

CARLETON UNIVERSITY

Department of Systems and Computer Engineering

SYSC 4600 – Digital Communications – Fall 2009

ASSIGNMENT #3

Posted on Wednesday, November 4th, 2009

Due on Friday, November 13th at 4 pm in the assignment box.

Marking Scheme: Q1[25%], Q2[25%], Q3[50% + 5% bonus for constellation (e)].

Question 1

A communication system uses two signals $s_1(t) = a\phi(t)$ and $s_2(t) = (a+1)\phi(t)$ to transmit binary one and zero bits respectively, each equally likely, where a is a real number, and $\phi(t)$ is a bandpass signal with energy 1. The communication is corrupted by AWGN with PSD $N_0/2$ and received via a coherent receiver with filter matched to $\phi(t)$.

1. Assuming ASK transmission:
 - (a) Find a .
 - (b) What is the average energy per bit \mathcal{E}_b ?
 - (c) What is the probability of bit error P_e as a function of \mathcal{E}_b/N_0 ? You may use formulas from class.
2. Answer the same questions for PSK transmission.
3. Now for any a :
 - (a) Find P_e as a function of \mathcal{E}_b/N_0 and a .
 - (b) What is the choice of a that gives the smallest P_e for a given \mathcal{E}_b/N_0 ? (Proof required)

Solution

1. Assuming ASK transmission,
 - (a) $a = 0$ (or $a = -1$)
 - (b) $\mathcal{E}_b = \frac{1}{2}\mathcal{E}_0 + \frac{1}{2}\mathcal{E}_1 = \frac{1}{2}$
 - (c) $d = 1$, $P_e = \frac{1}{2} \operatorname{erfc}\left(\frac{d}{2\sqrt{N_0}}\right) = \frac{1}{2} \operatorname{erfc}\left(\frac{1}{2\sqrt{N_0}}\right) = \frac{1}{2} \operatorname{erfc}\left(\frac{1}{\sqrt{2}}\sqrt{\frac{\mathcal{E}_b}{N_0}}\right)$.

2. Assuming PSK transmission,

(a) $a = -\frac{1}{2}$

(b) $\mathcal{E}_b = \frac{1}{2}\mathcal{E}_0 + \frac{1}{2}\mathcal{E}_1 = \frac{1}{2}\frac{1}{4} + \frac{1}{2}\frac{1}{4} = \frac{1}{4}$

(c) $d = 1, P_e = \frac{1}{2} \operatorname{erfc}\left(\frac{d}{2\sqrt{N_0}}\right) = \frac{1}{2} \operatorname{erfc}\left(\frac{1}{2\sqrt{N_0}}\right) = \frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{\mathcal{E}_b}{N_0}}\right).$

3. Now for any a :

(a) We have $P_e = \frac{1}{2} \operatorname{erfc}\left(\frac{1}{2\sqrt{N_0}}\right)$. Now $\mathcal{E}_b = \frac{1}{2}\mathcal{E}_0 + \frac{1}{2}\mathcal{E}_1 = a^2 + a + \frac{1}{2}$.

We can therefore write $P_e = \frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{\mathcal{E}_b}{N_0}} \frac{1}{2\sqrt{a^2 + a + \frac{1}{2}}}\right)$

(b) The function erfc is monotone decreasing, and inversion is also a monotone decreasing for positive numbers, while the square root is monotone increasing, therefore P_e grows with $a^2 + a + \frac{1}{2}$. Therefore P_e is minimised when $a^2 + a + \frac{1}{2}$ is minimised. Now $a^2 + a + \frac{1}{2}$ is an upward parabola with minimum at $\frac{\partial}{\partial a}(a^2 + a + \frac{1}{2}) = 2a + 1 = 0 \Rightarrow a = -\frac{1}{2}$. Therefore PSK is the most energy-efficient transmission scheme.

Question 2

Consider a 4FSK system which uses four frequencies $f_1 = 1$ GHz, $f_2 = 1.02$ GHz, $f_3 = 1.05$ GHz, $f_4 = 1.09$ GHz. The four symbols are therefore $s_i(t) = A \cos(2\pi f_i t)$, $0 \leq t \leq T_S$. Assume $A = 1$.

1. Assuming $T_S = 25$ ns, are the four signals orthogonal?

2. Find the lowest T_S that ensures all four signals are orthogonal. What is the corresponding transmission bit rate?

Hint: For both questions, it is best to find $\langle s_i, s_j \rangle$ for general f_i, f_j .

Solution

Using the hint, we find

$$\begin{aligned} \langle s_i, s_j \rangle &= \int_0^{T_S} \cos(2\pi f_i t) \cos(2\pi f_j t) dt = \frac{1}{2} \int_0^{T_S} \cos(2\pi(f_i + f_j)t) dt + \frac{1}{2} \int_0^{T_S} \cos(2\pi(f_i - f_j)t) dt \\ &= \frac{-\sin(2\pi(f_i + f_j)T_S)}{4\pi(f_i + f_j)} + \frac{-\sin(2\pi(f_i - f_j)T_S)}{4\pi(f_i - f_j)} \approx \frac{-\sin(2\pi(f_i - f_j)T_S)}{4\pi(f_i - f_j)} \end{aligned}$$

Then

1. For $T_S = 25$ ns,

$$\langle s_2, s_3 \rangle \approx \frac{-\sin(2\pi 0.0325)}{4\pi 0.03 \text{ GHz}} = \frac{-\sin(1.5\pi)}{4\pi 0.03 \text{ GHz}} \neq 0.$$

Since two of the signals are not orthogonal, the four signals are not orthogonal.

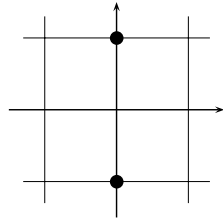
2. To have all signals orthogonal, we need all inner products zero for $i \neq j$. We need:

$$\left\{ \begin{array}{l} \langle s_1, s_2 \rangle \approx 0 \Rightarrow 0.02 \text{ GHz} \times T_S = \frac{1}{2}k_1, \\ \langle s_1, s_3 \rangle \approx 0 \Rightarrow 0.05 \text{ GHz} \times T_S = \frac{1}{2}k_2, \\ \langle s_1, s_4 \rangle \approx 0 \Rightarrow 0.09 \text{ GHz} \times T_S = \frac{1}{2}k_3, \\ \langle s_2, s_3 \rangle \approx 0 \Rightarrow 0.03 \text{ GHz} \times T_S = \frac{1}{2}k_4, \\ \langle s_2, s_4 \rangle \approx 0 \Rightarrow 0.07 \text{ GHz} \times T_S = \frac{1}{2}k_5, \\ \langle s_3, s_4 \rangle \approx 0 \Rightarrow 0.04 \text{ GHz} \times T_S = \frac{1}{2}k_6, \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} T_S = k_1 \times 25 \text{ ns}, \\ T_S = k_2 \times 10 \text{ ns}, \\ T_S = k_3 \times \frac{50}{9} \text{ ns}, \\ T_S = k_4 \times \frac{50}{3} \text{ ns}, \\ T_S = k_5 \times \frac{50}{7} \text{ ns}, \\ T_S = k_6 \times 12.5 \text{ ns}, \end{array} \right.$$

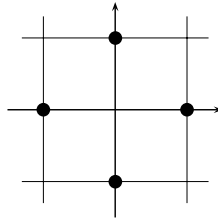
with all k_i integers. The smallest T_S that verifies this is $T_S = 50 \text{ ns}$. This corresponds to 20M symbols/second, i.e., 40Mbps.

Question 3

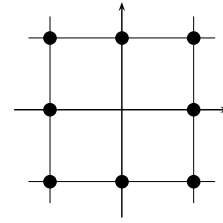
Consider the 6 following signal constellations, with each division representing one unit:



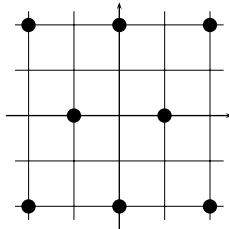
(a) BPSK



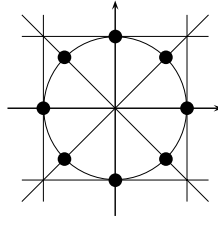
(b) QPSK



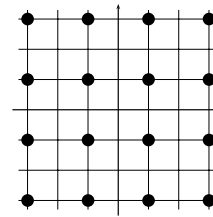
(c) 8QAM



(d) 8QAM-Mod



(e) 8PSK (bonus)



(f) 16QAM

(Note: you may get full marks if you ignore constellation (e), which is worth bonus marks)

1. For each of the 5(6) constellations, find:

- (a) The average energy per symbol \mathcal{E}_S and the average energy per bit \mathcal{E}_b .
- (b) Assuming that the probability of error is dominated by errors between the nearest points in the constellation, find d_{\min} the smallest distance between two points. Then find the probability that a given symbol is received with error, using the approximation

$$P_e(\text{symbol}) \approx \frac{1}{2} \operatorname{erfc} \left(\frac{d_{\min}}{2\sqrt{N_0}} \right)$$

to find $P_e(\text{symbol})$ in the form $\frac{1}{2} \operatorname{erfc} \left(\alpha \sqrt{\frac{\mathcal{E}_b}{N_0}} \right)$ (basically, you must find the constant α for every constellation).

2. If we want to achieve a particular $P_e(\text{symbol})$, sort the constellations from most energy-efficient to least. Justify your answer.
3. Now assume that the symbols are grey-coded, meaning that (practically) every symbol error results in one bit error only. For each of the 5(6) constellations:
 - (a) Find the (approximate) probability $P_e(\text{bit})$ that a given received bit is in error, as a function of \mathcal{E}_b/N_0 .
 - (b) Assuming $\mathcal{E}_b/N_0 = 13$ dB, find the numeric value of $P_e(\text{bit})$ (hint: use the *MATLAB* function *erfc*).
4. When $\mathcal{E}_b/N_0 = 13$ dB, sort the constellations from lowest $P_e(\text{bit})$ to highest.

Solution

1. The parameters are:

System	BPSK	QPSK	8QAM	8QAM-Mod	8PSK	16QAM
bits per symbol	1	2	3	3	3	4
\mathcal{E}_S	1	1	$\frac{3}{2}$	$\frac{21}{4}$	1	10
\mathcal{E}_b	1	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{7}{4}$	$\frac{1}{3}$	$\frac{5}{2}$
d_{\min}	2	$\sqrt{2}$	1	2	$2 \sin \frac{\pi}{8}$	2
α	1	1	$\frac{1}{\sqrt{2}}$ ≈ 0.7071	$\sqrt{\frac{4}{7}}$ ≈ 0.7559	$\sqrt{3} \sin \frac{\pi}{8}$ ≈ 0.6628	$\sqrt{\frac{2}{5}}$ ≈ 0.6325

We find α like so:

$$\frac{1}{2} \operatorname{erfc} \left(\frac{d_{\min}}{2\sqrt{N_0}} \right) = \frac{1}{2} \operatorname{erfc} \left(\alpha \sqrt{\frac{\mathcal{E}_b}{N_0}} \right) \Rightarrow \alpha = \frac{d_{\min}}{2\sqrt{\mathcal{E}_b}}.$$

2. The higher the α , the lower the \mathcal{E}_b/N_0 needed to achieve a particular $P_e(\text{symbol})$. Therefore, constellations with higher α are more energy efficient for a given $P_e(\text{symbol})$:

$$\text{BPSK} = \text{QPSK} > 8\text{QAM-Mod} > 8\text{QAM} > 8\text{PSK} > 16\text{QAM}.$$

3. For grey-coded constellations:

We have $P_e(\text{bit}) = P_e(\text{symbol})/(\text{bits per symbol})$:

System	$P_e(\text{bit})$	$P_e(\text{bit})$ when $\mathcal{E}_b/N_0 = 13 \text{ dB} \approx 19.95$
BPSK	$\frac{1}{2} \text{erfc} \left(\sqrt{\frac{\mathcal{E}_b}{N_0}} \right)$	$1.333 \cdot 10^{-10}$
QPSK	$\frac{1}{4} \text{erfc} \left(\sqrt{\frac{\mathcal{E}_b}{N_0}} \right)$	$6.665 \cdot 10^{-11}$
8QAM	$\frac{1}{6} \text{erfc} \left(\frac{1}{\sqrt{2}} \sqrt{\frac{\mathcal{E}_b}{N_0}} \right)$	$1.323 \cdot 10^{-6}$
8QAM-Mod	$\frac{1}{6} \text{erfc} \left(\sqrt{\frac{4}{7}} \sqrt{\frac{\mathcal{E}_b}{N_0}} \right)$	$2.991 \cdot 10^{-7}$
8PSK	$\frac{1}{6} \text{erfc} \left(\sqrt{3} \sin \frac{\pi}{8} \sqrt{\frac{\mathcal{E}_b}{N_0}} \right)$	$4.709 \cdot 10^{-6}$
16QAM	$\frac{1}{8} \text{erfc} \left(\sqrt{\frac{2}{5}} \sqrt{\frac{\mathcal{E}_b}{N_0}} \right)$	$8.078 \cdot 10^{-6}$

(Note: do not forget to convert \mathcal{E}_b/N_0 from dB to linear scale.)

4. $\text{QPSK} > \text{BPSK} > 8\text{QAM-Mod} > 8\text{QAM} > 8\text{PSK} > 16\text{QAM}$.