance problems 1066 of the wireless environment. Cross-layer design can be de-1067 fined as a protocol design by the violation of the layered 1068 communication architecture [94]. There are several ways 1069 for cross-layer architecture design. Unlike the layered 1070 design principles, the adjacent layers can be designed as

a super layer. Another cross-layer protocol is to support interactions between non-adjacent layers. It is also possible to share protocol state information across all the layers to meet the specific requirements [95].

A FANET cross-layer architecture is introduced in [96], where the interaction between the first three layers of OSI reference model is facilitated. In this study, a novel directional antenna based MAC layer protocol, Intelligent Medium Access Control Protocol (IMAC-UAV), is used. Directional Optimized Link State Routing (DOLSR) protocol [76] is the network layer of this system. Cross-layer design is based on the information sharing between the first three layers. It is shown that based on the aircraft attitude variations (pitch, roll and yaw); the performance of a FANET application can be improved by the help of this cross-layer architecture.

Huba and Shenoy have proposed meshed-tree algorithm based on the directed antennas [97]. This solution integrates clustering and scheduling for MAC layer along with the routing strategy for the network layer. It can handle MAC layer and network layer with a single algorithm that can form the clusters, route the data from UAVs to the cluster heads, and schedule the time slots in a TDMA based MAC layer. This approach results in a robust and scalable solution. Performance studies have shown that it can notably enhance packet delivery rate and end-to-end latency.

4.5.1. Open research issues

As in the other types of highly dynamic wireless networks, cross-layer architecture is an effective technique to meet the strict requirements of FANET. Although there are some studies about cross-layered FANETs, which are presented in Table 6, the area is largely open for new protocols. By the help of the interactions between layers, it is possible to enhance the FANET performance. Especially, link quality status, which is related with the physical layer, can be an important parameter for the upper layers. For example, transport layer can update its operation mode to satisfy the reliability requirement of the FANET application according to the current link qualities. Another crosslayer protocol opportunity is to combine all layers into a single protocol. This unified cross-layer approach can help to design more efficient FANET architectures for multi-UAV systems.

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İ. Bekmezci et al./Ad Hoc Networks xxx (2013) xxx-xxx



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Fig. 5. UAV system interoperability architecture with STANAG 4586.

Table 6

Cross-layer FANET communication protocols.

Cross-layer protocols	Short description
IMAC-UAV with DOLSR [96]	It uses IMAC-UAV as the MAC layer and DOLSR as the network layer protocol for directed antennas. The first three layers communicate through the shared data set. In this way, the transmission parameters can be adjusted dynamically. It reduces and to and dalay with respect to original QLSR actuark protocol
Meshed-tree algorithm [97]	It integrates the MAC layer and the network layer in a single protocol, which can form the clusters, route the data from UAVs to the cluster heads and schedule the time slots in a TDMA based MAC layer. It enhances packet delivery ratio and end-to- end latency

5. FANET test beds and simulators 1115

In this section, the existing FANET test beds and simula-1116 1117 tors are investigated to provide a quick guideline for new 1118 FANET researchers.

One of the first FANET test beds was implemented in 1119 University of Colorado [32]. It was developed and realized 1120 with IEEE 802.11b radio equipment mounted on small 1121 UAVs with Fidelity-Comtech bidirectional amplifier up to 1122 1123 1 W output and a GPS. Dynamic Source Routing (DSR) was chosen as the network protocol, and a monitoring sys-1124 tem was embedded into the radios for detailed perfor-1125 mance characterization and analysis. 1126

Berkley Aerobot Team (BEAR) [98] is another multi-UAV 1127 1128 test bed that can support UAV-to-UAV communication. BEAR research facility features a fleet of BEAR helicopter 1129 1130 UAVs, fixed-wing UAVs, unmanned ground robots, and a mobile ground station. Rotorcraft-based Unmanned Aerial 1131 Vehicles (RUAVs) in BEAR include 802.11 wireless network 1132 1133 cards that can be used for FANET.

Xiangyu et al. developed a new multi-UAV system 1134 based on ad hoc networking architecture [99]. The multi-UAV system successfully validated the effectiveness 1136 and feasibility of wireless ad hoc networking between 1137 UAVs. 1138

Sensing Unmanned Autonomous Aerial Vehicles (SUA-AVE) project [26] aims to create and control a UAV swarm with ad hoc networking between UAVs. The project is not limited with a particular scenario, but the platform was developed based on a search-and-rescue operation. Although the first examples of the project were planned with IEEE 802.11 protocol, SUAAVE can be used to develop new communication architectures and protocols for UAV swarms.

The UAV Research Facility (UAVRF) [100] conducts UAV 1148 related researches at Georgia Institute of Technology. The 1149 UAVRF operates different multi-UAV systems and conducts 1150 flight tests to validate research findings. Christmann et al. 1151 developed a FANET implementation with IEEE 802.11 com-1152 munication hardware in UAVRF [101]. 1153

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Tab

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b le 7 NET test beds and simulators.				
Project	University/Institution/Lab	Туре	Internet address	
Test bed for a wireless network on small UAVs [32]	University of Colorado, Interdisciplinary Telecommunications Electrical and Computer Engineering	Outdoor test bed	http://itd.colorado.edu/	
Berkley Aerobot team (BEAR) [98]	University of California, Berkeley, Robotics Lab	Outdoor test bed	http:// robotics.eecs.berkeley.edu/	
Multi-UAV system for verification of autonomous formation [99]	Beihang University, School of Automation Science and Electrical Engineering	Outdoor test bed	http://id.buaa.edu.cn/IDO/ English/	
Sensing Unmanned Autonomous Aerial Vehicles (SUAAVE) [26]	SUAAVE consortium (UCL, Oxford, Ulster with Engineering and Physical Sciences Research Council)	Outdoor test bed	http://www.suaave.org/	
The UAV Research Facility (UAVRF) [100]	Georgia Institute of Technology, UAV Lab	Outdoor test bed	http://controls.ae.gatech.edu/ wiki/UAV_Research_Facility	
Real-time indoor Autonomous Vehicle test ENvironment (RAVEN) [102]	MIT, Aerospace Controls Laboratory	Indoor test bed	http://acl.mit.edu/	
General Robotics, Automation, Sensing, and Perception (GRASP) Micro UAV Test Bed [103]	University of Pennsylvania, GRASP Lab	Indoor test bed	https:// www.grasp.upenn.edu/	
Real time multi-UAV simulator (RMUS) [104]	The University of Sydney, Australian Center for Field Robotics	Simulator	http://www.acfr.usyd.edu.au/ research/index.shtml	
Simulator and Test bed for Micro-Aerial Vehicle Swarm Experiments (Simbeeotic) [106]	Harvard School of Engineering and Applied Sciences	Simulator	http:// robobees.seas.harvard.edu	

1154 The above-mentioned multi-UAV test beds are designed 1155 to work in outdoor conditions. In order to create a more controlled environment for rapid prototyping and initial 1156 tests, there are also indoor test beds. Indoor multi-UAV test 1157 beds are designed to test UAV performances in restricted 1158 and controlled large rooms. The Aerospace Controls Labo-1159 ratory at MIT utilizes a UAV test bed facility, Real-time in-1160 door Autonomous Vehicle test Environment (RAVEN) 1161 1162 [102]. RAVEN uses a motion capture system to enable rapid prototyping of aerobatic flight controllers for helicopters 1163 and airplanes; robust coordination algorithms for multiple 1164 1165 helicopters; and vision-based sensing algorithms for indoor flight. General Robotics, Automation, Sensing, and 1166 1167 Perception (GRASP) [103] is another indoor test bed developed in University of Pennsylvania. It is developed to sup-1168 1169 port research on coordinated, dynamic flight of micro UAVs 1170 with broad applications to reconnaissance, surveillance, 1171 manipulation and transport.

1172 Another way to investigate FANET designs is to simulate the developed algorithms with a realistic multi-UAV sys-1173 1174 tem simulator which can support ad hoc networking. Although there are many multi-UAV simulators, most of 1175 1176 them do not model UAV-to-UAV communication links. Real time multi-UAV simulator (RMUS) [104], which is de-1177 1178 signed to work with IEEE 802.11, is one of the first multi-1179 UAV simulators that support the direct communication 1180 links between UAVs. It is implemented as both a testing and validation mechanism for the real demonstration of 1181 multiple UAVs conducting decentralized data fusion and 1182 control [105]. 1183

A Simulator and Test bed for Micro-Aerial Vehicle 1184 1185 Swarm Experiments (Simbeeotic) [106] is proposed as an open source simulator in Harvard University for UAV 1186 1187 swarms that consist of up to thousands of mini or micro 1188 UAVs. It can simulate the physical movements of the 1189 UAV swarm as well as the communication architecture be-1190 tween UAVs. It is possible to develop algorithms and rapid prototyping with Simbeeotic. It supports both pure simula-1191 1192 tion and hardware-in-loop experimentation. Simbeeotic can cover a complete view of the UAV swarm system, 1193 including actuation, sensing, and communication. 1194

A list of the existing FANET test beds and simulators is 1195 given in Table 7. 1196

5.1. Open research issues

Although the existing multi-UAV test beds and simula-1198 tors can support a certain variety of UAVs, they enable very 1199 restricted variety of network protocols, like IEEE 802.11. 1200 On the other hand, the existing network simulators, such 1201 as OPNET [107] and ns-2 [108], can simulate different com-1202 munication protocols with different parameters. However, 1203 they cannot readily model multi-UAV system specifica-1204 tions and mobility structures. Although there are several 1205 FANET researches simulated on OPNET, it has no built-in 1206 UAV node structure or UAV communication channel model 1207 to simulate FANETs. ns-2, which is one of the common net-1208 work simulators, cannot model 3D communication, which 1209 is an important parameter for FANET design [51]. 1210

In order to simulate new FANET designs, a multi-UAV 1211 simulation tool that can simulate various UAV platforms 1212 and network protocols is needed. The FANET simulator 1213 must be able to model different UAV specifications, differ-1214 ent multi-UAV formations, different multi-UAV mobility 1215 structures, along with different network protocols. 1216

6. Conclusion

Communication is one of the most challenging design 1218 issues for multi-UAV systems. In this paper, ad hoc net-1219 works between UAVs are surveyed as a separate network 1220 1221 family, Flying Ad-hoc Network (FANET). We formally define FANET and present several FANET application scenar-1222 ios. We also discuss the differences between FANET and 1223 other ad hoc network types in terms of mobility, node den-1224 sity, topology change, radio propagation model, power 1225 consumption, computational power and localization. 1226

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1227 FANET design considerations are also investigated as 1228 adaptability, scalability, latency, UAV platform constraints, 1229 and bandwidth. We provide a comprehensive review of the 1230 recent literature on FANETs and related issues in a lavered 1231 approach. Furthermore, we also discuss open research is-1232 sues for FANETs, along with the cross-layer designs. The 1233 existing FANET test beds and simulators are also 1234 presented.

1235 To the best of our knowledge, this is the first article 1236 which surveyed flying ad hoc network as a separate ad 1237 hoc network family. Our main motivation is to define mul-1238 ti-UAV ad hoc network problem, and to encourage more 1239 researchers to work for the solutions to open research is-1240 sues as described in this paper.

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ADHOC 851

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